

# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US2004/030233

International filing date: 15 September 2004 (15.09.2004)

Document type: Certified copy of priority document

Document details: Country/Office: US  
Number: 60/504,501  
Filing date: 15 September 2003 (15.09.2003)

Date of receipt at the International Bureau: 26 March 2007 (26.03.2007)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



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APPLICATION NUMBER: 60/504,501

FILING DATE: *September 15, 2003*

RELATED PCT APPLICATION NUMBER: PCT/US04/30233

THE COUNTRY CODE AND NUMBER OF YOUR PRIORITY APPLICATION, TO BE USED FOR FILING ABROAD UNDER THE PARIS CONVENTION, IS *US60/504,501*



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TITLE OF INVENTION (280 characters max)

Combination Approaches for Generating Immune Responses Against Multiple Strains Selected from a Given Subtype or Serotype

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ENCLOSED APPLICATION PARTS (check all that apply)

☒ Specification

Number of Pages: 138, including abstract

☒ Drawing(s)

Number of Pages: 28

☐ Small Entity Statement

☒ Other (specify): return postcard

METHOD OF PAYMENT (check one)

☒ A check or money order is enclosed to cover the Provisional filing fees

☒ The Commissioner is hereby authorized to charge any  
additional fees and credit Deposit Account Number 03-1664.

PROVISIONAL FILING FEE AMOUNT ENCLOSED:  
\$160.00, CHECK NO. 8224

The invention was made by an agency of the United States Government or under a contract with an agency of the United States government.

X No

— Yes, the name of the U.S. Government agency and the Government contract number are:

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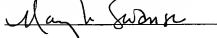
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**COMBINATION APPROACHES FOR GENERATING IMMUNE RESPONSES  
AGAINST MULTIPLE STRAINS SELECTED FROM A GIVEN SUBTYPE OR  
SEROTYPE**

5

**TECHNICAL FIELD**

The present invention relates to compositions comprising a polynucleotide component and a polypeptide component that can be used for the generation of immune responses in a subject. In one aspect, the compositions of the present invention are used in methods to generate immune responses in subjects to which the compositions are administered. In another aspect, the compositions of the present invention are used in methods of generating neutralizing activity against multiple strains derived from a single subtype or serotype of a selected microorganisms, for example, viruses (e.g., Human Immunodeficiency Virus (HIV)).

15

**BACKGROUND OF THE INVENTION**

Acquired immune deficiency syndrome (AIDS) is recognized as one of the greatest health threats facing modern medicine. There is, as yet, no cure for this disease.

20

In 1983-1984, three groups independently identified the suspected etiological agent of AIDS. See, e.g., Barre-Sinoussi et al. (1983) Science 220:868-871; Montagnier et al., in Human T-Cell Leukemia Viruses (Gallo, Essex & Gross, eds., 1984); Vilmer et al. (1984) The Lancet 1:753; Popovic et al. (1984) Science 224:497-500; Levy et al. (1984) Science 225:840-842. These isolates were variously called lymphadenopathy-associated virus (LAV), human T-cell lymphotropic virus type III (HTLV-III), or AIDS-associated retrovirus (ARV). All of these isolates are strains of the same virus, and were later collectively named Human Immunodeficiency Virus

25

(HIV). With the isolation of a related AIDS-causing virus, the strains originally called HIV are now termed HIV-1 and the related virus is called HIV-2 See, e.g., Guyader et al. (1987) *Nature* 326:662-669; Brun-Vezinet et al. (1986) *Science* 233:343-346; Clavel et al. (1986) *Nature* 324:691-695.

- 5           A great deal of information has been gathered about the HIV virus; however, to date an effective vaccine has not been identified. Several targets for vaccine development have been examined including the *env* and *Gag* gene products encoded by HIV. *Gag* gene products include, but are not limited to, *Gag*-polymerase and *Gag*-protease. *Env* gene products include, but are not limited to, monomeric gp120  
10 polypeptides, oligomeric gp140 polypeptides and gp160 polypeptides.

- Haas, et al., (*Current Biology* 6(3):315-324, 1996) suggested that selective codon usage by HIV-1 appeared to account for a substantial fraction of the inefficiency of viral protein synthesis. Andre, et al., (*J. Virol.* 72(2):1497-1503, 1998) described an increased immune response elicited by DNA vaccination employing a  
15 synthetic gp120 sequence with modified codon usage. Schneider, et al., (*J Virol.* 71(7):4892-4903, 1997) discuss inactivation of inhibitory (or instability) elements (*INS*) located within the coding sequences of the *Gag* and *Gag*-protease coding sequences.

- The *Gag* proteins of HIV-1 are necessary for the assembly of virus-like  
20 particles. HIV-1 *Gag* proteins are involved in many stages of the life cycle of the virus including, assembly, virion maturation after particle release, and early post-entry steps in virus replication. The roles of HIV-1 *Gag* proteins are numerous and complex (Freed, E.O., *Virology* 251:1-15, 1998).

- Wolf, et al., (PCT International Publication No. WO 96/30523, published 3  
25 October 1996; European Patent Application, Publication No. 0 449 116 A1, published 2 October 1991) have described the use of altered pr55 *Gag* of HIV-1 to act as a non-infectious retroviral-like particulate carrier, in particular, for the presentation of immunologically important epitopes. Wang, et al., (*Virology* 200:524-534, 1994) describe a system to study assembly of HIV *Gag*-beta-galactosidase fusion proteins  
30 into virions. They describe the construction of sequences encoding HIV *Gag*-beta-

galactosidase fusion proteins, the expression of such sequences in the presence of HIV Gag proteins, and assembly of these proteins into virus particles.

Shiver, et al., (PCT International Publication No. WO 98/34640, published 13 August 1998) described altering HIV-1 (CAM1) *Gag* coding sequences to produce  
5 synthetic DNA molecules encoding HIV *Gag* and modifications of HIV *Gag*. The codons of the synthetic molecules were codons preferred by a projected host cell.

Recently, use of HIV Env polypeptides in immunogenic compositions has been described. (see, U.S. Patent No. 5,846,546 to Hurwitz et al., issued December 8, 1998, describing immunogenic compositions comprising a mixture of at least four different  
10 recombinant virus that each express a different HIV env variant; and U.S. Patent No. 5,840,313 to Vahlne et al., issued November 24, 1998, describing peptides which correspond to epitopes of the HIV-1 gp120 protein). In addition, U.S. Patent No. 5,876,731 to Sia et al, issued March 2, 1999 describes candidate vaccines against HIV comprising an amino acid sequence of a T-cell epitope of Gag linked directly to an  
15 amino acid sequence of a B-cell epitope of the V3 loop protein of an HIV-1 isolate containing the sequence GPGR.

PCT International Publication Nos. WO/00/39302; WO/00/39303; WO/00/39304; WO/02/04493; WO/03/004657; WO/03/004620; and WO/03/020876 described a number of codon-optimized HIV polypeptides, as well as some native HIV  
20 sequences. Further, a variety of HIV polypeptides comprising mutations were described. The use of these HIV polypeptides in vaccine compositions and methods of immunization were also described.

The present invention provides improved compositions and methods for generating immune responses against multiple subtypes, serotypes, or strains of a  
25 selected microorganism, for example, a virus (e.g., HIV-1).

#### SUMMARY OF THE INVENTION

The present invention relates to compositions and methods for their use for generating an immune response in a subject. The compositions of the invention  
30 comprise at least two components wherein each component provides a different but analogous polypeptide immunogen. The polypeptide immunogen is provided either

directly in the form of a polypeptide (including polypeptide fragments, modified forms, encapsulated forms, etc.) or indirectly as a polynucleotide immunogen (including DNA and/or RNA encoding a polypeptide immunogen). The compositions of the present invention may be used in methods to generate immune responses in subjects to which the compositions are administered, wherein the immune response is directed against multiple subtypes, serotypes, or strains of a selected microorganisms, for example, viruses (e.g., Human Immunodeficiency Virus (HIV)). In a preferred embodiment, the present invention relates to compositions comprising a polynucleotide component and a polypeptide component that can be used for the generation of immune responses in a subject, for example, the generation of neutralizing antibodies. Other embodiments comprising at least two polynucleotide components each providing a different but analogous polypeptide immunogen, or embodiments comprising at least two polypeptide components each providing a different but analogous polypeptide immunogen are also contemplated. The compositions of the present invention may be used in methods to generate immune responses in subjects to which the compositions are administered, wherein the immune response is directed against multiple strains of a first subtype or serotype of a selected microorganisms, for example, viruses (e.g., Human Immunodeficiency Virus (HIV)).

In a first aspect, the present invention includes a composition for generating an immune response in a mammal. These compositions typically comprise a polynucleotide component consisting essentially of one polynucleotide encoding an HIV immunogenic polypeptide derived from a first HIV strain of a first subtype, and

a polypeptide component comprising one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that at least one HIV immunogenic polypeptide of the polypeptide component is derived from a second HIV strain of the first subtype, wherein said first HIV strain and said second HIV strain are different. A further embodiment of this composition includes the provisos that (i) the polynucleotide component does not encode an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype, and (ii) the polypeptide component does not comprise an



analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype.

In a second aspect, the present invention includes compositions for generating an immune response in a mammal. These compositions typically comprise a

5 polynucleotide component comprising two or more polynucleotide sequences comprising coding sequences for two or more analogous HIV immunogenic polypeptides derived from a first HIV subtype, wherein the coding sequences for at least two of the HIV immunogenic polypeptides are derived from different HIV strains of the first subtype, and a polypeptide component that comprises one or more HIV

10 immunogenic polypeptides analogous to the polypeptide encoded by the polynucleotide component, with the proviso that (i) if the polypeptide component comprises less than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then the HIV immunogenic polypeptides of the polypeptide composition may be derived from the same and/or different HIV strains of

15 the first subtype, or (ii) if the polypeptide component comprises the same or greater than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then at least one of the HIV immunogenic polypeptides of the polypeptide composition is derived from a different HIV strain of the first subtype; with the provisos that (i) the polynucleotide component does not encode an HIV

20 immunogenic polypeptide derived from any subtype other than the first subtype, and (ii) the polypeptide component does not comprise an HIV immunogenic polypeptide derived from any subtype other than the first subtype.

The polynucleotide components of both of these aspects may comprises at least one polynucleotide that is a native polynucleotide. Alternately, or in addition,

25 the polynucleotide components may comprise at least one polynucleotide that is a synthetic polynucleotide. Synthetic polynucleotides may comprise codons optimized for expression in mammalian cells (e.g., human cells). The polynucleotide component may comprise a single polynucleotide molecule, or two or more different polynucleotide molecules, each encoding one or more HIV polypeptides. The

30 polynucleotide component may comprise DNA or RNA or both.

The HIV immunogenic polypeptides (encoded by the polynucleotide component and/or those which comprise the polypeptide component) may be HIV envelope polypeptides. The HIV polypeptides may comprises one or more mutations compared to the wild-type (i.e., naturally-occurring) HIV polypeptide (e.g., in the case of envelope proteins, at least one of the envelope polypeptides may comprise a mutation in the cleavage site or a mutation in the glycosylation site, a deletion or modification of the V1 region, a deletion or modification of the V2 region, a deletion or modification of the V3 region, modifications to expose an envelope binding region that binds to a CCR5 chemokine co-receptor, and combinations thereof). Other immunogenic HIV polypeptides may include, but are not limited to, Gag, Env, Pol, Prot, Int, RT, vif, vpr, vpu, tat, rev, and nef polypeptides.

The first subtype from which the HIV immunogenic polypeptides and coding sequences therefore may be selected includes, but are not limited to, the following: subtypeA, subtypeB, subtypeC, subtypeD, subtypeE, subtypeF, subtypeG, and subtypeO, as well as any of the identified CRFs.

In addition to immunogenic HIV polypeptides and sequences encoding same, the polynucleotide component may encode and the polypeptide component may comprise one or more additional antigenic polypeptides which may include antigenic polypeptides not derived from HIV-1 coding sequences.

The polynucleotide component may further comprise sequences encoding one or more control elements compatible with expression in a selected host cell, wherein the control elements are operable linked to polynucleotides encoding HIV immunogenic polypeptides. Exemplary control elements include, but are not limited to, a transcription promoter (e.g., CMV, CMV+intron A, SV40, RSV, HIV-Ltr, MMLV-Ltr, and metallothionein), a transcription enhancer element, a transcription termination signal, polyadenylation sequences, sequences for optimization of initiation of translation, internal ribosome entry sites, and translation termination sequences.

The polynucleotide component may comprise further components as described herein (e.g., carriers, vector sequences, control sequences, etc.). The polypeptide component may comprise further components as described herein (e.g., carriers, adjuvants, immunoenhancers, etc.).

The present invention also includes methods of generating an immune response in a subject. In one embodiment of the method, a composition for generating an immune response in a mammal of the present invention, for example, as described above, is provided. One or more gene delivery vectors comprising the polynucleotides of the polynucleotide component of the composition are administered to the subject under conditions that are compatible with expression of the polynucleotides in the subject for the production of encoded HIV immunogenic polypeptides. Further, the polypeptide component of the composition for generating an immune response is administered to the subject.

The one or more gene delivery vectors and the polypeptide component may be administered, for example, concurrently or sequentially.

The polynucleotide component may comprise further components as described herein (e.g., carriers, vector sequences, control sequences, etc.). The polypeptide component may comprise further components as described herein (e.g., carriers, adjuvants, immunoenhancers, etc.).

The one or more gene delivery vectors may comprise, for example, nonviral and/or viral vectors. Exemplary non-viral vectors include, but are not limited to plasmids or expression cassettes. Exemplary viral vectors include, but are not limited to retroviral, lentiviral, alphaviral, poxviral, herpes viral, adeno-associated viral, polioviral, measles viral, adenoviral vectors, or other known viral vectors. The one or more gene delivery vectors may be delivered using a particulate carrier, for example, coated on a gold or tungsten particle and the coated particle may be delivered to the subject using a gene gun, or PLG particles delivered by electroporation or otherwise. Alternatively, the one or more gene delivery vectors are encapsulated in a liposome preparation. The one or more gene delivery vectors may be administered, for example, intramuscularly, intramucosally, intranasally, subcutaneously, intradermally, transdermally, intravaginally, intrarectally, orally, intravenously, or by combinations of these methods.

The subjects of the methods of the present invention are typically mammals, for example, humans.

The immune response generated by the methods of the present invention may be humoral and/or cellular. In one embodiment, the immune response results in generating neutralizing antibodies in the subject against multiple strains derived from the first HIV subtype.

- 5           These and other embodiments of the present invention will readily occur to those of ordinary skill in the art in view of the disclosure herein.

#### **BRIEF DESCRIPTION OF THE FIGURES**

- 10           Figures 1A to 1D depict the nucleotide sequence of HIV subtype C 8\_5\_TV1\_C.ZA (SEQ ID NO:1; referred to herein as TV1). Various regions are shown in Table 1.

- Figures 2A-2E depicts an alignment of Env polypeptides from various HIV isolates (Type B-SF162, subtype C-TV1.8\_2, subtype C-TV1.8\_5, subtype C-TV2.12-5/1, subtype C-MJ4, India subtype C-93IN101, subtype A-Q2317, subtype D-15 92UG001, subtype E-cm235, and a Consensus Sequence). The arrows indicate exemplary regions for deletions and/or truncations in the beta and/or bridging sheet region(s). The "\*" denotes N-linked glycosylation sites, one or more of which can be modified (e.g., deleted and/or mutated; one such possible mutation is mutation (N→ Q)).

- 20           Figure 3 presents a schematic diagram showing the relationships between the following forms of the HIV Env polypeptide: gp160, gp140, gp120, and gp41.

            Figure 4 presents neutralizing antibody activity data against HIV-1 subtype B strain SF162 obtained from a number of different immunization protocols in rabbits.

- 25           Figure 5 presents neutralizing antibody activity data against HIV-1 subtype C strain TV1 obtained from a number of different immunization protocols in rabbits.

            Figure 6 presents the nucleotide sequence of the polynucleotide designated gp140.modSF162.delV2.

            Figure 7 presents the nucleotide sequence of the polynucleotide designated gp140.mut7.modSF162.delV2.

- 30           Figure 8 presents the nucleotide sequence of the polynucleotide designated gp140mod.TV1.delV2.

Figure 9 presents the nucleotide sequence of the polynucleotide designated gp140mod.TV1.mut7.delV2.

Figure 10 presents the nucleotide sequence of the polynucleotide designated gp160mod.Q23-17 (optimized sequence based on subtype A HIV-1 isolate Q23-17 from Kenya GenBank Accession AF004885).

Figure 11 presents the nucleotide sequence of the polynucleotide designated gp160mod.98UA0116 (optimized sequence based on subtype A HIV-1 isolate 98UA0116 from Ukraine GenBank Accession AF413987).

Figure 12 presents the nucleotide sequence of the polynucleotide designated gp160mod.SE8538 (optimized sequence based on subtype A HIV-1 isolate SE8538 from Tanzania GenBank Accession AF069669).

Figure 13 presents the nucleotide sequence of the polynucleotide designated gp160mod.UG031 (optimized sequence based on subtype A Human immunodeficiency virus 1 proviral DNA, complete genome, clone:pUG031-A1 GenBank Accession AB098330).

Figure 14 presents the nucleotide sequence of the polynucleotide designated gp160mod.92UG001 (optimized sequence based on subtype D Human immunodeficiency virus type 1 complete proviral genome, strain 92UG001 GenBank Accession AJ320484).

Figure 15 presents the nucleotide sequence of the polynucleotide designated gp160mod.94UG114 (optimized sequence based on subtype D HIV-1 isolate 94UG114 from Uganda GenBank Accession U88824).

Figure 16 presents the nucleotide sequence of the polynucleotide designated gp160mod.ELI (optimized sequence based on subtype D Human immunodeficiency virus type 1, isolate ELI GenBank Accession K03454).

Figure 17 presents the nucleotide sequence of the polynucleotide designated gp160mod.93IN101 (optimized sequence based on Indian subtype C Human immunodeficiency virus type 1 subtype C genomic RNA GenBank Accession AB023804).

Figure 18 presents the nucleotide sequence of the polynucleotide designated gp160mod.cm235.V3con (optimized sequence based on subtype E HIV-1 isolate).

Figure 19 presents the nucleotide sequence of the polynucleotide designated gp160partialmod.cm235.V3 con (optimized sequence based on subtype E HIV-1 isolate).

5 Figure 20 present the ELISA data for binding antibody titers for SF162 Env protein in immunized chimpanzees.

Figure 21 presents lymphoproliferative data from chimpanzees immunized with HIV<sub>MN</sub> env DNA (as a prime) and HIV<sub>SF162</sub> env protein (as boost).

#### DETAILED DESCRIPTION OF THE INVENTION

10 The practice of the present invention will employ, unless otherwise indicated, conventional methods of chemistry, biochemistry, molecular biology, immunology and pharmacology, within the skill of the art. Such techniques are explained fully in the literature. See, e.g., *Remington's Pharmaceutical Sciences*, 18th Edition (Easton, Pennsylvania: Mack Publishing Company, 1990); *Methods In Enzymology* (S. Colowick and N. Kaplan, eds., Academic Press, Inc.); and *Handbook of Experimental*  
15 *Immunology*, Vols. I-IV (D.M. Weir and C.C. Blackwell, eds., 1986, Blackwell Scientific Publications); Sambrook, et al., *Molecular Cloning: A Laboratory Manual* (2nd Edition, 1989); *Short Protocols in Molecular Biology*, 4th ed. (Ausubel et al. eds., 1999, John Wiley & Sons); *Molecular Biology Techniques: An Intensive*  
20 *Laboratory Course*, (Ream et al., eds., 1998, Academic Press); *PCR (Introduction to Biotechniques Series)*, 2nd ed. (Newton & Graham eds., 1997, Springer Verlag).

All patents, publications, sequence citations, and patent applications cited in this specification are herein incorporated by reference as if each individual patent, publication, sequence citation, or patent application was specifically and individually  
25 indicated to be incorporated by reference in its entirety for all purposes.

As used in this specification, the singular forms "a," "an" and "the" include plural references unless the content clearly dictates otherwise. Thus, for example, reference to "an antigen" includes a mixture of two or more such agents.

### 1.0.0 DEFINITIONS

In describing the present invention, the following terms will be employed, and are intended to be defined as indicated below.

- “Synthetic” sequences, as used herein, refers to HIV polypeptide-encoding polynucleotides whose expression has been modified as described herein, for example, by codon substitution, altered activities, and/or inactivation of inhibitory sequences. “Wild-type” or “native” sequences, as used herein, refer to polypeptide-encoding polynucleotides that are substantially as they are found in nature, e.g., Gag, Pol, Vif, Vpr, Tat, Rev, Vpu, Env and/or Nef encoding sequences as found in HIV isolates, e.g.,
- 5 SF162, SF2, AF110965, AF110967, AF110968, AF110975, MJ4 (a subtype C, Ndung’u et al. (2001) *J. Virol.* 75:4964-4972), subtype B-SF162, subtype C-TV1.8\_2 (8\_2\_TV1\_C.ZA), subtype C-TV1.8\_5 (8\_5\_TV1\_C.ZA), subtype C-TV2.12-5/1 (12-5\_1\_TV2\_C.ZA), subtype C-MJ4, India subtype C-93IN101, subtype A-Q2317, subtype D-92UG001, subtype E-cm235, subtype A HIV-1 isolate Q23-17 from Kenya
- 10 GenBank Accession AF004885, subtype A HIV-1 isolate 98UA0116 from Ukraine GenBank Accession AF413987, subtype A HIV-1 isolate SE8538 from Tanzania GenBank Accession AF069669, subtype A Human immunodeficiency virus 1 proviral DNA, complete genome, clone:pUG031-A1 GenBank Accession AB098330, subtype D Human immunodeficiency virus type 1 complete proviral genome, strain 92UG001
- 20 GenBank Accession AJ320484, subtype D HIV-1 isolate 94UG114 from Uganda GenBank Accession U88824, subtype D Human immunodeficiency virus type 1, isolate ELIGenBank Accession K03454, and Indian subtype C Human immunodeficiency virus type 1 subtype C genomic RNA GenBank Accession AB023804.
- 25 The various regions of the HIV genome are shown in Table 1, with numbering relative to 8\_5\_TV1\_C.ZA (Figures 1-A-1D). Thus, the term “Pol” refers to one or more of the following polypeptides: polymerase (p6Pol); protease (prot); reverse transcriptase (p66RT or RT); RNaseH (p15RNaseH); and/or integrase (p31Int or Int). Identification of gene regions for any selected HIV isolate (e.g., strains within a
- 30 subtype, or strains derived from different subtypes) can be performed by one of ordinary skill in the art based on the teachings presented herein and the information

known in the art, for example, by performing nucleotide and/or polypeptide alignments relative to 8\_5\_TV1\_C.ZA (polynucleotide sequence presented in Figures 1A-1D) or alignment to other known HIV isolates, for example, Subtype B isolates with gene regions (e.g., SF2, GenBank Accession number K02007; SF162, GenBank Accession Number M38428) and Subtype C isolates with gene regions (e.g., GenBank Accession Number AF110965 and GenBank Accession Number AF110975).

As used herein, the term “virus-like particle” or “VLP” refers to a nonreplicating, viral shell, derived from any of several viruses discussed further below. VLPs are generally composed of one or more viral proteins, such as, but not limited to those proteins referred to as capsid, coat, shell, surface and/or envelope proteins, or particle-forming polypeptides derived from these proteins. VLPs can form spontaneously upon recombinant expression of the protein in an appropriate expression system. Methods for producing particular VLPs are known in the art and discussed more fully below. The presence of VLPs following recombinant expression of viral proteins can be detected using conventional techniques known in the art, such as by electron microscopy, X-ray crystallography, and the like. See, e.g., Baker et al., *Biophys. J.* (1991) 60:1445-1456; Hagensee et al., *J. Virol.* (1994) 68:4503-4505. For example, VLPs can be isolated by density gradient centrifugation and/or identified by characteristic density banding. Alternatively, cryoelectron microscopy can be performed on vitrified aqueous samples of the VLP preparation in question, and images recorded under appropriate exposure conditions.

By “particle-forming polypeptide” derived from a particular viral protein is meant a full-length or near full-length viral protein, as well as a fragment thereof, or a viral protein with internal deletions, which has the ability to form VLPs under conditions that favor VLP formation. Accordingly, the polypeptide may comprise the full-length sequence, fragments, truncated and partial sequences, as well as analogs and precursor forms of the reference molecule. The term therefore intends deletions, additions and substitutions to the sequence, so long as the polypeptide retains the ability to form a VLP. Thus, the term includes natural variations of the specified polypeptide since variations in coat proteins often occur between viral isolates. The term also includes deletions, additions and substitutions that do not naturally occur in



the reference protein, so long as the protein retains the ability to form a VLP. Preferred substitutions are those which are conservative in nature, i.e., those substitutions that take place within a family of amino acids that are related in their side chains. Specifically, amino acids are generally divided into four families: (1) acidic --

5 aspartate and glutamate; (2) basic -- lysine, arginine, histidine; (3) non-polar -- alanine, valine, leucine, isoleucine, proline, phenylalanine, methionine, tryptophan; and (4) uncharged polar -- glycine, asparagine, glutamine, cystine, serine threonine, tyrosine. Phenylalanine, tryptophan, and tyrosine are sometimes classified as aromatic amino acids.

10 The term "HIV polypeptide" refers to any amino acid sequence that exhibits sequence homology to native HIV polypeptides (e.g., Gag, Env, Prot, Pol, RT, Int, vif, vpr, vpu, tat, rev, nef and/or combinations thereof) and/or which is functional. Non-limiting examples of functions that may be exhibited by HIV polypeptides include, use as immunogens (e.g., to generate a humoral and/or cellular immune response), use in

15 diagnostics (e.g. bound by suitable antibodies for use in ELISAs or other immunoassays) and/or polypeptides which exhibit one or more biological activities associated with the wild type or synthetic HIV polypeptide. For example, as used herein, the term "Gag polypeptide" may refer to a polypeptide that is bound by one or more anti-Gag antibodies; elicits a humoral and/or cellular immune response; and/or

20 exhibits the ability to form particles.

An "antigen" refers to a molecule containing one or more epitopes (either linear, conformational or both) that will stimulate a host's immune system to make a humoral and/or cellular antigen-specific response. The term is used interchangeably with the term "immunogen." Normally, a B-cell epitope will include at least about 5

25 amino acids but can be as small as 3-4 amino acids. A T-cell epitope, such as a CTL epitope, will include at least about 7-9 amino acids, and a helper T-cell epitope at least about 12-20 amino acids. Normally, an epitope will include between about 7 and 15 amino acids, such as, 9, 10, 12 or 15 amino acids. The term "antigen" denotes both subunit antigens, (i.e., antigens which are separate and discrete from a whole organism

30 with which the antigen is associated in nature), as well as, killed, attenuated or inactivated bacteria, viruses, fungi, parasites or other microbes. Antibodies such as

anti-idiotypic antibodies, or fragments thereof, and synthetic peptide mimotopes, which can mimic an antigen or antigenic determinant, are also captured under the definition of antigen as used herein. Similarly, an oligonucleotide or polynucleotide which expresses an antigen or antigenic determinant *in vivo*, such as in gene therapy and DNA immunization applications, is also included in the definition of antigen herein.

For purposes of the present invention, antigens (e.g., polynucleotide encoding antigens, or polypeptides comprising antigens) can be derived from any microorganism having more than one subtype, serotype, or strain variation (e.g., viruses, bacteria, parasites, fungi, etc.). The term also intends any of the various tumor antigens. Furthermore, for purposes of the present invention, an "antigen" refers to a protein which includes modifications, such as deletions, additions and substitutions (generally conservative in nature), to the native sequence, so long as the protein maintains the ability to elicit an immunological response, as defined herein. These modifications may be deliberate, as through site-directed mutagenesis, or may be accidental, such as through mutations of hosts which produce the antigens.

An "immunological response" to an antigen or composition is the development in a subject of a humoral and/or a cellular immune response to an antigen present in the composition of interest. For purposes of the present invention, a "humoral immune response" refers to an immune response mediated by antibody molecules, while a "cellular immune response" is one mediated by T-lymphocytes and/or other white blood cells. One important aspect of cellular immunity involves an antigen-specific response by cytolytic T-cells ("CTL"s). CTLs have specificity for peptide antigens that are presented in association with proteins encoded by the major histocompatibility complex (MHC) and expressed on the surfaces of cells. CTLs help induce and promote the destruction of intracellular microbes, or the lysis of cells infected with such microbes. Another aspect of cellular immunity involves an antigen-specific response by helper T-cells. Helper T-cells act to help stimulate the function, and focus the activity of, nonspecific effector cells against cells displaying peptide antigens in association with MHC molecules on their surface. A "cellular immune response" also refers to the production of cytokines, chemokines and other

such molecules produced by activated T-cells and/or other white blood cells, including those derived from CD4+ and CD8+ T-cells.

A composition or vaccine that elicits a cellular immune response may serve to sensitize a vertebrate subject by the presentation of antigen in association with MHC molecules at the cell surface. The cell-mediated immune response is directed at, or near, cells presenting antigen at their surface. In addition, antigen-specific T-lymphocytes can be generated to allow for the future protection of an immunized host.

The ability of a particular antigen to stimulate a cell-mediated immunological response may be determined by a number of assays, such as by lymphoproliferation (lymphocyte activation) assays, CTL cytotoxic cell assays, or by assaying for T-lymphocytes specific for the antigen in a sensitized subject. Such assays are well known in the art. See, e.g., Erickson et al., *J. Immunol.* (1993) 151:4189-4199; Doe et al., *Eur. J. Immunol.* (1994) 24:2369-2376. Recent methods of measuring cell-mediated immune response include measurement of intracellular cytokines or cytokine secretion by T-cell populations, or by measurement of epitope specific T-cells (e.g., by the tetramer technique)(reviewed by McMichael, A.J., and O'Callaghan, C.A., *J. Exp. Med.* **187**(9)1367-1371, 1998; Mcheyzer-Williams, M.G., et al, *Immunol. Rev.* **150**:5-21, 1996; Lalvani, A., et al, *J. Exp. Med.* **186**:859-865, 1997).

Thus, an immunological response as used herein may be one that stimulates the production of antibodies (e.g., neutralizing antibodies that block bacterial toxins and pathogens such as viruses entering cells and replicating by binding to toxins and pathogens, typically protecting cells from infection and destruction). The antigen of interest may also elicit production of CTLs. Hence, an immunological response may include one or more of the following effects: the production of antibodies by B-cells; and/or the activation of suppressor T-cells and/or memory/effector T-cells directed specifically to an antigen or antigens present in the composition or vaccine of interest. These responses may serve to neutralize infectivity, and/or mediate antibody-complement, or antibody dependent cell cytotoxicity (ADCC) to provide protection to an immunized host. Such responses can be determined using standard immunoassays and neutralization assays, well known in the art. (See, e.g., Montefiori et al. (1988) *J.*

*Clin Microbiol.* 26:231-235; Dreyer et al. (1999) *AIDS Res Hum Retroviruses* (1999) 15(17):1563-1571).

An "immunogenic HIV polypeptide" is a polypeptide capable of eliciting an immune response against one or more native HIV polypeptides, when the  
5 immunogenic polypeptide is administered to a laboratory test animal (such as a mouse, guinea pig, rhesus macaque, chimpanzee, baboon, etc.).

An "immunogenic composition" is a composition that comprises an antigenic molecule where administration of the composition to a subject results in the development in the subject of a humoral and/or a cellular immune response to the  
10 antigenic molecule of interest. The immunogenic composition can be introduced directly into a recipient subject, such as by injection, inhalation, oral, intranasal and mucosal (e.g., intra-rectally or intra-vaginally) administration.

By "subunit vaccine" is meant a vaccine composition which includes one or more selected antigens but not all antigens, derived from or homologous to, an antigen  
15 from a pathogen of interest such as from a virus, bacterium, parasite or fungus. Such a composition is substantially free of intact pathogen cells or pathogenic particles, or the lysate of such cells or particles. Thus, a "subunit vaccine" can be prepared from at least partially purified (preferably substantially purified) immunogenic polypeptides from the pathogen, or analogs thereof. The method of obtaining an antigen included  
20 in the subunit vaccine can thus include standard purification techniques, recombinant production, or synthetic production.

"Substantially purified" general refers to isolation of a substance (compound, polynucleotide, protein, polypeptide, polypeptide composition) such that the substance comprises the majority percent of the sample in which it resides. Typically in a sample  
25 a substantially purified component comprises 50%, preferably 80%-85%, more preferably 90-95% of the sample. Techniques for purifying polynucleotides and polypeptides of interest are well-known in the art and include, for example, ion-exchange chromatography, affinity chromatography and sedimentation according to density.

30 A "polynucleotide coding sequence" or a polynucleotide sequence that "encodes" a selected polypeptide, is a nucleic acid molecule that is transcribed (in the

case of DNA) and translated (in the case of mRNA) into a polypeptide *in vivo* when placed under the control of appropriate regulatory sequences (or “control elements”). The boundaries of the coding sequence are determined by a start codon, for example, at or near the 5’ terminus and a translation stop codon, for example, at or near the 3’ terminus. A coding sequence can include, but is not limited to, cDNA from viral, procaryotic or eucaryotic mRNA, genomic DNA sequences from viral or procaryotic DNA, and even synthetic DNA sequences. Exemplary coding sequences are codon optimized viral polypeptide-coding sequences used in the present invention. The coding regions of the polynucleotide sequences of the present invention are identifiable by one of skill in the art and may, for example, be easily identified by performing translations of all three frames of the polynucleotide and identifying the frame corresponding to the encoded polypeptide, for example, a synthetic nef polynucleotide of the present invention encodes a nef-derived polypeptide. A transcription termination sequence may be located 3’ to the coding sequence.

Typical “control elements”, include, but are not limited to, transcription regulators, such as promoters, transcription enhancer elements, transcription termination signals, and polyadenylation sequences; and translation regulators, such as sequences for optimization of initiation of translation, *e.g.*, Shine-Dalgarno (ribosome binding site) sequences, internal ribosome entry sites (IRES) such as the ECMV IRES, Kozak-type sequences (*i.e.*, sequences for the optimization of translation, located, for example, 5’ to the coding sequence, *e.g.*, GCCACC placed in front (5’) of an initiating ATG), leader sequences, translation initiation codon (*e.g.*, ATG), and translation termination sequences (*e.g.*, TAA or, preferably, TAAA placed after (3’) the coding sequence). In certain embodiments, one or more translation regulation or initiation sequences (*e.g.*, the leader sequence) are derived from wild-type translation initiation sequences, *i.e.*, sequences that regulate translation of the coding region in their native state. Wild-type leader sequences that have been modified, using the methods described herein, also find use in the present invention. Promoters can include inducible promoters (where expression of a polynucleotide sequence operably linked to the promoter is induced by an analyte, cofactor, regulatory protein, etc.), repressible promoters (where expression of a polynucleotide sequence operably linked to the

promoter is induced by an analyte, cofactor, regulatory protein, etc.), and constitutive promoters.

A "nucleic acid" molecule or "polynucleotide" can include, but is not limited to, procaryotic sequences, eucaryotic mRNA, cDNA from eucaryotic mRNA, genomic DNA sequences from eucaryotic (e.g., mammalian) DNA, and even synthetic DNA sequences. The term also captures sequences that include any of the known base analogs of DNA and RNA. In referring to the polynucleotide of the invention, in those examples in which "DNA" is specifically recited, it will be apparent that for many such embodiments, RNA is likewise intended.

"Operably linked" refers to an arrangement of elements wherein the components so described are configured so as to perform their usual function. Thus, a given promoter operably linked to a coding sequence is capable of effecting the expression of the coding sequence when the proper enzymes are present. The promoter need not be contiguous with the coding sequence, so long as it functions to direct the expression thereof. Thus, for example, intervening untranslated yet transcribed sequences can be present between the promoter sequence and the coding sequence and the promoter sequence can still be considered "operably linked" to the coding sequence.

"Recombinant" as used herein to describe a nucleic acid molecule means a polynucleotide of genomic, cDNA, semisynthetic, or synthetic origin which, by virtue of its origin or manipulation: (1) is not associated with all or a portion of the polynucleotide with which it is associated in nature; and/or (2) is linked to a polynucleotide other than that to which it is linked in nature. The term "recombinant" as used with respect to a protein or polypeptide means a polypeptide produced by expression of a recombinant polynucleotide. "Recombinant host cells," "host cells," "cells," "cell lines," "cell cultures," and other such terms denoting procaryotic microorganisms or eucaryotic cell lines cultured as unicellular entities, are used interchangeably, and refer to cells which can be, or have been, used as recipients for recombinant vectors or other transfer DNA, and include the progeny of the original cell which has been transfected. It is understood that the progeny of a single parental cell may not necessarily be completely identical in morphology or in genomic or total

DNA complement to the original parent, due to accidental or deliberate mutation. Progeny of the parental cell which are sufficiently similar to the parent to be characterized by the relevant property, such as the presence of a nucleotide sequence encoding a desired peptide, are included in the progeny intended by this definition, and are covered by the above terms.

Techniques for determining amino acid sequence "similarity" are well known in the art. In general, "similarity" means the exact amino acid to amino acid comparison of two or more polypeptides at the appropriate place, where amino acids are identical or possess similar chemical and/or physical properties such as charge or hydrophobicity. A so-termed "percent similarity" then can be determined between the compared polypeptide sequences. Techniques for determining nucleic acid and amino acid sequence identity also are well known in the art and include determining the nucleotide sequence of the mRNA for the gene encoding the amino acid sequence (usually via a cDNA intermediate) and determining the amino acid sequence encoded thereby, and comparing this to a second amino acid sequence. In general, "identity" refers to an exact amino acid to amino acid or nucleotide to nucleotide correspondence of two polypeptide sequences or polynucleotide sequences, respectively.

Two or more polynucleotide sequences can be compared by determining their "percent identity." Two or more amino acid sequences likewise can be compared by determining their "percent identity." The percent identity of two sequences, whether nucleic acid or peptide sequences, is generally described as the number of exact matches between two aligned sequences divided by the length of the shorter sequence and multiplied by 100. An approximate alignment for nucleic acid sequences is provided by the local homology algorithm of Smith and Waterman, *Advances in Applied Mathematics* 2:482-489 (1981). This algorithm can be extended to use with peptide sequences using the scoring matrix developed by Dayhoff, *Atlas of Protein Sequences and Structure*, M.O. Dayhoff ed., 5 suppl. 3:353-358, National Biomedical Research Foundation, Washington, D.C., USA, and normalized by Gribskov, *Nucl. Acids Res.* 14(6):6745-6763 (1986). An implementation of this algorithm for nucleic acid and peptide sequences is provided by the Genetics Computer Group (Madison,

WI) in their BestFit utility application. The default parameters for this method are described in the Wisconsin Sequence Analysis Package Program Manual, Version 8 (1995) (available from Genetics Computer Group, Madison, WI). Other equally suitable programs for calculating the percent identity or similarity between sequences are generally known in the art.

For example, percent identity of a particular nucleotide sequence to a reference sequence can be determined using the homology algorithm of Smith and Waterman with a default scoring table and a gap penalty of six nucleotide positions. Another method of establishing percent identity in the context of the present invention is to use the MPSRCH package of programs copyrighted by the University of Edinburgh, developed by John F. Collins and Shane S. Sturrok, and distributed by IntelliGenetics, Inc. (Mountain View, CA). From this suite of packages, the Smith-Waterman algorithm can be employed where default parameters are used for the scoring table (for example, gap open penalty of 12, gap extension penalty of one, and a gap of six). From the data generated, the "Match" value reflects "sequence identity." Other suitable programs for calculating the percent identity or similarity between sequences are generally known in the art, such as the alignment program BLAST, which can also be used with default parameters. For example, in a preferred embodiment, BLASTN and BLASTP can be used with the following default parameters for nucleic acid searches -- genetic code = standard; filter = none; strand = both; cutoff = 60; expect = 10; Matrix = BLOSUM62; Descriptions = 50 sequences; sort by = HIGH SCORE; Databases = non-redundant, GenBank + EMBL + DDBJ + PDB + GenBank CDS translations + Swiss protein + Spupdate + PIR; (ii) polypeptide searches -- . Details of these programs can be found at the following internet address: [www.ncbi.nlm.gov/cgi-bin/BLAST](http://www.ncbi.nlm.gov/cgi-bin/BLAST).

Protein similarity and percent identity sequence searches can be carried out, for example, using Smith-Waterman Similarity Search algorithms (e.g., at [www.ncbi.nlm.gov](http://www.ncbi.nlm.gov), or from commercial sources, such as, TimeLogic Corporation, Crystal Bay, NV). For example, in a preferred embodiment, the Smith-Waterman Similarity Search can be used with default parameters, for example, as follows: Weight MATRIX = BLOSUM62.MAA; Gap Opening PENALTY = -12; Gap



Extension PENALTY = -2; FRAME PENALTY = 0; QUERY FORMAT = FASTA/PEARSON; QUERY TYPE = AA; QUERY SEARCH = 1; QUERY SET = CGI\_1d82ws301bde.seq; TARGET TYPE = AA; TARGET SET = NRPdb gsaa; SIGNIFICANCE = GAPPED; MAX SCORES = 30; MAX ALIGNMENTS = 20; 5 Reporting THRESHOLD = Score=1; ALIGNMENT THRESHOLD = 20.

One of skill in the art can readily determine the proper search parameters to use for a given sequence, exemplary preferred Smith Waterman based parameters are presented above. For example, the search parameters may vary based on the size of the sequence in question. Thus, for polynucleotide sequences of the present invention 10 the length of the polynucleotide sequence disclosed herein is searched against a selected database and compared to sequences of essentially the same length to determine percent identity. For example, a representative embodiment of the present invention would include an isolated polynucleotide comprising X contiguous nucleotides, wherein (i) the X contiguous nucleotides have at least about a selected 15 level of percent identity relative to Y contiguous nucleotides of one or more of the sequences described herein or fragment thereof, and (ii) for search purposes X equals Y, wherein Y is a selected reference polynucleotide of defined length (for example, a length of from 15 nucleotides up to the number of nucleotides present in a selected full-length sequence).

20 The sequences of the present invention can include fragments of the sequences, for example, from about 15 nucleotides up to the number of nucleotides present in the full-length sequences described herein, including all integer values falling within the above-described range. For example, fragments of the polynucleotide sequences of the present invention may be 30-60 nucleotides, 60-120 nucleotides, 120-240 25 nucleotides, 240-480 nucleotides, 480-1000 nucleotides, and all integer values therebetween.

The synthetic polynucleotides described herein include related polynucleotide sequences having about 80% to 100%, greater than 80-85%, preferably greater than 90-92%, more preferably greater than 95%, and most preferably greater than 98% up 30 to 100% (including all integer values falling within these described ranges) sequence identity to the synthetic polynucleotide sequences disclosed herein when the

sequences of the present invention are used as the query sequence against, for example, a database of sequences.

Two nucleic acid fragments are considered to "selectively hybridize" as described herein. The degree of sequence identity between two nucleic acid molecules affects the efficiency and strength of hybridization events between such molecules. A partially identical nucleic acid sequence will at least partially inhibit a completely identical sequence from hybridizing to a target molecule. Inhibition of hybridization of the completely identical sequence can be assessed using hybridization assays that are well known in the art (e.g., Southern blot, Northern blot, solution hybridization, or the like, see Sambrook, et al., *supra* or Ausubel et al., *supra*). Such assays can be conducted using varying degrees of selectivity, for example, using conditions varying from low to high stringency. If conditions of low stringency are employed, the absence of non-specific binding can be assessed using a secondary probe that lacks even a partial degree of sequence identity (for example, a probe having less than about 30% sequence identity with the target molecule), such that, in the absence of non-specific binding events, the secondary probe will not hybridize to the target.

When utilizing a hybridization-based detection system, a nucleic acid probe is chosen that is complementary to a target nucleic acid sequence, and then by selection of appropriate conditions the probe and the target sequence "selectively hybridize," or bind, to each other to form a hybrid molecule. A nucleic acid molecule that is capable of hybridizing selectively to a target sequence under "moderately stringent" typically hybridizes under conditions that allow detection of a target nucleic acid sequence of at least about 10-14 nucleotides in length having at least approximately 70% sequence identity with the sequence of the selected nucleic acid probe. Stringent hybridization conditions typically allow detection of target nucleic acid sequences of at least about 10-14 nucleotides in length having a sequence identity of greater than about 90-95% with the sequence of the selected nucleic acid probe. Hybridization conditions useful for probe/target hybridization where the probe and target have a specific degree of sequence identity, can be determined as is known in the art (see, for example, Nucleic Acid Hybridization: A Practical Approach, editors B.D. Hames and S.J. Higgins, (1985) Oxford; Washington, DC; IRL Press).

With respect to stringency conditions for hybridization, it is well known in the art that numerous equivalent conditions can be employed to establish a particular stringency by varying, for example, the following factors: the length and nature of probe and target sequences, base composition of the various sequences, concentrations of salts and other hybridization solution components, the presence or absence of blocking agents in the hybridization solutions (e.g., formamide, dextran sulfate, and polyethylene glycol), hybridization reaction temperature and time parameters, as well as, varying wash conditions. The selection of a particular set of hybridization conditions is selected following standard methods in the art (see, for example, Sambrook, et al., *supra* or Ausubel et al., *supra*).

A first polynucleotide is "derived from" second polynucleotide if the first polynucleotide has the same basepair sequence as a region of the second polynucleotide, its cDNA, complements thereof, or if the first polynucleotide displays substantial sequence identity to a region of the second polynucleotide, its cDNA, complements thereof, wherein sequence identity is determined as described above. Substantial sequence identity is typically about 90% or greater, preferably about 95% or greater, more preferably about 98% or greater.

A first polypeptide is "derived from" a second polypeptide if it is encoded by a first polynucleotide derived from a second polynucleotide, or the first polypeptide has the same amino acid sequence as the second polypeptide or a portion thereof, or the first polypeptide displays substantial sequence identity to the second polypeptide or a portion thereof, wherein sequence identity is determined as described above. Substantial sequence identity is typically about 90% or greater, preferably about 95% or greater, more preferably about 98% or greater.

Generally, a viral polypeptide is "derived from" a particular polypeptide of a virus (viral polypeptide) if it is (i) encoded by the same open reading frame of a polynucleotide of that virus (viral polynucleotide), or (ii) displays substantial sequence identity to a polypeptide of that virus as described above.

A polypeptide is "derived from" an HIV subtype if it is derived from a polypeptide present in a member of the subtype, derived from a polypeptide encoded by a polynucleotide present in a member of the subtype, encoded by a polynucleotide

that is derived from a polynucleotide present in a member of the subtype, or derived from a polypeptide encoded by a polynucleotide that is derived from a polynucleotide present in a member of the subtype.

A polypeptide is “derived from” an HIV strain if it is derived from a polypeptide present in a member of the strain, derived from a polypeptide encoded by a polynucleotide present in a member of the strain, encoded by a polynucleotide that is derived from a polynucleotide present in a member of the strain, or derived from a polypeptide encoded by a polynucleotide that is derived from a polynucleotide present in a member of the strain.

“Analogous polypeptides” refers to polypeptides that are encoded by, or derived from polypeptides encoded by, the same gene of the same organism but from different polynucleotide sources. In the context of the present invention, different polynucleotide sources could be different subtypes, different serotypes or different strains. Thus, for example, a Gag polypeptide from a Subtype B HIV would be an analogous polypeptide to a Gag polypeptide from a Subtype C HIV, or an envelope polypeptide derived from a first HIV-1 subtype, serotype, or strain would be an analogous polypeptide to an envelope polypeptide derived from a second HIV-1 subtype, serotype, or strain. Examples of types of analogous polypeptides that could be derived from different HIV-1 subtypes or strains include, the envelope polypeptides gp41, gp120, gp140, and gp160, all of which are considered analogous polypeptides. Further, such analogous polypeptides may each comprise different alterations or mutations, for example, analogous polypeptides derived from the HIV-1 envelope gene include, but are not limited to, the following: a gp41 polypeptide, a gp120 polypeptide, a gp140 polypeptide, a gp160 polypeptide, a gp140 comprising a deletion of a portion of the V1 loop, a gp140 polypeptide comprising a deletion of a portion of the V2 loop, a gp 140 polypeptide comprising a deletion of a portion of the V3 loop, a gp140 polypeptide with a mutated protease cleavage site, a gp160 comprising a deletion of a portion of the V1 loop, a gp160 polypeptide comprising a deletion of a portion of the V2 loop, a gp 160 polypeptide comprising a deletion of a portion of the V3 loop, and a gp160 polypeptide with a mutated protease cleavage site.

A "gene" as used in the context of the present invention is a sequence of nucleotides in a genetic nucleic acid (viral genome, chromosome, plasmid, etc.) with which a genetic function is associated. A gene is a hereditary unit, for example of an organism comprising a polynucleotide sequence (e.g., an RNA sequence for HIV-1 or a proviral HIV-1 DNA sequence), that occupies a specific physical location (a "gene locus" or "genetic locus") within the genome of an organism. A gene can encode an expressed product, such as a polypeptide or a polynucleotide (e.g., tRNA).

Alternatively, a gene may define a genomic location for a particular event/function, such as the binding of proteins and/or nucleic acids (e.g., 5' LTR), wherein the gene does not encode an expressed product. Examples of HIV-1 genes include, but are not limited to, Gag, Env, Pol (prot, RNase, Int), tat, rev, nef, vif, vpr, and vpu. A gene may include coding sequences, such as, polypeptide encoding sequences, and non-coding sequences, such as, promoter sequences, poly-adenylation sequences, transcriptional regulatory sequences (e.g., enhancer sequences). Many eucaryotic genes have "exons" (coding sequences) interrupted by "introns" (non-coding sequences). In certain cases, a gene may share sequences with another gene(s) (e.g., overlapping genes). It is noted that in the general population, wild-type genes may include multiple prevalent versions that contain alterations in sequence relative to each other. These variations are designated "polymorphisms" or "allelic variations."

"Purified polynucleotide" refers to a polynucleotide of interest or fragment thereof that is essentially free, e.g., contains less than about 50%, preferably less than about 70%, and more preferably less than about 90%, of the protein with which the polynucleotide is naturally associated. Techniques for purifying polynucleotides of interest are well-known in the art and include, for example, disruption of the cell containing the polynucleotide with a chaotropic agent and separation of the polynucleotide(s) and proteins by ion-exchange chromatography, affinity chromatography and sedimentation according to density.

By "nucleic acid immunization" is meant the introduction of a nucleic acid molecule encoding one or more selected antigens into a host cell, for the *in vivo* expression of an antigen, antigens, an epitope, or epitopes. The nucleic acid molecule can be introduced directly into a recipient subject, such as by injection, inhalation,

oral, intranasal and mucosal administration, or the like, or can be introduced *ex vivo*, into cells which have been removed from the host. In the latter case, the transformed cells are reintroduced into the subject where an immune response can be mounted against the antigen encoded by the nucleic acid molecule.

5           “Gene transfer” or “gene delivery” refers to methods or systems for reliably inserting nucleic acid (i.e., DNA or RNA) of interest into a host cell. Such methods can result in transient expression of non-integrated transferred DNA, extrachromosomal replication and expression of transferred replicons (e.g., episomes), or integration of transferred genetic material into the genomic DNA of host cells.

10          Gene delivery expression vectors include, but are not limited to, vectors derived from adenoviruses, adeno-associated viruses, alphaviruses, herpes viruses, measles viruses, polio viruses, pox viruses, vesiculoviruses and vaccinia viruses. When used for immunization, such gene delivery expression vectors may be referred to as vaccines or vaccine vectors.

15           The term “transfection” is used to refer to the uptake of foreign DNA by a cell. A cell has been “transfected” when exogenous DNA has been introduced inside the cell membrane. A number of transfection techniques are generally known in the art. See, e.g., Graham et al. (1973) *Virology*, 52:456, Sambrook et al. (1989) *Molecular Cloning, a laboratory manual*, Cold Spring Harbor Laboratories, New York, Davis et al. (1986) *Basic Methods in Molecular Biology*, Elsevier, and Chu et al. (1981) *Gene*  
20          13:197. Such techniques can be used to introduce one or more exogenous DNA moieties into suitable host cells. The term refers to both stable and transient uptake of the genetic material, and includes uptake of peptide- or antibody-linked DNAs.

25           A “vector” is capable of transferring gene sequences to target cells (e.g., viral vectors, non-viral vectors, particulate carriers, and liposomes). Typically, “vector construct,” “expression vector,” and “gene transfer vector,” mean any nucleic acid construct capable of directing the expression of a gene of interest and which can be used to transfer gene sequences to target cells. Thus, the term includes cloning and expression vehicles, as well as viral vectors.

30           “Lentiviral vector,” and “recombinant lentiviral vector” refer to a nucleic acid construct which carries, and within certain embodiments, is capable of directing the

expression of a nucleic acid molecule of interest. The lentiviral vector include at least one transcriptional promoter/enhancer or locus defining element(s), or other elements which control gene expression by other means such as alternate splicing, nuclear RNA export, post-translational modification of messenger, or post-transcriptional

- 5 modification of protein. Such vector constructs must also include a packaging signal, long terminal repeats (LTRS) or portion thereof, and positive and negative strand primer binding sites appropriate to the retrovirus used (if these are not already present in the retroviral vector). Optionally, the recombinant lentiviral vector may also include a signal which directs polyadenylation, selectable markers such as Neo, TK, 10 hygromycin, phleomycin, histidinol, or DHFR, as well as one or more restriction sites and a translation termination sequence. By way of example, such vectors typically include a 5' LTR, a tRNA binding site, a packaging signal, an origin of second strand DNA synthesis, and a 3'LTR or a portion thereof

- “Lentiviral vector particle” as utilized within the present invention refers to a 15 lentivirus which carries at least one gene of interest. The retrovirus may also contain a selectable marker. The recombinant lentivirus is capable of reverse transcribing its genetic material (RNA) into DNA and incorporating this genetic material into a host cell's DNA upon infection. Lentiviral vector particles may have a lentiviral envelope, a non-lentiviral envelope (e.g., an amphi or VSV-G envelope), or a chimeric 20 envelope.

- “Alphaviral vector”, and “recombinant alphaviral vector” and “alphaviral replicon vector” refer to a nucleic acid construct which carries, and within certain embodiments, is capable of directing the expression of a nucleic acid molecule of interest. The alphaviral vector includes at least one transcriptional promoter/enhancer 25 or other elements which control gene expression by other means such as alternate splicing, nuclear RNA export, post-translational modification of messenger, or post-transcriptional modification of protein. Such vector constructs must also include a packaging signal, and alphaviral replication recognition sequences. Optionally, the recombinant alphaviral vector may also include a signal which directs 30 polyadenylation, selectable markers such as Neo, TK, hygromycin, phleomycin, histidinol, or DHFR, as well as one or more restriction sites and a translation

termination sequence. Typically, the alphaviral vector will include coding sequences for the alphaviral non-structural proteins, a packaging site, replication recognition sequences and a sequence capable of directing the expression of the nucleic acid molecule of interest.

5           “Expression cassette” refers to an assembly which is capable of directing the expression of a sequence or gene of interest. An expression cassette typically includes a promoter which is operably linked to the polynucleotide sequences or gene(s) of interest. Other control elements may be present as well. Expression cassettes described herein may be contained within a plasmid construct. In addition to the  
10 components of the expression cassette, the plasmid construct may also include a bacterial origin of replication, one or more selectable markers, a signal which allows the plasmid construct to exist as single-stranded DNA (e.g., a M13 origin of replication), a multiple cloning site, and a “mammalian” origin of replication (e.g., a SV40 or adenovirus origin of replication).

15           “Packaging cell” refers to a cell that comprises those elements necessary for production of infectious recombinant viral vector, but which lack the recombinant viral vector. Typically, such packaging cells contain one or more expression cassettes that are capable of expressing proteins necessary for the replication and packaging of an introduced vector, for example, in the case of a lentiviral vector expression  
20 cassettes which encode *Gag*, *pol* and *env* proteins, in the case of an alphaviral vector, expression cassettes that encode alphaviral structural proteins.

          “Producer cell” or “vector producing cell” refers to a cell which contains all elements necessary for production of recombinant viral vector particles.

          Transfer of a “suicide gene” (e.g., a drug-susceptibility gene) to a target cell  
25 renders the cell sensitive to compounds or compositions that are relatively nontoxic to normal cells. Moolten, F.L. (1994) *Cancer Gene Ther.* 1:279-287. Examples of suicide genes are thymidine kinase of herpes simplex virus (HSV-tk), cytochrome P450 (Manome et al. (1996) *Gene Therapy* 3:513-520), human deoxycytidine kinase (Manome et al. (1996) *Nature Medicine* 2(5):567-573) and the bacterial enzyme  
30 cytosine deaminase (Dong et al. (1996) *Human Gene Therapy* 7:713-720). Cells which express these genes are rendered sensitive to the effects of the relatively



nontoxic prodrugs ganciclovir (HSV-tk), cyclophosphamide (cytochrome P450 2B1), cytosine arabinoside (human deoxycytidine kinase) or 5-fluorocytosine (bacterial cytosine deaminase). Culver et al. (1992) *Science* 256:1550-1552, Huber et al. (1994) *Proc. Natl. Acad. Sci. USA* 91:8302-8306.

- 5           A “selectable marker” or “reporter marker” refers to a nucleotide sequence included in a gene transfer vector that has no therapeutic activity, but rather is included to allow for simpler preparation, manufacturing, characterization or testing of the gene transfer vector.

- 10           A “specific binding agent” refers to a member of a specific binding pair of molecules wherein one of the molecules specifically binds to the second molecule through chemical and/or physical means. One example of a specific binding agent is an antibody directed against a selected antigen.

- By “subject” is meant any member of the subphylum chordata, including, without limitation, humans and other primates, including non-human primates such as  
15   baboons, rhesus macaque, chimpanzees and other apes and monkey species; farm animals such as cattle, sheep, pigs, goats and horses; domestic mammals such as dogs and cats; laboratory animals including rodents such as mice, rats, rabbits, and guinea pigs; birds, including domestic, wild and game birds such as chickens, turkeys and other gallinaceous birds, ducks, geese, and the like. The term does not denote a  
20   particular age. Thus, both adult and newborn individuals are intended to be covered. The system described above is intended for use in any of the above vertebrate species, since the immune systems of all of these vertebrates operate similarly.

- By “subtype” is meant a phylogenetic classification of similar organisms into groups based on similarities at the genetic (i.e., nucleic acid sequence) level. Such  
25   groups are designated “subtypes.” In the HIV field, a well known and widely accepted centralized organization for the determination of such similarities and classification of particular viral isolates into subtypes is the Los Alamos National Laboratory. The HIV subtypes referred to herein are those as determined by the Los Alamos National Laboratory. (See, e.g., Myers, et al., Los Alamos Database, Los  
30   Alamos National Laboratory, Los Alamos, New Mexico; Myers, et al., Human

Retroviruses and Aids, 1990, Los Alamos, New Mexico: Los Alamos National Laboratory.) A subtype can also be referred to as a "clade."

By "serotype" is meant a classification of similar organisms based on antibody cross-reactivity.

5 By "strain" is intended an organism from within the subtype but which is differentiated from other members of the same subtype based on differences in nucleic acid sequence.

By "pharmaceutically acceptable" or "pharmacologically acceptable" is meant a material which is not biologically or otherwise undesirable, i.e., the material may be  
10 administered to an individual in a formulation or composition without causing any undesirable biological effects or interacting in a deleterious manner with any of the components of the composition in which it is contained.

By "physiological pH" or a "pH in the physiological range" is meant a pH in the range of approximately 7.0 to 8.0 inclusive, more typically in the range of  
15 approximately 7.2 to 7.6 inclusive.

As used herein, "treatment" refers to any of (i) the prevention of infection or reinfection, as in a traditional vaccine, (ii) the reduction or elimination of symptoms, or (iii) the substantial or complete elimination of the pathogen in question. Treatment may be effected prophylactically (prior to infection) or therapeutically (following  
20 infection).

By "co-administration" is meant administration of more than one composition, component of a composition, or molecule. Thus, co-administration includes concurrent administration or sequentially administration (in any order), via the same or different routes of administration. Non-limiting examples of co-administration  
25 regimes include, co-administration of nucleic acid and polypeptide; co-administration of different nucleic acids (e.g., different expression cassettes as described herein and/or different gene delivery vectors); and co-administration of different polypeptides (e.g., different HIV polypeptides and/or different adjuvants). The term also encompasses multiple administrations of one of the co-administered molecules or  
30 compositions (e.g., multiple administrations of one or more of the expression cassettes described herein followed by one or more administrations of a polypeptide-containing

composition). In cases where the molecules or compositions are delivered sequentially, the time between each administration can be readily determined by one of skill in the art in view of the teachings herein.

5 “T lymphocytes” or “T cells” are non-antibody producing lymphocytes that constitute a part of the cell-mediated arm of the immune system. T cells arise from immature lymphocytes that migrate from the bone marrow to the thymus, where they undergo a maturation process under the direction of thymic hormones. Here, the mature lymphocytes rapidly divide increasing to very large numbers. The maturing T cells become immunocompetent based on their ability to recognize and bind a specific  
10 antigen. Activation of immunocompetent T cells is triggered when an antigen binds to the lymphocyte’s surface receptors.

#### **2.0.0 MODES OF CARRYING OUT THE INVENTION**

Before describing the present invention in detail, it is to be understood that this  
15 invention is not limited to particular formulations or process parameters as such may, of course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments of the invention only, and is not intended to be limiting.

Although a number of methods and materials similar or equivalent to those  
20 described herein can be used in the practice of the present invention, the preferred materials and methods are described herein.

#### **2.1.0 GENERAL OVERVIEW OF THE INVENTION**

The present invention relates to combination approaches to generate immune  
25 responses in subjects using compositions comprising immunogenic polynucleotides and polypeptides.

In one general aspect of the present invention, a polynucleotide component of the present invention consists essentially of one polynucleotide encoding a immunogenic polypeptide derived from a microorganism (e.g., virus, bacteria, fungi,  
30 etc.), and a polypeptide component that comprises one or more immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component,

with the proviso that at least one immunogenic polypeptide of the polypeptide component is derived from a different subtype, serotype, or strain of the microorganism than the coding sequence of the immunogenic polypeptide encoded by the polynucleotide component. In this context, the polynucleotide component

- 5 consisting essentially of one polynucleotide encoding an immunogenic polypeptide refers to the presence of one polynucleotide encoding one immunogenic polypeptide in the composition. The polynucleotide composition may comprise further components, such as immune enhancers, immunoregulatory components, vector sequences (e.g., viral or non-viral), carriers, particles, excipients, expression control
- 10 sequences, etc. In addition, the polynucleotide component may include further components such as molecules to enhance the immune response (e.g., liposomes, PLG, particles, alum, etc.). Further, the polypeptide component may comprise further components, such as, immune enhancers, immunoregulatory components, adjuvants, carriers, particles, excipients, etc. In a further embodiment of this composition, the
- 15 polynucleotide component does not encode an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype, and the polypeptide component does not comprise an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype.

- 20 In a second general aspect of the present invention, a polynucleotide component comprises two or more polynucleotide sequences comprising coding sequences for two or more analogous immunogenic polypeptides derived from a microorganism (e.g., virus, bacteria, fungi, etc.), wherein the coding sequences for at least two of the immunogenic polypeptides are derived from different subtypes,
- 25 serotypes, or strains of the microorganism, and the polypeptide component comprises one or more immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that (i) if the polypeptide component provides less than the number of analogous immunogenic polypeptides encoded by the polynucleotide component, then the immunogenic polypeptides of the polypeptide
- 30 composition may be derived from the same and/or different subtypes, serotypes, or strains, as the immunogenic polypeptides provided by the polynucleotide component,

or (ii) if the polypeptide component provides the same or greater than the number of analogous immunogenic polypeptides encoded by the polynucleotide component, then the immunogenic polypeptides of the polypeptide composition are derived from at least one different subtype, serotype, or strain than the immunogenic polypeptides provided by the polynucleotide component. The polynucleotide composition may comprise further components, such as immune enhancers, immunoregulatory components, vector sequences (e.g., viral or non-viral), carriers, particles, excipients, expression control sequences, etc. In addition, the polynucleotide component may include further components such as molecules to enhance the immune response (e.g., liposomes, PLG, particles, alum, etc.). Further, the polypeptide component may comprise further components, such as, immune enhancers, immunoregulatory components, adjuvants, carriers, particles, excipients, etc.

The invention is exemplified herein with reference to Human Immunodeficiency Virus 1 (HIV-1). One of ordinary skill in the art, in view of the teachings of the present specification, can apply the teachings of the present invention to other suitable organisms, for example, microorganisms. The compositions and methods of the present invention may, for example, employ polynucleotides encoding HIV envelope polypeptides and well as HIV envelope polypeptides, e.g., HIV envelope proteins analogous to those encoded by the polynucleotides, to induce broad and/or potent neutralizing activity against diverse HIV strains. Although described with reference to the HIV virus, the compositions and methods of the present invention can be applied to other virus families having a variety of subtypes, serotypes, and/or strain variations, for example, including but not limited to other non-HIV retroviruses (e.g. HTLV-1, 2), hepadnaviruses (e.g. HBV), herpesviruses (e.g. HSV-1, 2, CMV, EBV, varizella-zoster, etc.), flaviviruses (e.g. HCV, Yellow fever, Tick borne encephalitis, St. Louis Encephalitis, West Nile Virus, etc.), coronaviruses (e.g. SARS), paramyxoviruses (e.g., PIV, RSV, measles etc.), influenza viruses, picornaviruses, reoviruses (e.g., rotavirus), arenaviruses, rhabdoviruses, papovaviruses, parvoviruses, adenoviruses, Dengue virus, bunyaviruses (e.g. , hantavirus), calciviruses (e.g. Norwalk virus), filoviruses (e.g. , Ebola, Marburg).

The diversity and mutability of the HIV virus present challenges to HIV vaccine development. HIV continues to spread globally, with upwards of 42 million people infected with HIV (UNAIDS Report on the global HIV/AIDS epidemic, UNAIDS, Geneva, Switzerland (December 2002). These people are infected with different HIV subtypes (and/or strains). The infecting HIV subtype (and/or strain) is typically geographically dependent. In one aspect, the present invention relates to compositions and methods that provide the ability to induce broad and potent neutralizing antibodies against the diverse HIV subtypes, serotypes, and/or strains for the treatment of infections, reduction of infection risk, reduction of transmission, reduction of disease manifestations, and/or prevention of HIV infections arising in different regions.

Experiments performed in support of the present invention confirm the use of the combination approaches described herein to induce potent and broad HIV-neutralization activity. The approaches include immunization with a variety of polynucleotides encoding HIV polypeptides derived from different subtypes, serotypes, or strains combined with immunization using HIV polypeptides derived from different subtypes, serotypes, or strains. The invention further includes immunization using various doses and immunization regimens of such polynucleotides and polypeptides.

Accordingly, in a first particular aspect of the present invention, the polynucleotide component of the present invention consists essentially of one polynucleotide encoding an HIV immunogenic polypeptide, and the polypeptide component comprises of one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that at least one HIV immunogenic polypeptide of the polypeptide component is derived from a different HIV subtype, serotype, or strain than the coding sequence of the immunogenic polypeptide encoded by the polynucleotide component. In this context, consists essentially of refers to the presence of one polynucleotide sequence encoding one HIV immunogenic polypeptide in the polynucleotide composition. The polynucleotide composition may comprise further components, such as immune enhancers, immunoregulatory components, vector sequences (e.g., viral or non-viral),

carriers, particles, excipients, expression control sequences, etc. In one embodiment of the present invention, the HIV immunogenic polypeptide encoded by the polynucleotide component is derived from subtype B, and at least one coding sequence of an HIV immunogenic polypeptide of the polypeptide component is derived from subtype C. In another embodiment, the HIV immunogenic polypeptide encoded by the polynucleotide component is derived from a first strain of a first subtype (e.g., a first subtype B strain), and at least one coding sequence of an HIV immunogenic polypeptide of the polypeptide component is derived from a second strain of the first subtype (e.g., a second subtype B strain).

In one embodiment, a polynucleotide and a polypeptide from different HIV subtypes, serotypes, or strains are used for priming and boosting, i.e., a polynucleotide encoding an immunogenic HIV polypeptide is used for immunization via delivery of the polynucleotide (e.g., a prime), an analogous immunogenic HIV polypeptide derived from a different HIV subtype, serotype, or strain is used for immunization (e.g., a boost). For example, a polynucleotide molecule is used for nucleic acid immunization, wherein the polynucleotide molecule encodes an HIV gp140 envelope polypeptide (i) derived from a South African HIV subtype C isolate/strain, (ii) that is codon optimized for expression in mammalian cells, and (iii) is mutated by deletion of the V2 loop (e.g., gp140mod.TV1.delV2, as described for example in PCT International Publication No. WO/02/04493). This nucleic acid immunization is followed by a protein boost using an HIV gp140 envelope polypeptide (i) derived from a North American HIV subtype B isolate/strain, and (ii) is mutated by deletion of the V2 loop (e.g., the protein product of gp140.mut7.modSF162.delV2, as described for example in PCT International Publication No. WO/00/39302). Oligomeric forms of the envelope polypeptide may be used (e.g., o-gp140 as described in PCT International Publication No. WO/00/39302 and US Patent No. 6,602,705). One embodiment of this aspect of the present invention, comprises a composition for generating an immune response in a mammal, the composition comprising: a polynucleotide component, comprising, a first polynucleotide encoding a first HIV immunogenic polypeptide; and a polypeptide component, comprising a second HIV immunogenic polypeptide, wherein said first and second immunogenic HIV

polypeptide are derived from different HIV subtypes, serotypes, or strains, and (ii) said first and second immunogenic polypeptides encode analogous HIV polypeptides.

In one embodiment of the present invention, the analogous HIV immunogenic polypeptides coding sequences that comprise the polynucleotide composition and the

- 5 HIV immunogenic polypeptides that comprise the polypeptide component of the present invention may be derived from different subtypes of HIV, in another embodiment they may be derived from different strains of HIV from the same HIV subtype. In another embodiment of this aspect of the present invention the polynucleotide and polypeptide components of the present invention are used to
- 10 broadly raise neutralizing antibodies against viral strains that use the CCR5 coreceptor for cell entry. For example, a composition for generating neutralizing antibodies in a mammal may comprise, a polynucleotide component consisting essentially of one polynucleotide encoding an HIV immunogenic polypeptide derived from an HIV strain that uses the CCR5 coreceptor for cell entry, and a polypeptide component
- 15 comprising one or more HIV immunogenic polypeptides derived from an HIV strain that uses the CCR5 coreceptor for cell entry analogous to the polypeptide encoded by said polynucleotide component, with the proviso that (i) if the polypeptide component has only one HIV immunogenic polypeptide, then the coding sequence of the HIV immunogenic polypeptide of the polypeptide component is derived from a different
- 20 HIV strain that uses the CCR5 coreceptor for cell entry than the coding sequence of the immunogenic polypeptide encoded by the polynucleotide component, or (ii) if the polypeptide component comprises greater than one HIV immunogenic polypeptide, then the coding sequences of the polypeptides of the polypeptide component are derived from more than one HIV strain that uses the CCR5 coreceptor for cell entry.

- 25 In second particular aspect of the present invention, the polynucleotide component comprises two or more polynucleotide sequences comprising coding sequences for two or more analogous HIV immunogenic polypeptides, wherein the coding sequences for at least two of the HIV immunogenic polypeptides are derived from different HIV subtypes, serotypes, or strains, and the polypeptide component
- 30 comprises one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that (i) if the polypeptide



component provides less than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then the HIV immunogenic polypeptides of the polypeptide composition may be derived from the same and/or different HIV subtypes, serotypes, or strains as the HIV immunogenic polypeptides provided by the polynucleotide component, or (ii) if the polypeptide component provides the same or greater than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then at least one of the HIV immunogenic polypeptides of the polypeptide composition is derived from a different HIV subtype, serotype, or strain than the HIV immunogenic polypeptides provided by the polynucleotide component.

In one embodiment of the present invention, two or more polynucleotides encoding immunogenic HIV polypeptides, derived from at least two different subtypes, serotypes, or strains are mixed (e.g., in equal amounts) for priming. Then a single, analogous, immunogenic HIV polypeptide derived from one of the subtypes, serotypes, or strains used for priming is used for boosting. A more general embodiment comprises a composition for generating an immune response in a mammal, said composition comprising: a polynucleotide component, comprising, two or more polynucleotides each encoding analogous HIV immunogenic polypeptides, with the proviso that the coding sequences of each HIV immunogenic polypeptide are derived from different HIV subtypes, serotypes, or strains; and a polypeptide component, comprising one or more HIV immunogenic polypeptides, with the proviso that said polypeptide component comprises at least one less HIV immunogenic polypeptide than encoded by said polynucleotide component. For example, two DNA molecules are used for nucleic acid immunization, wherein the first DNA molecule encodes an HIV gp140 envelope polypeptide (i) derived from a South African HIV subtype C isolate/strain, (ii) that is codon optimized for expression in mammalian cells, and (iii) is mutated by deletion of the V2 loop (e.g., gp140mod.TV1.delV2, as described for example in PCT International Publication No. WO/02/04493), and the second DNA molecule encodes an HIV gp140 envelope polypeptide (i) derived from a North American HIV subtype B isolate, (ii) that is codon optimized for expression in mammalian cells, and (iii) is mutated by deletion of the V2 loop (e.g.,

gp140.modSF162.delV2, as described for example in PCT International Publication No. WO/00/39302). This DNA immunization is followed by a protein boost using a single HIV gp140 envelope polypeptide (i) derived from a North American HIV subtype B isolate, and (ii) is mutated by deletion of the V2 loop (e.g., the protein product of gp140.mut7.modSF162.delV2, as described for example in PCT International Publication No. WO/00/39302). Oligomeric forms of the envelope polypeptide may be used (e.g., o-gp140 as described in PCT International Publication No. WO/00/39302). One embodiment of a composition for generating an immune response in a mammal comprises, a polynucleotide component comprising a first polynucleotide encoding a first immunogenic HIV polypeptide, and a second polynucleotide encoding a second immunogenic HIV polypeptide, wherein (i) said first and second immunogenic HIV polypeptide are derived from different HIV subtypes, serotypes, or strains, and (ii) said first and second immunogenic polypeptides encode analogous HIV polypeptides, and a polypeptide component comprising said first HIV immunogenic polypeptide, or said second HIV immunogenic polypeptide, with the proviso that said polypeptide component comprises at least one less HIV immunogenic polypeptide than is encoded by said polynucleotide component. In a preferred embodiment, polynucleotides encoding analogous immunogenic HIV polypeptides, derived from a variety of different HIV subtypes, serotypes, or strains are used for a prime immunization, and a single analogous immunogenic HIV polypeptide is used for one or more protein boost.

In another embodiment, two or more polynucleotides encoding immunogenic HIV polypeptides, derived from at least two different subtypes, serotypes, or strains are mixed (e.g., in equal amounts) for priming. Then one or more analogous, immunogenic HIV polypeptides derived from at least two different subtypes, serotypes, or strains are used for boosting, wherein at least one of the immunogenic HIV polypeptides is derived from a subtype, serotype, or strain not represented in the polynucleotide component. For example, the polynucleotide component comprises three polynucleotides encoding three immunogenic HIV polypeptides, one coding sequence derived from a subtype B strain, one coding sequence derived from a subtype C strain, and one coding sequence derived from a subtype E strain, and the

polypeptide component comprises three immunogenic HIV polypeptides, one coding sequence derived from a subtype B strain, one coding sequence derived from a subtype C strain, and one coding sequence derived from a subtype O strain. In another embodiment of this aspect of the present invention, the polynucleotides of the

- 5 polynucleotide component comprises polynucleotides encoding analogous HIV immunogenic polypeptides from different subtypes, serotypes, or strains as the polypeptides of the polypeptide component. For example, DNA immunization with two or more DNA molecules encoding HIV gp140 polypeptides (wherein the two or more gp140 coding sequences are derived from two or more HIV-1 subtypes, serotypes, or strains). The polypeptide component, use for protein immunization, comprises two or more gp140 polypeptides (wherein the two or more gp140 coding sequences are derived from two or more HIV-1 subtypes, serotypes, or strains, with the proviso that at least one of the polypeptide sequences is derived from an HIV-1 subtype, serotype, or strain not represented in the DNA component).

- 15 In another embodiment, the polynucleotide component comprises two or more polynucleotide sequences comprising coding sequences for two or more analogous HIV immunogenic polypeptides, wherein the coding sequences for at least two of the HIV immunogenic polypeptides are derived from different HIV strains that use the CCR5 coreceptor for cell entry, and the polypeptide component comprises one or
- 20 more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that (i) if the polypeptide component provides less than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then the HIV immunogenic polypeptides of the polypeptide composition may be derived from the same and/or different HIV strains
- 25 that use the CCR5 coreceptor for cell entry as the HIV immunogenic polypeptides provided by the polynucleotide component, or (ii) if the polypeptide component provides the same or greater than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then at least one of the HIV immunogenic polypeptides of the polypeptide composition is derived from a different
- 30 HIV strain that uses the CCR5 coreceptor for cell entry than the HIV immunogenic polypeptides provided by the polynucleotide component.

In a further aspect, the present invention relates to the use of varied doses of polynucleotides and polypeptides in prime/boost methods, particularly the methods described herein. In any immunization method using, for example, a mixed polynucleotide prime (i.e., two or more polynucleotides encoding immunogenic HIV polypeptides derived from two or more HIV subtypes, serotypes, or strains) in conjunction with a polypeptide boost the present invention includes using reduced doses of each single component to provide an equivalent immune response to using full doses of each component. In one embodiment, the high threshold of DNA is the maximum tolerable dose of DNA (e.g., about 5 mg to about 10 mg total DNA), the low threshold of DNA is the minimum effective dose (e.g., about 2 ug to about 10 ug total DNA), the high threshold of protein is the maximum tolerable dose of protein (e.g., about 1 mg total protein), the low threshold of protein is the minimum effective dose (e.g., about 2 ug total protein). Experiments performed in support of the present invention demonstrated that the total DNA dose may be divided among the polynucleotides of the polynucleotide component (for example, four polynucleotide constructs used, the total DNA for all four is less than or equal to the high threshold) (e.g., Example 4). Further, the total polypeptide dose may be divided among the polypeptides comprising the polypeptide component (for example, four polypeptides used, the total protein for all four is less than or equal to the high threshold) (e.g., Example 4). The total DNA and total protein are both typically above the low threshold values.

In a preferred embodiment, the total amount of DNA in a given DNA immunization has a high threshold of less than or equal to about 10 mg total DNA and greater than or equal to 1 mg total DNA, and the total amount of protein in a given polypeptide boost has a high threshold of less than or equal to about 200 ug total protein product and greater than or equal to 10 ug of total protein. For example, in an embodiment using a polynucleotide component having two DNA molecules each encoding an immunogenic HIV polypeptide the dose of each DNA molecule per subject may be one milligram of each DNA molecule encoding an immunogenic HIV polypeptide, for a total of 2 mg for the two DNA molecules, or 0.5 mg of each DNA molecule encoding an immunogenic HIV polypeptide, for a total of 1 mg for the two

DNA molecules. Dosing with the polypeptide component may be similarly varied, for example, using a polypeptide component having two immunogenic HIV polypeptides the dose of each polypeptide per subject may be 100 micrograms of each immunogenic HIV polypeptide, for a total of 200 ug for the two polypeptides, 50 micrograms of each immunogenic HIV polypeptide, for a total of 100 ug for the two polypeptides, or 25 ug of each immunogenic HIV polypeptide, for a total of 50 ug for the two polypeptides. As described above, more than two polypeptides may be included in the polypeptide component of the present invention.

In one embodiment of this aspect of the present invention, the polynucleotides of the polynucleotide component encode analogous HIV immunogenic polypeptides from the same subtypes, serotypes, or strains as the polypeptides of the polypeptide component. For example, two DNA molecules are used for nucleic acid immunization, wherein the first DNA molecule encodes an HIV gp140 envelope polypeptide (i) derived from a South African HIV subtype C isolate, (ii) that is codon optimized for expression in mammalian cells, (iii) is mutated by deletion of the V2 loop (e.g., gp140mod.TV1.delV2, as described for example in PCT International Publication No. WO/02/04493), and (iv) is delivered at 0.5 mg, and the second DNA molecule encodes an HIV gp140 envelope polypeptide (i) derived from a North American HIV subtype B isolate, (ii) that is codon optimized for expression in mammalian cells, (iii) is mutated by deletion of the V2 loop (e.g., gp140.modSF162.delV2, as described for example in PCT International Publication No. WO/00/39302), and (iv) is delivered at 0.5 mg. This DNA immunization is followed by a protein boost using an HIV gp140 envelope polypeptide (i) derived from a South African HIV subtype C isolate, (ii) is mutated by deletion of the V2 loop (e.g., the protein product of gp140mod.TV1.mut7.delV2, as described for example in PCT International Publication No. WO/02/04493), and (iii) is delivered at 50 ug protein, and an HIV gp140 envelope polypeptide (i) derived from a North American HIV subtype B isolate, (ii) is mutated by deletion of the V2 loop (e.g., the protein product of gp140.mut7.modSF162.delV2, as described for example in PCT International Publication No. WO/00/39302), and (iii) is delivered at 50 ug protein.

Further, oligomeric forms of the envelope polypeptide may be used (e.g., o-gp140 as described in PCT International Publication No. WO/00/39302).

In further embodiments, the polynucleotide component of the present invention may comprise one or more gene delivery vectors comprising the polynucleotide(s) encoding immunogenic HIV polypeptide(s). Further components that may be included in the polynucleotide component are described herein. The polypeptide component of the present invention may comprise an adjuvant in addition to the immunogenic polypeptide(s). Further components that may be included in the polypeptide component are described herein.

The present invention also comprises methods for generating an immune response in a subject. In one general aspect, the method comprises administering to a subject a first component providing an immunogenic polypeptide and administering to a subject a second component providing a different but analogous immunogenic polypeptide. The first component and the second component may be polynucleotide components or polypeptide components. The immunogenic polypeptides may be provided either directly (as in a polypeptide component) or indirectly (as in a polynucleotide component). In a preferred embodiment, one of the components (either first or second component) is a polynucleotide component, and the other component (either second or first component) is a polypeptide component. Preferably, the polypeptide immunogens provided by the first and second components are analogous HIV immunogenic polypeptides. The first and second components may be administered simultaneously or may be administered at separate times. Preferably, the first and second components are administered in a prime-boost regimen. Various prime-boost regimens have been described in the art and are well known to those of ordinary skill. In a typical prime-boost regimen, a first component providing a polypeptide immunogen is administered to a subject; the initial immune response is followed by determining the production of binding antibodies to the polypeptide immunogen in said subject until the titer of binding antibodies begins to decline; and a second component providing a different but related polypeptide immunogen is administered to the subject.

The first and second components may be provided as a composition. In a particular aspect the method comprises, providing a composition of the present invention for generating an immune response in a mammal, administering one or more gene delivery vectors comprising the polynucleotides of the polynucleotide component of the composition into the subject under conditions that are compatible with expression of the polynucleotides in the subject for the production of encoded HIV immunogenic polypeptides, and administering the polypeptide component to the subject. The administering of the polynucleotide and polypeptide compositions may be concurrent or sequentially. In a preferred embodiment immunization with the polynucleotide component precedes immunization with the polypeptide component. Further, a single prime may be followed by multiple boosts, multiple primes may be followed by a single boost, multiple primes may be followed by multiple boosts, or a series of primes and boosts may be used. The polynucleotide component may comprise further components (e.g., components for enhancing immune response, carriers, etc.). The polypeptide component may comprise further components (e.g., components for enhancing immune response, carriers, etc.).

Exemplary polynucleotide constructs, methods of making the polynucleotide constructs, corresponding polypeptide products, and methods of making polypeptides useful for HIV immunization have been previously described, for example, in the following PCT International Publication Nos.: WO/00/39302; WO/00/39303; WO/00/39304; WO/02/04493; WO/03/004657; WO/03/004620; and WO/03/020876.

Although described generally with reference to HIV subtypes B and C as exemplary subtypes, the compositions and methods of the present invention are applicable to a wide variety of HIV subtypes, serotypes, or strains and immunogenic polypeptides encoded thereby, including but not limited to the previously identified HIV-1 subtypes A through K, N and O, the identified CRFs (circulating recombinant forms), and HIV-2 strains and its subtypes. See, e.g., Myers, et al., Los Alamos Database, Los Alamos National Laboratory, Los Alamos, New Mexico; Myers, et al., Human Retroviruses and Aids, 1990, Los Alamos, New Mexico: Los Alamos National Laboratory. Further, the compositions and methods of the present invention may be used to raise broadly reactive neutralizing antibodies against viral strains and subtypes

that use the CCR5 coreceptor for cell entry (for example, both TV1 and SF162 use the CCR5 coreceptor (Example 4)).

5 The polypeptide component of the present invention may comprise fragments of immunogenic polypeptide, for example, wherein the polypeptide sequence or a portion thereof contains an amino acid sequence of at least 3 to 5 amino acids, more preferably at least 8 to 10 amino acids, and even more preferably at least 15 to 20 amino acids from a polypeptide encoded by the nucleic acid sequence. Also encompassed are polypeptide sequences that are immunologically identifiable with a polypeptide encoded by the sequence. Further, polypeptides can be constructed by  
10 fusing in-frame two or more polynucleotide sequences encoding polypeptide or peptide products.

In addition, the polynucleotide component of the present invention may comprise one or more monocistronic expression cassettes comprising polynucleotides encoding immunogenic HIV polypeptides, or one or more polycistronic expression  
15 cassettes comprising polynucleotides encoding immunogenic HIV polypeptides, or combinations thereof. Polycistronic coding sequences may be produced, for example, by placing two or more polynucleotide sequences encoding polypeptide products adjacent each other, typically under the control of one promoter, wherein each polypeptide coding sequence may be modified to include sequences for internal  
20 ribosome binding sites.

A variety of combinations of polynucleotides encoding immunogenic polypeptides (e.g., HIV immunogenic polypeptides) and immunogenic polypeptides or fragments thereof (e.g., HIV immunogenic polypeptides) can be used in the practice of the present invention. Polynucleotide sequences encoding immunogenic polypeptides  
25 can be included in a polynucleotide component of compositions of the present invention, for example, as DNA immunization constructs containing, for example, a synthetic Env expression cassettes, a synthetic Gag expression cassette, a synthetic pol-derived polypeptide expression cassette, a synthetic expression cassette comprising sequences encoding one or more accessory or regulatory genes (e.g., tat, rev, nef, vif, vpr). Immunogenic polypeptides may be included as purified  
30 polypeptides in the polypeptide component of compositions of the present invention.



The immunogenic polypeptides may be synthetic or wild-type. In preferred embodiments the immunogenic polypeptides are antigenic viral proteins, or fragments thereof.

5     **2.2.0 IDENTIFICATION OF ANALOGOUS POLYPEPTIDES AND POLYNUCLEOTIDES  
ENCODING SUCH POLYPEPTIDES**

10     The compositions and methods of the present invention are described with reference to exemplary HIV-1 sequences. The present invention is not limited to the sequences described herein. Numerous sequences for use in the practice of the present invention have been previously described (see, e.g., PCT International Publication Nos. WO/00/39302; WO/00/39303; WO/00/39304; WO/02/04493; WO/03/004657; WO/03/004620; and WO/03/020876.). Typically, the polynucleotide sequences used in the practice of the present invention encode polypeptides derived from a viral source (e.g., HIV-1). The polypeptides are typically derived from antigenic viral proteins, in particular, group specific antigen polypeptides, envelope polypeptides, capsid polypeptides, and other structural and non-structural polypeptides. The present invention is particularly described with reference to the use of envelope polypeptides and modifications thereof (and polynucleotides encoding same) derived from various subtypes, serotypes, or strains of the HIV-1 virus. Other HIV-1 polypeptides and polynucleotides encoding such polypeptides may be used in the practice of the present invention including, but not limited to, Gag, Pol (including Protease, Reverse Transcriptase, and Integrase), Tat, Rev, Nef, Vif, Vpr, and Vpu.

25     The HIV genome and various polypeptide-encoding regions are shown in Table 1. The nucleotide positions are given relative to an HIV-1 Subtype C isolate from South Africa strain 8\_5\_TV1\_C.ZA (Figures 1A-1D). However, it will be readily apparent to one of ordinary skill in the art in view of the teachings of the present disclosure how to determine corresponding regions in other HIV strains (from the same or different subtypes) or variants (e.g., isolates HIV<sub>IIIB</sub>, HIV<sub>SF2</sub>, HIV-1<sub>SF162</sub>, HIV-1<sub>SF170</sub>, HIV<sub>LAV</sub>, HIV<sub>LAI</sub>, HIV<sub>MN</sub>, HIV-1<sub>CM235</sub>, HIV-1<sub>US4</sub>, other HIV-1 strains from diverse subtypes (e.g., subtypes, A through K, N and O), the identified CRFs (circulating recombinant forms), HIV-2 strains and diverse subtypes and strains (e.g.,

- HIV-2<sub>UC1</sub> and HIV-2<sub>UC2</sub>), and simian immunodeficiency virus (SIV). (See, e.g., Virology, 3rd Edition (W.K. Joklik ed. 1988); *Fundamental Virology*, 2nd Edition (B.N. Fields and D.M. Knipe, eds. 1991); *Virology*, 3rd Edition (Fields, BN, DM Knipe, PM Howley, Editors, 1996, Lippincott-Raven, Philadelphia, PA; for a description of these and other related viruses), using for example, sequence comparison programs (e.g., BLAST and others described herein) or identification and alignment of structural features (e.g., a program such as the "ALB" program described herein that can identify the various regions).

10

**Table 1**

**Regions of the HIV Genome relative to the Sequence of 8\_5\_TV1\_C.ZA**

<b>Region</b>	<b>Position in nucleotide sequence</b>
<b>5'LTR</b>	<b>1-636</b>
U3	1-457
R	458-553
U5	554-636
NFkB II	340-348
NFkB I	354-362
Sp1 III	379-388
Sp1 II	390-398
Sp1 I	400-410
TATA Box	429-433
TAR	474-499
Poly A signal	529-534
<b>PBS</b>	<b>638-655</b>
<b>p7 binding region, packaging signal</b>	<b>685-791</b>
<b>Gag:</b>	<b>792-2285</b>
p17	792-1178

<b>Region</b>	<b>Position in nucleotide sequence</b>
p24	1179-1871
Cyclophilin A bdg.	1395-1505
MHR	1632-1694
p2	1872-1907
p7	1908-2072
Frameshift slip	2072-2078
p1	2073-2120
p6Gag	2121-2285
Zn-motif I	1950-1991
Zn-motif II	2013-2054
<b>Pol:</b>	<b>2072-5086</b>
p6Pol	2072-2245
Prot	2246-2542
p66RT	2543-4210
p15RNaseH	3857-4210
p31Int	4211-5086
<b>Vif:</b>	<b>5034-5612</b>
Hydrophilic region	5292-5315
<b>Vpr:</b>	<b>5552-5839</b>
Oligomerization	5552-5677
Amphipathic a-helix	5597-5653
<b>Tat:</b>	<b>5823-6038 and 8417-8509</b>
Tat-1 exon	5823-6038
Tat-2 exon	8417-8509
N-terminal domain	5823-5885
Trans-activation domain	5886-5933

Region	Position in nucleotide sequence
Transduction domain	5961-5993
<b>Rev:</b>	<b>5962-6037 and 8416-8663</b>
Rev-1 exon	5962-6037
Rev-2 exon	8416-8663
High-affinity bdg. site	8439-8486
Leu-rich effector domain	8562-8588
<b>Vpu:</b>	<b>6060-6326</b>
Transmembrane domain	6060-6161
Cytoplasmic domain	6162-6326
<b>Env (gp160):</b>	<b>6244-8853</b>
Signal peptide	6244-6324
gp120	6325-7794
V1	6628-6729
V2	6727-6852
V3	7150-7254
V4	7411-7506
V5	7663-7674
C1	6325-6627
C2	6853-7149
C3	7255-7410
C4	7507-7662
C5	7675-7794
CD4 binding	7540-7566
gp41	7795-8853
Fusion peptide	7789-7842
Oligomerization domain	7924-7959
N-terminal heptad repeat	7921-8028

Region	Position in nucleotide sequence
C-terminal heptad repeat	8173-8280
Immunodominant region	8023-8076
Nef:	8855-9478
Myristoylation	8858-8875
SH3 binding	9062-9091
Polypurine tract	9128-9154
SH3 binding	9296-9307

It will be readily apparent that one of skill in the art can align any HIV sequence to that shown in Table 1 to determine relative locations of any particular HIV gene. For example, using one of the alignment programs described herein (e.g., BLAST), other HIV genomic sequences can be aligned with 8\_5\_TV1\_C.ZA (Table 1) and locations of genes determined. Polypeptide sequences can be similarly aligned. For example, Figures 2A-2E shows the alignment of Env polypeptide sequences from various strains, relative to SF-162. As described in detail in PCT International Publication No. WO/00/39303, Env polypeptides (e.g., gp120, gp140 and gp160) include a "bridging sheet" comprised of 4 anti-parallel beta-strands (beta-2, beta-3, beta -20 and beta -21) that form a beta -sheet. Extruding from one pair of the beta -strands (beta -2 and beta -3) are two loops, V1 and V2. The beta -2 sheet occurs at approximately amino acid residue 113 (Cys) to amino acid residue 117 (Thr) while beta -3 occurs at approximately amino acid residue 192 (Ser) to amino acid residue 194 (Ile), relative to SF-162. The "V1/V2 region" occurs at approximately amino acid positions 120 (Cys) to residue 189 (Cys), relative to SF-162. Extruding from the second pair of beta -strands (beta -20 and beta -21) is a "small-loop" structure, also referred to herein as "the bridging sheet small loop." The locations of both the small loop and bridging sheet small loop can be determined relative to HXB-2 following the teachings herein and in PCT International Publication No. WO/00/39303. Also shown by arrows in Figures 2A-2E are approximate sites for deletions sequence from the beta sheet region. The "\*" denotes N-glycosylation sites that can be mutated following the teachings of the present specification.

**2.3.0 EXPRESSION CASSETTES COMPRISING POLYNUCLEOTIDE SEQUENCES,  
VECTORS, POLYPEPTIDES, FURTHER COMPONENTS, AND FORMULATIONS  
USEFUL IN THE PRACTICE OF THE PRESENT INVENTION**

5 Compositions for the generation of immune responses of the present invention  
comprise a polynucleotide component and a polypeptide component. The  
polynucleotide component of may comprise one or more polynucleotides encoding  
immunogenic viral polypeptides. Such polynucleotides may comprise native viral  
sequences encoding immunogenic viral polypeptides or synthetic polynucleotides  
10 encoding immunogenic polypeptides. Synthetic polynucleotides may include  
sequence optimization to provide improved expression of the encoded polypeptides  
relative to the analogous native polynucleotide sequences. Further, synthetic  
polynucleotides may comprise mutations (single or multiple point mutations, missense  
mutations, nonsense mutations, deletions, insertions, etc.) relative to corresponding  
15 wild-type sequences.

The polypeptide component of the compositions of the present invention may  
comprise one or more immunogenic viral polypeptide. Such polypeptides may  
comprise native immunogenic viral polypeptides or modified immunogenic  
polypeptides. Modified polypeptides may include sequence optimization to provide  
20 improved expression of the polypeptides relative to the analogous native  
polynucleotide sequences. Further, modified polypeptides may comprise mutations  
(single or multiple point mutations, missense mutations, nonsense mutations,  
deletions, insertions, etc.) relative to corresponding wild-type sequences.

The compositions of the present invention, comprising a polynucleotide  
25 component and a polypeptide component, are described with reference to HIV-1  
derived sequences. However, the compositions and methods of the present invention  
are applicable to other types of viruses as well, wherein such viruses comprise  
multiple subtypes, serotypes, and/or strain variations, for example, including but not  
limited to other non-HIV retroviruses (e.g. HTLV-1, 2), hepadnaviruses (e.g. HBV),  
30 herpesviruses (e.g. HSV-1, 2, CMV, EBV, varizella-zoster, etc.), flaviviruses (e.g.  
HCV, Yellow fever, Tick borne encephalitis, St. Louis Encephalitis, West Nile Virus,

etc.), coronaviruses (e.g. SARS), paramyxoviruses (e.g., PIV, RSV, measles etc.), influenza viruses, picornaviruses, reoviruses (e.g., rotavirus), arenaviruses, rhabdoviruses, papovaviruses, parvoviruses, adenoviruses, Dengue virus, bunyaviruses (e.g. , hantavirus), calciviruses (e.g. Norwalk virus), filoviruses (e.g. , Ebola, Marburg).

### 2.3.1 MODIFICATION OF POLYNUCLEOTIDE CODING SEQUENCES

HIV-1 coding sequences, and related sequences, may be modified to have improved expression in target cells relative to the corresponding wild-type sequences.

- 10 Following here are some exemplary modifications that can be made to such coding sequences.

First, the HIV-1 codon usage pattern may be modified so that the resulting nucleic acid coding sequence are comparable to codon usage found in highly expressed human genes. The HIV codon usage reflects a high content of the nucleotides A or T of the codon-triplet. The effect of the HIV-1 codon usage is a high AT content in the DNA sequence that results in a decreased translation ability and instability of the mRNA. In comparison, highly expressed human codons prefer the nucleotides G or C. The HIV coding sequences may be modified to be comparable to codon usage found in highly expressed human genes.

- 20 Second, there are inhibitory (or instability) elements (INS) located within the coding sequences of, for example, the Gag coding sequences. The RRE is a secondary RNA structure that interacts with the HIV encoded Rev-protein to overcome the expression down-regulating effects of the INS. To overcome the post-transcriptional activating mechanisms of RRE and Rev, the instability elements can be inactivated by introducing multiple point mutations that do not alter the reading frame of the encoded proteins.

- 30 Third, for some genes the coding sequence has been altered such that the polynucleotide coding sequence encodes a gene product that is inactive or non-functional (e.g., inactivated polymerase, protease, tat, rev, nef, vif, vpr, and/or vpu gene products). Example 1 describes some exemplary mutations.

The synthetic coding sequences are assembled by methods known in the art, for example by companies such as the Midland Certified Reagent Company (Midland, Texas), following the guidance of the present specification.

- Some exemplary synthetic polynucleotide sequences encoding immunogenic HIV polypeptides and the polypeptides encoded thereby for use in the methods of the present invention have been described, for example, in PCT International Publication Nos. WO/00/39303, WO/00/39302, WO 00/39304, WO/02/04493, WO/03/020876, WO/03/004620, and WO/03/004657.

- In a preferred embodiment, the present invention relates to polynucleotides encoding Env polypeptides and corresponding Env polypeptides. For example, the codon usage pattern for Env may be modified so that the resulting nucleic acid coding sequence is comparable to codon usage found in highly expressed human genes. Such synthetic Env sequences are capable of higher level of protein production relative to the native Env sequences (see, for example, PCT International Publication Nos. WO/00/39302). Modification of the Env polypeptide coding sequences results in improved expression relative to the wild-type coding sequences in a number of mammalian cell lines (as well as other types of cell lines, including, but not limited to, insect cells). Similar Env polypeptide coding sequences can be obtained, modified and tested for improved expression from a variety of isolates.

- Further modifications of Env include, but are not limited to, generating polynucleotides that encode Env polypeptides having mutations and/or deletions therein. For instance, the hypervariable regions, V1 and/or V2, can be deleted as described herein. In addition, the variable regions V3, V4 and/ or V5 can be modified or deleted. (See e.g, US 6,602,705) Additionally, other modifications, for example to the bridging sheet region and/or to N-glycosylation sites within Env can also be performed following the teachings of the present specification. (see, Figures 2A-2E, as well as PCT International Publication Nos. WO/00/39303, WO/00/39302, WO 00/39304, WO/02/04493, WO/03/020876, and WO/03/004620). Other useful modifications of env are well known and include those described in Schulke et al., (J. Virol. 2002 76:7760), Yang et al. 2002, (J. Virol. 2002 76:4634), Yang et al. 2001( J. Virol. 2001 75:1165), Shu et al. (Biochem. 1999 38:5378), Farzan et al. (J. Virol. 1998



72:7620) and Xiang et al. (J.Virol. 2002 76:9888). Various combinations of these modifications can be employed to generate synthetic expression cassettes and corresponding polypeptides as described herein.

The present invention also includes expression cassettes which include  
5 synthetic sequences derived HIV genes other than Env, including but not limited to, regions within Gag, Env, Pol, as well as, tat, rev, nef, vif, vpr, and vpu. Further, the present invention includes synthetic polynucleotides and/or expression cassettes (as well as polypeptide encoded thereby) comprising two or more antigenic polypeptides. Such sequences may be used, for example, in their entirety or sequences encoding  
10 specific epitopes or antigens may be selected from the synthetic coding sequences following the teachings of the present specification and information known in the art. For example, the polypeptide sequences encoded by the polynucleotides may be subjected to computer analysis to predict antigenic peptide fragments within the full-length sequences. The corresponding polynucleotide coding fragments may then be  
15 used in the constructs of the present invention. Exemplary algorithms useful for such analysis include, but are not limited to, the following:

AMPHI. This program has been used to predict T-cell epitopes (Gao, et al., (1989) J. Immunol. 143:3007; Roberts, et al, (1996) AIDS Res Hum Retrovir 12:593; Quakyi, et al., (1992) Scand J Immunol suppl. 11:9). The AMPHI algorithm is  
20 available in the Protean package of DNASTAR, Inc. (Madison, WI, USA).

ANTIGENIC INDEX. This algorithm is useful for predicting antigenic determinants (Jameson & Wolf, (1998) CABIOS 4:181:186; Sherman, KE, et al., Hepatology 1996 Apr;23(4):688-94; Kasturi, KN, et al, J Exp Med 1995 Mar 1;181(3):1027-36; van Kampen V, et al., Mol Immunol 1994 Oct;31(15):1133-40;  
25 Ferroni P, et al., J Clin Microbiol 1993 Jun;31(6):1586-91; Beattie J, et al., Eur J Biochem 1992 Nov 15;210(1):59-66; Jones GL, et al, Mol Biochem Parasitol 1991 Sep;48(1):1-9).

HYDROPHILICITY. One algorithm useful for determining antigenic determinants from amino acid sequences was disclosed by Hopp & Woods (1981)  
30 (PNAS USA 78:3824-3828).

Default parameters, for the above-recited algorithms, may be used to determine antigenic sites. Further, the results of two or more of the above analyses may be combined to identify particularly preferred fragments.

5    **2.3.2 FURTHER MODIFICATION OF POLYNUCLEOTIDE SEQUENCES AND  
POLYPEPTIDES ENCODED THEREBY**

The immunogenic viral polypeptide-encoding expression cassettes described herein may also contain one or more further sequences encoding, for example, one or more transgenes. In one embodiment of the present invention, the polynucleotide  
10 component may comprise coding sequences for one or more HIV immunogenic polypeptides. Further, the polypeptide component may comprise one or more HIV immunogenic polypeptide. In a different embodiment of the present invention, a polynucleotide component may comprise coding sequences for one or more HIV immunogenic polypeptides, wherein the polynucleotide component further comprises  
15 a sequence encoding an additional antigenic polypeptide, with the proviso that the additional antigenic polypeptide is not an immunogenic polypeptide derived from an HIV-1 strain. Further, the polypeptide component may comprise one or more HIV immunogenic polypeptides, wherein the polypeptide component further comprises an additional antigenic polypeptide, with the proviso that the additional antigenic  
20 polypeptide is not an immunogenic polypeptide derived from an HIV-1 strain.

Further sequences (e.g., transgenes) useful in the practice of the present invention include, but are not limited to, further sequences are those encoding further viral epitopes/antigens (including but not limited to, HCV antigens (e.g., E1, E2; Houghton, M., et al., U.S. Patent No. 5,714,596, issued February 3, 1998; Houghton,  
25 M., et al., U.S. Patent No. 5,712,088, issued January 27, 1998; Houghton, M., et al., U.S. Patent No. 5,683,864, issued November 4, 1997; Weiner, A.J., et al., U.S. Patent No. 5,728,520, issued March 17, 1998; Weiner, A.J., et al., U.S. Patent No. 5,766,845, issued June 16, 1998; Weiner, A.J., et al., U.S. Patent No. 5,670,152, issued  
September 23, 1997), HIV antigens (e.g., derived from one or more HIV isolate); and  
30 sequences encoding tumor antigens/epitopes. Further sequences may also be derived from non-viral sources, for instance, sequences encoding cytokines such interleukin-2

(IL-2), stem cell factor (SCF), interleukin 3 (IL-3), interleukin 6 (IL-6), interleukin 12 (IL-12), G-CSF, granulocyte macrophage-colony stimulating factor (GM-CSF), interleukin-1 alpha (IL-1alpha), interleukin-11 (IL-11), MIP-1, tumor necrosis factor (TNF), leukemia inhibitory factor (LIF), c-kit ligand, thrombopoietin (TPO) and flt3 ligand, commercially available from several vendors such as, for example, Genzyme (Framingham, MA), Genentech (South San Francisco, CA), Amgen (Thousand Oaks, CA), R&D Systems and Immunex (Seattle, WA). Additional sequences are described herein below.

HIV polypeptide coding sequences can be obtained from other HIV isolates, see, e.g., Myers et al. Los Alamos Database, Los Alamos National Laboratory, Los Alamos, New Mexico (1992); Myers et al., *Human Retroviruses and Aids*, 1997, Los Alamos, New Mexico: Los Alamos National Laboratory. Synthetic expression cassettes can be generated using such coding sequences as starting material by following the teachings of the present specification.

Further, the synthetic expression cassettes of the present invention include related polypeptide sequences having greater than 85%, preferably greater than 90%, more preferably greater than 95%, and most preferably greater than 98% sequence identity to the polypeptides encoded by the synthetic expression cassette sequences disclosed herein.

Exemplary expression cassettes and modifications are set forth in Example 1 and are discussed further herein below.

Further, the polynucleotides of the present invention may comprise alternative polymer backbone structures such as, but not limited to, polyvinyl backbones (Pitha, *Biochem Biophys Acta*, 204:39, 1970a; Pitha, *Biopolymers*, 9:965, 1970b), and morpholino backbones (Summerton, J., *et al.*, U.S. Patent No. 5,142,047, issued 08/25/92; Summerton, J., *et al.*, U.S. Patent No. 5,185,444 issued 02/09/93). A variety of other charged and uncharged polynucleotide analogs have been reported. Numerous backbone modifications are known in the art, including, but not limited to, uncharged linkages (e.g., methyl phosphonates, phosphotriesters, phosphoamidates, and carbamates) and charged linkages (e.g., phosphorothioates and phosphorodithioates).

### 2.3.3 EXEMPLARY CLONING VECTORS AND SYSTEMS FOR USE WITH THE POLYNUCLEOTIDE SEQUENCES ENCODING IMMUNOGENIC POLYPEPTIDES

Polynucleotide sequences for use in the compositions and methods of the present invention can be obtained using recombinant methods, such as by screening cDNA and genomic libraries from cells expressing the gene, or by deriving the gene from a vector known to include the same. Furthermore, the desired gene can be isolated directly from cells and tissues containing the same, using standard techniques, such as phenol extraction and PCR of cDNA or genomic DNA. See, e.g., Sambrook et al., *supra*, for a description of techniques used to obtain and isolate DNA. The gene of interest can also be produced synthetically, rather than cloned. The nucleotide sequence can be designed with the appropriate codons for the particular amino acid sequence desired. In general, one will select preferred codons for the intended host in which the sequence will be expressed. The complete sequence is assembled from overlapping oligonucleotides prepared by standard methods and assembled into a complete coding sequence. See, e.g., Edge, *Nature* (1981) 292:756; Nambair et al., *Science* (1984) 223:1299; Jay et al., *J. Biol. Chem.* (1984) 259:6311; Stemmer, W.P.C., (1995) *Gene* 164:49-53.

Next, the gene sequence encoding the desired antigen can be inserted into a vector containing a synthetic expression cassette of the present invention. In one embodiment, polynucleotides encoding selected antigens are separately cloned into expression vectors (e.g., a first Env-coding polynucleotide in a first vector, a second analogous Env-coding polynucleotide in a second vector). In certain embodiments, the antigen is inserted into or adjacent a synthetic Gag coding sequence such that when the combined sequence is expressed it results in the production of VLPs comprising the Gag polypeptide and the antigen of interest, e.g., Env (native or modified) or other antigen(s) (native or modified) derived from HIV. Insertions can be made within the coding sequence or at either end of the coding sequence (5', amino terminus of the expressed Gag polypeptide; or 3', carboxy terminus of the expressed Gag polypeptide)(Wagner, R., et al., *Arch Virol.* 127:117-137, 1992; Wagner, R., et al., *Virology* 200:162-175, 1994; Wu, X., et al., *J. Virol.* 69(6):3389-3398, 1995;

Wang, C-T., et al., *Virology* 200:524-534, 1994; Chazal, N., et al., *Virology* 68(1):111-122, 1994; Griffiths, J.C., et al., *J. Virol.* 67(6):3191-3198, 1993; Reicin, A.S., et al., *J. Virol.* 69(2):642-650, 1995). Up to 50% of the coding sequences of p55Gag can be deleted without affecting the assembly to virus-like particles and expression efficiency (Borsetti, A., et al., *J. Virol.* 72(11):9313-9317, 1998; Gamier, L., et al., *J Virol* 72(6):4667-4677, 1998; Zhang, Y., et al., *J Virol* 72(3):1782-1789, 1998; Wang, C., et al., *J Virol* 72(10): 7950-7959, 1998). When sequences are added to the amino terminal end of Gag, the polynucleotide can contain coding sequences at the 5' end that encode a signal for addition of a myristic moiety to the Gag-containing polypeptide (e.g., sequences that encode Met-Gly).

Expression cassettes for use in the practice of the present invention can also include control elements operably linked to the coding sequence that allow for the expression of the gene *in vivo* in the subject species. For example, typical promoters for mammalian cell expression include the SV40 early promoter, a CMV promoter such as the CMV immediate early promoter, the mouse mammary tumor virus LTR promoter, the adenovirus major late promoter (Ad MLP), and the herpes simplex virus promoter, among others. Other nonviral promoters, such as a promoter derived from the murine metallothionein gene, will also find use for mammalian expression. Typically, transcription termination and polyadenylation sequences will also be present, located 3' to the translation stop codon. Preferably, a sequence for optimization of initiation of translation, located 5' to the coding sequence, is also present. Examples of transcription terminator/polyadenylation signals include those derived from SV40, as described in Sambrook et al., *supra*, as well as a bovine growth hormone terminator sequence.

Enhancer elements may also be used herein to increase expression levels of the mammalian constructs. Examples include the SV40 early gene enhancer, as described in Dijkema et al., *EMBO J.* (1985) 4:761, the enhancer/promoter derived from the long terminal repeat (LTR) of the Rous Sarcoma Virus, as described in Gorman et al., *Proc. Natl. Acad. Sci. USA* (1982b) 79:6777 and elements derived from human CMV, as described in Boshart et al., *Cell* (1985) 41:521, such as elements included in the CMV intron A sequence.

Furthermore, plasmids can be constructed which include a chimeric antigen-coding gene sequences, encoding, e.g., multiple antigens/epitopes of interest, for example derived from more than one viral isolate.

Typically the antigen coding sequences precede or follow the synthetic coding sequence and the chimeric transcription unit will have a single open reading frame encoding both the antigen of interest and the synthetic coding sequences. Alternatively, multi-cistronic cassettes (e.g., bi-cistronic cassettes) can be constructed allowing expression of multiple antigens from a single mRNA using the EMCV IRES, or the like.

In one embodiment of the present invention, the polynucleotide component of an immune generating composition may comprise, for example, the following: a first expression vector comprising a first Env expression cassette, wherein the Env coding sequence is derived from a first HIV subtype, serotype, or strain, and a second expression vector comprising a second Env expression cassette, wherein the Env coding sequence is derived from a second HIV subtype, serotype, or strain. Expression cassettes comprising coding sequences of the present invention may be combined in any number of combinations depending on the coding sequence products (e.g., HIV polypeptides) to which, for example, an immunological response is desired to be raised. In yet another embodiment, synthetic coding sequences for multiple HIV-derived polypeptides may be constructed into a polycistronic message under the control of a single promoter wherein IRES are placed adjacent the coding sequence for each encoded polypeptide.

Exemplary polynucleotide sequences of interest for use in the present invention may be derived from strains including, but not limited to: subtype B-SF162, subtype C-TV1.8\_2 (8\_2\_TV1\_C.ZA), subtype C-TV1.8\_5 (8\_5\_TV1\_C.ZA), subtype C-TV2.12-5/1 (12-5\_1\_TV2\_C.ZA), subtype C-MJ4, India subtype C-93IN101, subtype A-Q2317, subtype D-92UG001, subtype E-cm235, subtype A HIV-1 isolate Q23-17 from Kenya GenBank Accession AF004885, subtype A HIV-1 isolate 98UA0116 from Ukraine GenBank Accession AF413987, subtype A HIV-1 isolate SE8538 from Tanzania GenBank Accession AF069669, subtype A Human immunodeficiency virus 1 proviral DNA, complete genome, clone:pUG031-A1

GenBank Accession AB098330, subtype D Human immunodeficiency virus type 1 complete proviral genome, strain 92UG001 GenBank Accession AJ320484, subtype D HIV-1 isolate 94UG114 from Uganda GenBank Accession U88824, subtype D Human immunodeficiency virus type 1, isolate ELI GenBank Accession K03454, and  
5 Indian subtype C Human immunodeficiency virus type 1 subtype C genomic RNA GenBank Accession AB023804.

Polynucleotide coding sequences used in the present invention may encode functional gene products or be mutated to reduce (relative to wild-type), attenuate, inactivate, eliminate, or render non-functional the activity of the gene product(s)  
10 encoded the synthetic polynucleotide.

Once complete, the expression cassettes are typically used in constructs for nucleic acid immunization using standard gene delivery protocols. Methods for gene delivery are known in the art. See, e.g., U.S. Patent Nos. 5,399,346, 5,580,859, 5,589,466. Genes can be delivered either directly to the vertebrate subject or,  
15 alternatively, delivered *ex vivo*, to cells derived from the subject and the cells reimplanted in the subject.

A number of viral based systems have been developed for gene transfer into mammalian cells. Selected sequences can be inserted into a vector and packaged in retroviral particles using techniques known in the art. The recombinant virus can then  
20 be isolated and delivered to cells of the subject either *in vivo* or *ex vivo*. A number of viral based systems have been developed for use as gene transfer vectors for mammalian host cells. For example, retroviruses (in particular, lentiviral vectors) provide a convenient platform for gene delivery systems. A coding sequence of interest (for example, a sequence useful for gene therapy applications) can be inserted  
25 into a gene delivery vector and packaged in retroviral particles using techniques known in the art. Recombinant virus can then be isolated and delivered to cells of the subject either *in vivo* or *ex vivo*. A number of retroviral systems have been described, including, for example, the following: (U.S. Patent No. 5,219,740; Miller et al. (1989) *BioTechniques* 7:980; Miller, A.D. (1990) *Human Gene Therapy* 1:5; Scarpa et al. (1991) *Virology* 180:849; Burns et al. (1993) *Proc. Natl. Acad. Sci. USA* 90:8033;  
30 Boris-Lawrie et al. (1993) *Cur. Opin. Genet. Develop.* 3:102; GB 2200651; EP

- 0415731; EP 0345242; PCT International Publication No. WO 89/02468; PCT International Publication No. WO 89/05349; PCT International Publication No. WO 89/09271; PCT International Publication No. WO 90/02806; PCT International Publication No. WO 90/07936; PCT International Publication No. WO 90/07936; PCT International Publication No. WO 94/03622; PCT International Publication No. WO 93/25698; PCT International Publication No. WO 93/25234; PCT International Publication No. WO 93/11230; PCT International Publication No. WO 93/10218; PCT International Publication No. WO 91/02805; in U.S. 5,219,740; U.S. 4,405,712; U.S. 4,861,719; U.S. 4,980,289 and U.S. 4,777,127; in U.S. Serial No. 07/800,921; and in
- 10 Vile (1993) *Cancer Res* 53:3860-3864; Vile (1993) *Cancer Res* 53:962-967; Ram (1993) *Cancer Res* 53:83-88; Takamiya (1992) *J Neurosci Res* 33:493-503; Baba (1993) *J Neurosurg* 79:729-735; Mann (1983) *Cell* 33:153; Cane (1984) *Proc Natl Acad Sci USA* 81:6349; and Miller (1990) *Human Gene Therapy* 1.

- One type of retrovirus, the murine leukemia virus, or "MLV", has been widely
- 15 utilized for gene therapy applications (see generally Mann et al. (*Cell* 33:153, 1993), Cane and Mulligan (*Proc. Nat'l. Acad. Sci. USA* 81:6349, 1984), and Miller et al., *Human Gene Therapy* 1:5-14, 1990).

- Lentiviral vectors may be readily constructed from a wide variety of lentiviruses (see RNA Tumor Viruses, Second Edition, Cold Spring Harbor
- 20 Laboratory, 1985). Representative examples of lentiviruses included HIV, HIV-1, HIV-2, FIV and SIV. Such lentiviruses may either be obtained from patient isolates, or, more preferably, from depositories or collections such as the American Type Culture Collection, or isolated from known sources using available techniques. Portions of the lentiviral gene delivery vectors (or vehicles) may be derived from
- 25 different viruses. For example, in a given recombinant lentiviral vector, LTRs may be derived from an HIV, a packaging signal from SIV, and an origin of second strand synthesis from HrV-2. Lentiviral vector constructs may comprise a 5' lentiviral LTR, a tRNA binding site, a packaging signal, one or more heterologous sequences, an origin of second strand DNA synthesis and a 3' LTR. The lentiviral vectors have a
- 30 nuclear transport element that, in preferred embodiments is not RRE. Representative examples of suitable nuclear transport elements include the element in Rous sarcoma



virus (Ogert, et al., *J Virol* 70, 3834-3843, 1996), the element in Rous sarcoma virus (Liu & Mertz, *Genes & Dev.*, 9, 1766-1789, 1995) and the element in the genome of simian retrovirus type I (Zolotukhin, et al., *J Virol.* 68, 7944-7952, 1994). Other potential elements include the elements in the histone gene (Kedes, *Annu. Rev.* 5 *Biochem.* 48, 837-870, 1970), interferon gene (Nagata et al., *Nature* 287, 401-408, 1980), adrenergic receptor gene (Koilkka, et al., *Nature* 329, 75-79, 1987), and the c-Jun gene (Hattorie, et al., *Proc. Natl. Acad. Sci. USA* 85, 9148-9152, 1988).

A number of adenovirus vectors have also been described. Unlike retroviruses which integrate into the host genome, adenoviruses persist extrachromosomally thus minimizing the risks associated with insertional mutagenesis (Haj-Ahmad and Graham, *J. Virol.* (1986) 57:267-274; Bett et al., *J. Virol.* (1993) 67:5911-5921; Mittereder et al., *Human Gene Therapy* (1994) 5:717-729; Seth et al., *J. Virol.* (1994) 68:933-940; Barr et al., *Gene Therapy* (1994) 1:51-58; Berkner, K.L. *BioTechniques* (1988) 6:616-629; and Rich et al., *Human Gene Therapy* (1993) 4:461-476).

Additionally, various adeno-associated virus (AAV) vector systems have been developed for gene delivery. AAV vectors can be readily constructed using techniques well known in the art. See, e.g., U.S. Patent Nos. 5,173,414 and 5,139,941; PCT International Publication Nos. WO 92/01070 (published 23 January 1992) and WO 93/03769 (published 4 March 1993); Lebkowski et al., *Molec. Cell. Biol.* (1988) 8:3988-3996; Vincent et al., *Vaccines* 90 (1990) (Cold Spring Harbor Laboratory Press); Carter, B.J. *Current Opinion in Biotechnology* (1992) 3:533-539; Muzyczka, N. *Current Topics in Microbiol. and Immunol.* (1992) 158:97-129; Kotin, R.M. *Human Gene Therapy* (1994) 5:793-801; Shelling and Smith, *Gene Therapy* (1994) 1:165-169; and Zhou et al., *J. Exp. Med.* (1994) 179:1867-1875.

Another vector system useful for delivering the polynucleotides of the present invention is the enterically administered recombinant poxvirus vaccines described by Small, Jr., P.A., et al. (U.S. Patent No. 5,676,950, issued October 14, 1997).

Additional viral vectors that will find use for delivering the nucleic acid molecules encoding the antigens of interest include those derived from the pox family of viruses, including vaccinia virus and avian poxvirus. By way of example, vaccinia virus recombinants expressing the genes can be constructed as follows. The DNA

encoding the particular immunogenic HIV polypeptide coding sequence is first inserted into an appropriate vector so that it is adjacent to a vaccinia promoter and flanking vaccinia DNA sequences, such as the sequence encoding thymidine kinase (TK). This vector is then used to transfect cells that are simultaneously infected with  
5 vaccinia. Homologous recombination serves to insert the vaccinia promoter plus the gene encoding the coding sequences of interest into the viral genome. The resulting TK recombinant can be selected by culturing the cells in the presence of 5-bromodeoxyuridine and picking viral plaques resistant thereto.

Alternatively, avipoxviruses, such as the fowlpox and canarypox viruses, can  
10 also be used to deliver the genes. Recombinant avipox viruses, expressing immunogens from mammalian pathogens, are known to confer protective immunity when administered to non-avian species. The use of an avipox vector is particularly desirable in human and other mammalian species since members of the avipox genus can only productively replicate in susceptible avian species and therefore are not  
15 infective in mammalian cells. Methods for producing recombinant avipoxviruses are known in the art and employ genetic recombination, as described above with respect to the production of vaccinia viruses. See, e.g., PCT International Publication Nos. WO 91/12882; WO 89/03429; and WO 92/03545.

Molecular conjugate vectors, such as the adenovirus chimeric vectors  
20 described in Michael et al., *J. Biol. Chem.* (1993) 268:6866-6869 and Wagner et al., *Proc. Natl. Acad. Sci. USA* (1992) 89:6099-6103, can also be used for gene delivery.

Members of the Alphavirus genus, such as, but not limited to, vectors derived from the Sindbis, Semliki Forest, and Venezuelan Equine Encephalitis viruses, will also find use as viral vectors for delivering the polynucleotides of the present  
25 invention (for example, first and second synthetic gp140-polypeptide encoding expression cassette, wherein the first and second gp140 polypeptides are analogous and derived from different HIV subtypes, serotypes, or strains). For a description of Sindbis-virus derived vectors useful for the practice of the instant methods, see, Dubensky et al., *J. Virol.* (1996) 70:508-519; and PCT International Publication Nos.  
30 WO 95/07995 and WO 96/17072; as well as, Dubensky, Jr., T.W., et al., U.S. Patent No. 5,843,723, issued December 1, 1998, and Dubensky, Jr., T.W., U.S. Patent No.

5,789,245, issued August 4, 1998. Preferred expression systems include, but are not limited to, eucaryotic layered vector initiation systems (e.g., US Patent No. 6,015,686, US Patent No. 5,814,482, US Patent No. 6,015,694, US Patent No. 5,789,245, EP 1029068A2, PCT International Publication No. WO 9918226A2/A3, EP 00907746A2, PCT International Publication No. WO 9738087A2). Exemplary expression systems include, but are not limited to, chimeric alphavirus replicon particles, for example, those that form VEE and SIN (see, e.g., Perri, et al., "An alphavirus replicon particle chimera derived from Venezuelan equine encephalitis and Sindbis viruses is a potent gene-based vaccine delivery vector," J. Virol 2003, 77(19), in press; PCT WO02/099035; USSN 10/310734, filed Dec 4 2002). Such alphavirus-based vector systems can be used in a prime or as a boost in DNA-primed subjects or potentially as a stand-alone immunization method for the induction of neutralizing antibodies using the multivalent approaches described herein.

Expression cassette delivery vectors may also include tissue-specific promoters to drive expression of one or more genes or sequences of interest.

Expression cassette delivery vector constructs may be generated such that more than one gene of interest is expressed. This may be accomplished through the use of di- or oligo-cistronic cassettes (e.g., where the coding regions are separated by 80 nucleotides or less, *see generally* Levin et al., *Gene* 108:167-174, 1991), or through the use of Internal Ribosome Entry Sites ("IRES").

Synthetic expression cassettes of interest can also be delivered without a viral vector. For example, delivery of the expression cassettes of the present invention can also be accomplished using eucaryotic expression vectors comprising CMV-derived elements, such vectors include, but are not limited to, the following: pCMVKm2, pCMV-link pCMVPLEdhfr, and pCMV6a (see Example 1). For example, a synthetic DNA expression cassette of the present invention, e.g., one encoding gp140 polypeptide, may be cloned into the following eucaryotic expression vectors: pCMVKm2, for transient expression assays and DNA immunization studies, the pCMVKm2 vector is derived from pCMV6a (Chapman et al., *Nuc. Acids Res.* (1991) 19:3979-3986) and comprises a kanamycin selectable marker, a ColE1 origin of replication, a CMV promoter enhancer and Intron A, followed by an insertion site for

the synthetic sequences described below followed by a polyadenylation signal derived from bovine growth hormone -- the pCMVKm2 vector differs from the pCMV-link vector only in that a polylinker site is inserted into pCMVKm2 to generate pCMV-link; pESN2dhfr and pCMVPLEdhfr, for expression in Chinese Hamster Ovary (CHO) cells; and, pAcC13, a shuttle vector for use in the Baculovirus expression system (pAcC13, is derived from pAcC12 which is described by Munemitsu S., et al., *Mol Cell Biol.* 10(11):5977-5982, 1990).

In addition, the expression cassettes of the present invention can be packaged in liposomes prior to delivery to the subject or to cells derived therefrom. Lipid encapsulation is generally accomplished using liposomes which are able to stably bind or entrap and retain nucleic acid. The ratio of condensed DNA to lipid preparation can vary but will generally be around 1:1 (mg DNA:micromoles lipid), or more of lipid. For a review of the use of liposomes as carriers for delivery of nucleic acids, see, Hug and Sleight, *Biochim. Biophys. Acta.* (1991) 1097:1-17; Straubinger et al., in *Methods of Enzymology* (1983), Vol. 101, pp. 512-527.

Liposomal preparations for use in the present invention include cationic (positively charged), anionic (negatively charged) and neutral preparations, with cationic liposomes particularly preferred. Cationic liposomes have been shown to mediate intracellular delivery of plasmid DNA (Felgner et al., *Proc. Natl. Acad. Sci. USA* (1987) 84:7413-7416); mRNA (Malone et al., *Proc. Natl. Acad. Sci. USA* (1989) 86:6077-6081); and purified transcription factors (Debs et al., *J. Biol. Chem.* (1990) 265:10189-10192), in functional form.

Cationic liposomes are readily available. For example, N[1-2,3-dioleoyloxy)propyl]-N,N,N-triethylammonium (DOTMA) liposomes are available under the trademark Lipofectin, from GIBCO BRL, Grand Island, NY. (See, also, Felgner et al., *Proc. Natl. Acad. Sci. USA* (1987) 84:7413-7416). Other commercially available lipids include (DDAB/DOPE) and DOTAP/DOPE (Boehringer). Other cationic liposomes can be prepared from readily available materials using techniques well known in the art. See, e.g., Szoka et al., *Proc. Natl. Acad. Sci. USA* (1978) 75:4194-4198; PCT International Publication No. WO 90/11092 for a description of

the synthesis of DOTAP (1,2-bis(oleoyloxy)-3-(trimethylammonio)propane) liposomes.

Similarly, anionic and neutral liposomes are readily available, such as, from Avanti Polar Lipids (Birmingham, AL), or can be easily prepared using readily available materials. Such materials include phosphatidyl choline, cholesterol, phosphatidyl ethanolamine, dioleoylphosphatidyl choline (DOPC), dioleoylphosphatidyl glycerol (DOPG), dioleoylphosphatidyl ethanolamine (DOPE), among others. These materials can also be mixed with the DOTMA and DOTAP starting materials in appropriate ratios. Methods for making liposomes using these materials are well known in the art.

The liposomes can comprise multilamellar vesicles (MLVs), small unilamellar vesicles (SUVs), or large unilamellar vesicles (LUVs). The various liposome-nucleic acid complexes are prepared using methods known in the art. See, e.g., Straubinger et al., in *METHODS OF IMMUNOLOGY* (1983), Vol. 101, pp. 512-527; Szoka et al., *Proc. Natl. Acad. Sci. USA* (1978) 75:4194-4198; Papahadjopoulos et al., *Biochim. Biophys. Acta* (1975) 394:483; Wilson et al., *Cell* (1979) 17:77; Deamer and Bangham, *Biochim. Biophys. Acta* (1976) 443:629; Ostro et al., *Biochem. Biophys. Res. Commun.* (1977) 76:836; Fraley et al., *Proc. Natl. Acad. Sci. USA* (1979) 76:3348; Enoch and Strittmatter, *Proc. Natl. Acad. Sci. USA* (1979) 76:145; Fraley et al., *J. Biol. Chem.* (1980) 255:10431; Szoka and Papahadjopoulos, *Proc. Natl. Acad. Sci. USA* (1978) 75:145; and Schaefer-Ridder et al., *Science* (1982) 215:166.

The DNA and/or protein antigen(s) can also be delivered in cochleate lipid compositions similar to those described by Papahadjopoulos et al., *Biochem. Biophys. Acta*. (1975) 394:483-491. See, also, U.S. Patent Nos. 4,663,161 and 4,871,488.

The expression cassettes of interest may also be encapsulated, adsorbed to, or associated with, particulate carriers. Such carriers present multiple copies of a selected antigen to the immune system and promote trapping and retention of antigens in local lymph nodes. The particles can be phagocytosed by macrophages and can enhance antigen presentation through cytokine release. Examples of particulate carriers include those derived from polymethyl methacrylate polymers, as well as

microparticles derived from poly(lactides) and poly(lactide-co-glycolides), known as PLG. See, e.g., Jeffery et al., *Pharm. Res.* (1993) 10:362-368; McGee JP, et al., *J Microencapsul.* 14(2):197-210, 1997; O'Hagan DT, et al., *Vaccine* 11(2):149-54, 1993. Suitable microparticles may also be manufactured in the presence of charged detergents, such as anionic or cationic detergents, to yield microparticles with a surface having a net negative or a net positive charge. For example, microparticles manufactured with anionic detergents, such as hexadecyltrimethylammonium bromide (CTAB), i.e. CTAB-PLG microparticles, adsorb negatively charged macromolecules, such as DNA. (see, e.g., Int'l Application Number PCT/US99/17308).

Furthermore, other particulate systems and polymers can be used for the *in vivo* or *ex vivo* delivery of the gene of interest. For example, polymers such as polylysine, polyarginine, polyornithine, spermine, spermidine, as well as conjugates of these molecules, are useful for transferring a nucleic acid of interest. Similarly, DEAE dextran-mediated transfection, calcium phosphate precipitation or precipitation using other insoluble inorganic salts, such as strontium phosphate, aluminum silicates including bentonite and kaolin, chromic oxide, magnesium silicate, talc, and the like, will find use with the present methods. See, e.g., Felgner, P.L., *Advanced Drug Delivery Reviews* (1990) 5:163-187, for a review of delivery systems useful for gene transfer. Peptoids (Zuckerman, R.N., et al., U.S. Patent No. 5,831,005, issued November 3, 1998) may also be used for delivery of a construct of the present invention.

In some embodiments of the present invention, alum and PLG are useful delivery adjuvants that enhance immunity to polynucleotide vaccines (e.g., DNA vaccines). Further embodiments include, but are not limited to, toxoids, cytokines, and co-stimulatory molecules may also be used as genetic adjuvants with polynucleotide vaccines.

Additionally, biolistic delivery systems employing particulate carriers such as gold and tungsten, are especially useful for delivering synthetic expression cassettes of the present invention. The particles are coated with the synthetic expression cassette(s) to be delivered and accelerated to high velocity, generally under a reduced atmosphere, using a gun powder discharge from a "gene gun." For a description of

such techniques, and apparatuses useful therefore, see, e.g., U.S. Patent Nos. 4,945,050; 5,036,006; 5,100,792; 5,179,022; 5,371,015; and 5,478,744. Also, needleless injection systems can be used (Davis, H.L., et al, *Vaccine* 12:1503-1509, 1994; Bioject, Inc., Portland, OR).

- 5        Recombinant vectors carrying a synthetic expression cassette of the present invention are formulated into compositions for delivery to the vertebrate subject. These compositions may either be prophylactic (to prevent infection) or therapeutic (to treat disease after infection). If prevention of disease is desired, the compositions are generally administered prior to primary infection with the pathogen of interest. If  
10       treatment is desired, e.g., the reduction of symptoms or recurrences, the compositions are generally administered subsequent to primary infection. The compositions will comprise a "therapeutically effective amount" of the gene of interest such that an amount of the antigen can be produced *in vivo* so that an immune response is generated in the individual to which it is administered. The exact amount necessary  
15       will vary depending on the subject being treated; the age and general condition of the subject to be treated; the capacity of the subject's immune system to synthesize antibodies; the degree of protection desired; the severity of the condition being treated; the particular antigen selected and its mode of administration, among other factors. An appropriate effective amount can be readily determined by one of skill in the art.  
20       Thus, a "therapeutically effective amount" will fall in a relatively broad range that can be determined through routine trials.

- The compositions will generally include one or more "pharmaceutically acceptable excipients or vehicles" such as water, saline, glycerol, polyethyleneglycol, hyaluronic acid, ethanol, etc. Additionally, auxiliary substances, such as wetting or  
25       emulsifying agents, pH buffering substances, and the like, may be present in such vehicles. Certain facilitators of nucleic acid uptake and/or expression can also be included in the compositions or coadministered, such as, but not limited to, bupivacaine, cardiotoxin and sucrose.

- Once formulated, the compositions of the invention can be administered  
30       directly to the subject (e.g., as described above) or, alternatively, delivered *ex vivo*, to cells derived from the subject, using methods such as those described above. For

example, methods for the *ex vivo* delivery and reimplantation of transformed cells into a subject are known in the art and can include, e.g., dextran-mediated transfection, calcium phosphate precipitation, polybrene mediated transfection, lipofectamine and LT-1 mediated transfection, protoplast fusion, electroporation, encapsulation of the polynucleotide(s) (with or without the corresponding antigen) in liposomes, and direct microinjection of the DNA into nuclei.

Direct delivery of synthetic expression cassette compositions *in vivo* will generally be accomplished with or without viral vectors, as described above, by injection using either a conventional syringe or a gene gun, such as the Accell® gene delivery system (PowderJect Technologies, Inc., Oxford, England). The constructs can be injected either subcutaneously, epidermally, intradermally, intramucosally such as nasally, rectally and vaginally, intraperitoneally, intravenously, orally or intramuscularly. Delivery of DNA into cells of the epidermis is particularly preferred as this mode of administration provides access to skin-associated lymphoid cells and provides for a transient presence of DNA in the recipient. Other modes of administration include oral and pulmonary administration, suppositories, needle-less injection, transcutaneous and transdermal applications. Dosage treatment may be a single dose schedule or a multiple dose schedule. Administration of polypeptides encoding immunogenic polypeptides is combined with administration of analogous immunogenic polypeptides following the methods of the present invention.

#### **2.3.4 EXPRESSION OF SYNTHETIC SEQUENCES ENCODING HIV-1 POLYPEPTIDES AND RELATED POLYPEPTIDES**

Immunogenic viral polypeptide-encoding sequences of the present invention can be cloned into a number of different expression vectors/host cell systems to provide immunogenic polypeptides for the polypeptide component of the immune-response generating compositions of the present invention. For example, DNA fragments encoding HIV polypeptides can be cloned into eucaryotic expression vectors, including, a transient expression vector, CMV-promoter-based mammalian vectors, and a shuttle vector for use in baculovirus expression systems. Synthetic polynucleotide sequences (e.g., codon optimized polynucleotide sequences) and wild-



type sequences can typically be cloned into the same vectors. Numerous cloning vectors are known to those of skill in the art, and the selection of an appropriate cloning vector is a matter of choice. See, generally, Sambrook et al, *supra*. The vector is then used to transform an appropriate host cell. Suitable recombinant

- 5 expression systems include, but are not limited to, bacterial, mammalian, baculovirus/insect, vaccinia, Semliki Forest virus (SFV), Alphaviruses (such as, Sindbis, Venezuelan Equine Encephalitis (VEE)), mammalian, yeast and *Xenopus* expression systems, well known in the art. Particularly preferred expression systems are mammalian cell lines, vaccinia, Sindbis, eucaryotic layered vector initiation  
10 systems (e.g., US Patent No. 6,015,686, US Patent No. 5, 814,482, US Patent No. 6,015,694, US Patent No. 5,789,245, EP 1029068A2, PCT International Publication No. WO 9918226A2/A3, EP 00907746A2, PCT International Publication No. WO 9738087A2), insect and yeast systems.

- A number of host cells for such expression systems are also known in the art.  
15 For example, mammalian cell lines are known in the art and include immortalized cell lines available from the American Type Culture Collection (A.T.C.C.), such as, but not limited to, Chinese hamster ovary (CHO) cells, HeLa cells, baby hamster kidney (BHK) cells, monkey kidney cells (COS), as well as others. Similarly, bacterial hosts such as *E. coli*, *Bacillus subtilis*, and *Streptococcus spp.*, will find use with the present  
20 expression constructs. Yeast hosts useful in the present invention include *inter alia*, *Saccharomyces cerevisiae*, *Candida albicans*, *Candida maltosa*, *Hansenula polymorpha*, *Kluyveromyces fragilis*, *Kluyveromyces lactis*, *Pichia guilliermondii*, *Pichia pastoris*, *Schizosaccharomyces pombe* and *Yarrowia lipolytica*. Insect cells for use with baculovirus expression vectors include, *inter alia*, *Aedes aegypti*, *Autographa californica*, *Bombyx mori*, *Drosophila melanogaster*, *Spodoptera frugiperda*, and  
25 *Trichoplusia ni*. See, e.g., Summers and Smith, *Texas Agricultural Experiment Station Bulletin No. 1555* (1987).

- Viral vectors can be used for expression of polypeptides in eucaryotic cells, such as those derived from the pox family of viruses, including vaccinia virus and  
30 avian poxvirus. For example, a vaccinia based infection/transfection system, as described in Tomei et al., *J. Virol.* (1993) 67:4017-4026 and Selby et al., *J. Gen. Virol.*

(1993) 74:1103-1113, will also find use with the present invention. A vaccinia based infection/transfection system can be conveniently used to provide for inducible, transient expression of the coding sequences of interest in a host cell. In this system, cells are first infected *in vitro* with a vaccinia virus recombinant that encodes the bacteriophage T7 RNA polymerase. This polymerase displays exquisite specificity in that it only transcribes templates bearing T7 promoters. Following infection, cells are transfected with the polynucleotide of interest, driven by a T7 promoter. The polymerase expressed in the cytoplasm from the vaccinia virus recombinant transcribes the transfected DNA into RNA that is then translated into protein by the host translational machinery. The method provides for high level, transient, cytoplasmic production of large quantities of RNA and its translation products. See, e.g., Elroy-Stein and Moss, *Proc. Natl. Acad. Sci. USA* (1990) 87:6743-6747; Fuerst et al., *Proc. Natl. Acad. Sci. USA* (1986) 83:8122-8126.

As an alternative approach to infection with vaccinia or avipox virus recombinants, an amplification system can be used that will lead to high level expression following introduction into host cells. Specifically, a T7 RNA polymerase promoter preceding the coding region for T7 RNA polymerase can be engineered. Translation of RNA derived from this template will generate T7 RNA polymerase which in turn will transcribe more template. Concomitantly, there will be a cDNA whose expression is under the control of the T7 promoter. Thus, some of the T7 RNA polymerase generated from translation of the amplification template RNA will lead to transcription of the desired gene. Because some T7 RNA polymerase is required to initiate the amplification, T7 RNA polymerase can be introduced into cells along with the template(s) to prime the transcription reaction. The polymerase can be introduced as a protein or on a plasmid encoding the RNA polymerase. For a further discussion of T7 systems and their use for transforming cells, see, e.g., PCT International Publication No. WO 94/26911; Studier and Moffatt, *J. Mol. Biol.* (1986) 189:113-130; Deng and Wolff, *Gene* (1994) 143:245-249; Gao et al., *Biochem. Biophys. Res. Commun.* (1994) 200:1201-1206; Gao and Huang, *Nuc. Acids Res.* (1993) 21:2867-2872; Chen et al., *Nuc. Acids Res.* (1994) 22:2114-2120; and U.S. Patent No. 5,135,855.

These vectors are transfected into an appropriate host cell. The cell lines are then cultured under appropriate conditions and the levels of any appropriate polypeptide product can be evaluated in supernatants. For example, p24 can be used to evaluate Gag expression; gp160, gp140 or gp120 can be used to evaluate Env expression; p6pol can be used to evaluate Pol expression; prot can be used to evaluate protease; p15 for RNaseH; p31 for Integrase; and other appropriate polypeptides for Vif, Vpr, Tat, Rev, Vpu and Nef.

Further, modified polypeptides can also be used, for example, other Env polypeptides include, but are not limited to, for example, native gp160, oligomeric gp140, monomeric gp120 as well as modified and/or synthetic sequences of these polypeptides.

Western Blot analysis can be used to show that cells containing the synthetic expression cassette produce the expected protein, typically at higher per-cell concentrations than cells containing the native expression cassette. The HIV proteins can be seen in both cell lysates and supernatants.

Fractionation of the supernatants from mammalian cells transfected with the synthetic expression cassette can be used to show that the cassettes provide superior production of HIV proteins and relative to the wild-type sequences.

Efficient expression of these HIV-containing polypeptides in mammalian cell lines provides the following benefits: the polypeptides are free of baculovirus contaminants; production by established methods approved by the FDA; increased purity; greater yields (relative to native coding sequences); and a novel method of producing the Sub HIV-containing polypeptides in CHO cells which is not feasible in the absence of the increased expression obtained using the constructs of the present invention. Exemplary Mammalian cell lines include, but are not limited to, BHK, VERO, HT1080, 293, 293T, RD, COS-7, CHO, Jurkat, HUT, SUPT, C8166, MOLT4/clone8, MT-2, MT-4, H9, PM1, CEM, and CEMX174 (such cell lines are available, for example, from the A.T.C.C.).

The desired polypeptide encoding sequences can be cloned into any number of commercially available vectors to generate expression of the polypeptide in an appropriate host system. These systems include, but are not limited to, the following:

- baculovirus expression {Reilly, P.R., *et al.*, BACULOVIRUS EXPRESSION VECTORS: A LABORATORY MANUAL (1992); Beames, *et al.*, *Biotechniques* 11:378 (1991); Pharmingen; Clontech, Palo Alto, CA)}, vaccinia expression {Earl, P. L., *et al.*, "Expression of proteins in mammalian cells using vaccinia" In *Current Protocols in Molecular Biology* (F. M. Ausubel, *et al.* Eds.), Greene Publishing Associates & Wiley Interscience, New York (1991); Moss, B., *et al.*, U.S. Patent Number 5,135,855, issued 4 August 1992}, expression in bacteria {Ausubel, F.M., *et al.*, CURRENT PROTOCOLS IN MOLECULAR BIOLOGY, John Wiley and Sons, Inc., Media PA; Clontech}, expression in yeast {Rosenberg, S. and Tekamp-Olson, P., U.S. Patent No. RE35,749, issued, March 17, 1998; Shuster, J.R., U.S. Patent No. 5,629,203, issued May 13, 1997; Gellissen, G., *et al.*, *Antonie Van Leeuwenhoek*, 62(1-2):79-93 (1992); Romanos, M.A., *et al.*, *Yeast* 8(6):423-488 (1992); Goeddel, D.V., *Methods in Enzymology* 185 (1990); Guthrie, C., and G.R. Fink, *Methods in Enzymology* 194 (1991)}, expression in mammalian cells {Clontech; Gibco-BRL, Ground Island, NY; e.g., Chinese hamster ovary (CHO) cell lines (Haynes, J., *et al.*, *Nuc. Acid. Res.* 11:687-706 (1983); 1983, Lau, Y.F., *et al.*, *Mol. Cell. Biol.* 4:1469-1475 (1984); Kaufman, R. J., "Selection and coamplification of heterologous genes in mammalian cells," in *Methods in Enzymology*, vol. 185, pp537-566. Academic Press, Inc., San Diego CA (1991)}, and expression in plant cells {plant cloning vectors, Clontech Laboratories, Inc., Palo Alto, CA, and Pharmacia LKB Biotechnology, Inc., Piscataway, NJ; Hood, E., *et al.*, *J. Bacteriol.* 168:1291-1301 (1986); Nagel, R., *et al.*, *FEMS Microbiol. Lett.* 67:325 (1990); An, *et al.*, "Binary Vectors", and others in Plant Molecular Biology Manual A3:1-19 (1988); Miki, B.L.A., *et al.*, pp.249-265, and others in Plant DNA Infectious Agents (Hohn, T., *et al.*, eds.) Springer-Verlag, Wien, Austria, (1987); *Plant Molecular Biology: Essential Techniques*, P.G. Jones and J.M. Sutton, New York, J. Wiley, 1997; Miglani, Gurbachan *Dictionary of Plant Genetics and Molecular Biology*, New York, Food Products Press, 1998; Henry, R. J., *Practical Applications of Plant Molecular Biology*, New York, Chapman & Hall, 1997}.
- In addition to the mammalian, insect, and yeast vectors, the synthetic expression cassettes of the present invention can be incorporated into a variety of

expression vectors using selected expression control elements. Appropriate vectors and control elements for any given cell can be selected by one having ordinary skill in the art in view of the teachings of the present specification and information known in the art about expression vectors.

5           For example, a synthetic coding sequence can be inserted into a vector that includes control elements operably linked to the desired coding sequence, which allow for the expression of the coding sequence in a selected cell-type. For example, typical promoters for mammalian cell expression include the SV40 early promoter, a CMV promoter such as the CMV immediate early promoter (a CMV promoter can include  
10 intron A), RSV, HIV-Ltr, the mouse mammary tumor virus LTR promoter (MMLV-ltr), the adenovirus major late promoter (Ad MLP), and the herpes simplex virus promoter, among others. Other nonviral promoters, such as a promoter derived from the murine metallothionein gene, will also find use for mammalian expression. Typically, transcription termination and polyadenylation sequences will also be  
15 present, located 3' to the translation stop codon. Preferably, a sequence for optimization of initiation of translation, located 5' to the coding sequence, is also present. Examples of transcription terminator/polyadenylation signals include those derived from SV40, as described in Sambrook, et al., *supra*, as well as a bovine growth hormone terminator sequence. Introns, containing splice donor and acceptor  
20 sites, may also be designed into the constructs for use with the present invention (Chapman et al., *Nuc. Acids Res.* (1991) 19:3979-3986).

Enhancer elements may also be used herein to increase expression levels of the mammalian constructs. Examples include the SV40 early gene enhancer, as described in Dijkema et al., *EMBO J.* (1985) 4:761, the enhancer/promoter derived from the long  
25 terminal repeat (LTR) of the Rous Sarcoma Virus, as described in Gorman et al., *Proc. Natl. Acad. Sci. USA* (1982b) 79:6777 and elements derived from human CMV, as described in Boshart et al., *Cell* (1985) 41:521, such as elements included in the CMV intron A sequence (Chapman et al., *Nuc. Acids Res.* (1991) 19:3979-3986).

Also included in the invention are expression cassettes, comprising coding  
30 sequences and expression control elements that allow expression of the coding regions in a suitable host. The control elements generally include a promoter, translation

initiation codon, and translation and transcription termination sequences, and an insertion site for introducing the insert into the vector. Translational control elements useful in expression of the polypeptides of the present invention have been reviewed by M. Kozak (e.g., Kozak, M., *Mamm. Genome* 7(8):563-574, 1996; Kozak, M., *Biochimie* 76(9):815-821, 1994; Kozak, M., *J Cell Biol* 108(2):229-241, 1989; Kozak, M., and Shatkin, A.J., *Methods Enzymol* 60:360-375, 1979).

Expression in yeast systems has the advantage of commercial production. Recombinant protein production by vaccinia and CHO cell lines have the advantage of being mammalian expression systems. Further, vaccinia virus expression has several advantages including the following: (i) its wide host range; (ii) faithful post-transcriptional modification, processing, folding, transport, secretion, and assembly of recombinant proteins; (iii) high level expression of relatively soluble recombinant proteins; and (iv) a large capacity to accommodate foreign DNA.

The recombinantly expressed polypeptides from immunogenic HIV polypeptide-encoding expression cassettes are typically isolated from lysed cells or culture media. Purification can be carried out by methods known in the art including salt fractionation, ion exchange chromatography, gel filtration, size-exclusion chromatography, size-fractionation, and affinity chromatography. Immunoaffinity chromatography can be employed using antibodies generated based on, for example, HIV antigens. Isolation of oligomeric forms of HIV envelope protein has been previously described (see, e.g., PCT International Application No. WO/00/39302).

Advantages of expressing the proteins of the present invention using mammalian cells include, but are not limited to, the following: well-established protocols for scale-up production; cell lines are suitable to meet good manufacturing process (GMP) standards; culture conditions for mammalian cells are known in the art.

### 2.3.5 IMMUNOGENICITY ENHANCING COMPONENTS FOR USE WITH THE POLYPEPTIDE COMPONENT OF THE PRESENT INVENTION

Compositions of the present invention for generating an immune response in a mammal, for example, comprising a polynucleotide component and a polypeptide component, can include various excipients, adjuvants, carriers, auxiliary substances,

modulating agents, and the like. The polypeptide component of the compositions of the present invention include an amount of the polypeptide sufficient to mount an immunological response. An appropriate effective amount can be determined by one of skill in the art.

- 5           The polypeptide component may comprise a carrier wherein the carrier is a molecule that does not itself induce the production of antibodies harmful to the individual receiving the composition. Suitable carriers are typically large, slowly metabolized macromolecules such as proteins, polysaccharides, polylactic acids, polyglycolic acids, polymeric amino acids, amino acid copolymers, lipid aggregates
- 10 (such as oil droplets or liposomes), and inactive virus particles. Examples of particulate carriers include those derived from polymethyl methacrylate polymers, as well as microparticles derived from poly(lactides) and poly(lactide-co-glycolides), known as PLG. See, e.g., Jeffery et al., *Pharm. Res.* (1993) 10:362-368; McGee JP, et al., *J Microencapsul.* 14(2):197-210, 1997; O'Hagan DT, et al., *Vaccine* 11(2):149-54,
- 15 1993. Such carriers are well known to those of ordinary skill in the art. Additionally, these carriers may function as immunostimulating agents ("adjuvants"). Furthermore, the antigen may be conjugated to a bacterial toxoid, such as toxoid from diphtheria, tetanus, cholera, etc., as well as toxins derived from *E. coli*.

- Adjuvants may also be used to enhance the effectiveness of the compositions.
- 20 Such adjuvants include, but are not limited to: (1) aluminum salts (alum), such as aluminum hydroxide, aluminum phosphate, aluminum sulfate, etc.; (2) oil-in-water emulsion formulations (with or without other specific immunostimulating agents such as muramyl peptides (see below) or bacterial cell wall components), such as for example (a) MF59 (PCT International Publication No. WO 90/14837), containing 5%
- 25 Squalene, 0.5% Tween 80, and 0.5% Span 85 (optionally containing various amounts of MTP-PE (see below), although not required) formulated into submicron particles using a microfluidizer such as Model 110Y microfluidizer (Microfluidics, Newton, MA), (b) SAF, containing 10% Squalane, 0.4% Tween 80, 5% pluronic-blocked polymer L121, and thr-MDP (see below) either microfluidized into a submicron
- 30 emulsion or vortexed to generate a larger particle size emulsion, and (c) Ribi™ adjuvant system (RAS), (Ribi Immunochem, Hamilton, MT) containing 2% Squalene,

0.2% Tween 80, and one or more bacterial cell wall components from the group consisting of monophosphorylipid A (MPL), trehalose dimycolate (TDM), and cell wall skeleton (CWS), preferably MPL + CWS (Detox™); (3) saponin adjuvants, such as Stimulon™ (Cambridge Bioscience, Worcester, MA) may be used or particle  
5 generated therefrom such as ISCOMs (immunostimulating complexes); (4) Complete Freund's Adjuvant (CFA) and Incomplete Freund's Adjuvant (IFA); (5) cytokines, such as interleukins (IL-1, IL-2, etc.), macrophage colony stimulating factor (M-CSF), tumor necrosis factor (TNF), etc.; (6) oligonucleotides or polymeric molecules encoding immunostimulatory CpG motifs (Davis, H.L., et al., *J. Immunology*  
10 160:870-876, 1998; Sato, Y. et al., *Science* 273:352-354, 1996) or complexes of antigens/oligonucleotides {Polymeric molecules include double and single stranded RNA and DNA, and backbone modifications thereof, for example, methylphosphonate linkages; or (7) detoxified mutants of a bacterial ADP-ribosylating toxin such as a cholera toxin (CT), a pertussis toxin (PT), or an *E. coli* heat-labile toxin (LT),  
15 particularly LT-K63 (where lysine is substituted for the wild-type amino acid at position 63) LT-R72 (where arginine is substituted for the wild-type amino acid at position 72), CT-S109 (where serine is substituted for the wild-type amino acid at position 109), and PT-K9/G129 (where lysine is substituted for the wild-type amino acid at position 9 and glycine substituted at position 129) (see, e.g., PCT International  
20 Publication Nos. WO/93/13202 and WO/92/19265); (8) Muramyl peptides include, but are not limited to, N-acetyl-muramyl-L-threonyl-D-isoglutamine (thr-MDP), N-acetyl-normuramyl-L-alanyl-D-isoglutamate (nor-MDP), N-acetylmuramyl-L-alanyl-D-isoglutaminyl-L-alanine-2-(1'-2'-dipalmitoyl-*sn*-glycero-3-hydroxyphosphoryloxy)-ethylamine (MTP-PE), etc.; (9) Iscomatrix (CSL Limited, Victoria, Australia; also, see,  
25 e.g., Morein B, Bengtsson KL, "Immunomodulation by iscoms, immune stimulating complexes," *Methods. Sep*;19(1):94-102, 1999) and (10) other substances that act as immunostimulating agents to enhance the effectiveness of the composition (e.g., Alum and CpG oligonucleotides).

Preferred adjuvants include, but are not limited to, MF59 and Iscomatrix.

30 Dosage treatment with the polypeptide component of the immune stimulating compositions of the present invention may be a single dose schedule or a multiple



dose schedule. A multiple dose schedule is one in which a primary course of vaccination may be with 1-10 separate doses, followed by other doses given at subsequent time intervals, chosen to maintain and/or reinforce the immune response, for example at 1-4 months for a second dose, and if needed, a subsequent dose(s) after several months. The dosage regimen will also, at least in part, be determined by the need of the subject and be dependent on the judgment of the practitioner.

Direct delivery of the polypeptide component of the immune-response generating compositions of the present invention is generally accomplished, with or without adjuvants, by injection using either a conventional syringe or a gene gun, such as the Accell® gene delivery system (PowderJect Technologies, Inc., Oxford, England). The polypeptides can be injected either subcutaneously, epidermally, intradermally, intramucosally such as nasally, rectally and vaginally, intraperitoneally, intravenously, orally or intramuscularly. Other modes of administration include oral and pulmonary administration, suppositories, and needle-less injection. Dosage treatment may be a single dose schedule or a multiple dose schedule. Administration of polypeptides may also be combined with administration of adjuvants or other substances.

### 2.3.6 IMMUNOMODULATORY MOLECULES

In some embodiments of the present invention, gene transfer vectors can be constructed to encode a cytokine or other immunomodulatory molecule. For example, nucleic acid sequences encoding native IL-2 and gamma-interferon can be obtained as described in US Patent Nos. 4,738,927 and 5,326,859, respectively, while useful muteins of these proteins can be obtained as described in U.S. Patent No. 4,853,332. Nucleic acid sequences encoding the short and long forms of mCSF can be obtained as described in US Patent Nos. 4,847,201 and 4,879,227, respectively. In particular aspects of the invention, retroviral vectors expressing cytokine or immunomodulatory genes can be produced (e.g., PCT International Publication No. WO/94/02951, entitled "Compositions and Methods for Cancer Immunotherapy").

Examples of suitable immunomodulatory molecules for use herein include the following: IL-1 and IL-2 (Karupiah et al. (1990) *J. Immunology* 144:290-298, Weber

- et al. (1987) *J. Exp. Med.* 166:1716-1733, Gansbacher et al. (1990) *J. Exp. Med.* 172:1217-1224, and U.S. Patent No. 4,738,927); IL-3 and IL-4 (Tepper et al. (1989) *Cell* 57:503-512, Golumbek et al. (1991) *Science* 254:713-716, and U.S. Patent No. 5,017,691); IL-5 and IL-6 (Brakenhof et al. (1987) *J. Immunol.* 139:4116-4121, and
- 5 PCT International Publication No. WO 90/06370); IL-7 (U.S. Patent No. 4,965,195); IL-8, IL-9, IL-10, IL-11, IL-12, and IL-13 (*Cytokine Bulletin*, Summer 1994); IL-14 and IL-15; alpha interferon (Finter et al. (1991) *Drugs* 42:749-765, U.S. Patent Nos. 4,892,743 and 4,966,843, PCT International Publication No. WO 85/02862, Nagata et al. (1980) *Nature* 284:316-320, Familletti et al. (1981) *Methods in Enz.* 78:387-394,
- 10 Twu et al. (1989) *Proc. Natl. Acad. Sci. USA* 86:2046-2050, and Faktor et al. (1990) *Oncogene* 5:867-872); beta-interferon (Seif et al. (1991) *J. Virol.* 65:664-671); gamma-interferons (Radford et al. (1991) *The American Society of Hepatology* 20082015, Watanabe et al. (1989) *Proc. Natl. Acad. Sci. USA* 86:9456-9460, Gansbacher et al. (1990) *Cancer Research* 50:7820-7825, Maio et al. (1989) *Can.*
- 15 *Immunol. Immunother.* 30:34-42, and U.S. Patent Nos. 4,762,791 and 4,727,138); G-CSF (U.S. Patent Nos. 4,999,291 and 4,810,643); GM-CSF (PCT International Publication No. WO 85/04188).

Immunomodulatory factors may also be agonists, antagonists, or ligands for these molecules. For example, soluble forms of receptors can often behave as

20 antagonists for these types of factors, as can mutated forms of the factors themselves.

Nucleic acid molecules that encode the above-described substances, as well as other nucleic acid molecules that are advantageous for use within the present invention, may be readily obtained from a variety of sources, including, for example, depositories such as the American Type Culture Collection, or from commercial

25 sources such as British Bio-Technology Limited (Cowley, Oxford England). Representative examples include BBG 12 (containing the GM-CSF gene coding for the mature protein of 127 amino acids), BBG 6 (which contains sequences encoding gamma interferon), A.T.C.C. Deposit No. 39656 (which contains sequences encoding TNF), A.T.C.C. Deposit No. 20663 (which contains sequences encoding alpha-

30 interferon), A.T.C.C. Deposit Nos. 31902, 31902 and 39517 (which contain sequences encoding beta-interferon), A.T.C.C. Deposit No. 67024 (which contains a sequence

which encodes Interleukin-1b), A.T.C.C. Deposit Nos. 39405, 39452, 39516, 39626 and 39673 (which contain sequences encoding Interleukin-2), A.T.C.C. Deposit Nos. 59399, 59398, and 67326 (which contain sequences encoding Interleukin-3), A.T.C.C. Deposit No. 57592 (which contains sequences encoding Interleukin-4), A.T.C.C. Deposit Nos. 59394 and 59395 (which contain sequences encoding Interleukin-5), and A.T.C.C. Deposit No. 67153 (which contains sequences encoding Interleukin-6).

Plasmids containing cytokine genes or immunomodulatory genes (PCT International Publication Nos. WO 94/02951 and WO 96/21015) can be digested with appropriate restriction enzymes, and DNA fragments containing the particular gene of interest can be inserted into a gene transfer vector using standard molecular biology techniques. (See, e.g., Sambrook et al., *supra*., or Ausubel et al. (eds) *Current Protocols in Molecular Biology*, Greene Publishing and Wiley-Interscience).

Polynucleotide sequences coding for the above-described molecules can be obtained using recombinant methods, such as by screening cDNA and genomic libraries from cells expressing the gene, or by deriving the gene from a vector known to include the same. For example, plasmids that contain sequences that encode altered cellular products may be obtained from a depository such as the A.T.C.C., or from commercial sources. Plasmids containing the nucleotide sequences of interest can be digested with appropriate restriction enzymes, and DNA fragments containing the nucleotide sequences can be inserted into a gene transfer vector using standard molecular biology techniques.

Alternatively, cDNA sequences for use with the present invention may be obtained from cells that express or contain the sequences, using standard techniques, such as phenol extraction and PCR of cDNA or genomic DNA. See, e.g., Sambrook et al., *supra*, for a description of techniques used to obtain and isolate DNA. Briefly, mRNA from a cell which expresses the gene of interest can be reverse transcribed with reverse transcriptase using oligo-dT or random primers. The single stranded cDNA may then be amplified by PCR (see U.S. Patent Nos. 4,683,202, 4,683,195 and 4,800,159, see also *PCR Technology: Principles and Applications for DNA Amplification*, Erlich (ed.), Stockton Press, 1989)) using oligonucleotide primers complementary to sequences on either side of desired sequences.

The nucleotide sequence of interest can also be produced synthetically, rather than cloned, using a DNA synthesizer (e.g., an Applied Biosystems Model 392 DNA Synthesizer, available from ABI, Foster City, California). The nucleotide sequence can be designed with the appropriate codons for the expression product desired. The complete sequence is assembled from overlapping oligonucleotides prepared by standard methods and assembled into a complete coding sequence. See, e.g., Edge (1981) *Nature* 292:756; Nambair et al. (1984) *Science* 223:1299; Jay et al. (1984) *J. Biol. Chem.* 259:6311.

#### 10 2.4.0 GENERATION OF IMMUNE RESPONSE IN TREATED SUBJECTS

To evaluate efficacy, nucleic acid immunization using the polynucleotide component of the present invention (e.g., two expression cassettes each comprising a coding sequence for gp140, wherein each coding sequence is derived from different HIV subtypes, serotypes, or strains) and antigenic immunization using the polypeptide component of the present invention (e.g., an oligomeric gp140 wherein the coding sequence is derived from one of the HIV subtypes, serotypes, or strains represented in the polynucleotide component) can be performed, for example, as follows.

Example 2 describes methods for the evaluation, in mice, of the immunogenicity of the compositions of the present invention used to induce immune response. The polynucleotide component described comprises two pCMVCM2 each carrying codon optimized coding sequences for gp140 with delV2, the first coding sequence derived from SF162, subtype B, and the second coding sequence derived from TV1, subtype C. The mice are then immunized with oligomeric, codon optimized, gp140 with delV2, derived from SF162, subtype B, polypeptide. Humoral and cellular immune responses are evaluated. The results of these assays are used to show the potency of the polynucleotide/polypeptide immunization methods of the present invention for the generation of an immune response in mice.

Example 3 describes in vivo immunization studies that may be carried out in a variety of laboratory animals (including, mice, guinea pigs, rabbits, rhesus macaques, and baboons). Results of these studies are used to demonstrate the usefulness of the compositions and methods of the invention to generate immune responses, in

particular to generate broad and potent neutralizing activity against diverse HIV strains.

Example 4 describes experiments performed in support of the present invention that evaluated immunogenicity regimens for various HIV polypeptide encoding plasmids used as primes and various HIV polypeptides used as boosts. In the example, the following vectors encoding gp140 proteins were employed: pCMV gp140 dV2 SF162 DNA and pCMV gp140 dV2 TV1 DNA. These vectors comprise expression cassettes that encode gp140 protein derived from two different HIV subtypes, subtype B (SF162) and subtype C (TV1). The V2 loop was deleted in both constructs and the coding sequences were codon optimized for expression in human cells. The specific gp140 polynucleotides have been previously described (e.g., gp140.modSF162.delV2, Figure 6, and gp140.mut7.modSF162.delV2, Figure 7, see also, PCT International Publication No. WO/00/39302; and gp140mod.TV1.delV2, Figure 8, and gp140mod.TV1.mut7.delV2, Figure 9, see also PCT International Publication No. WO/02/04493). The ability of the compositions and methods of the present invention to generate neutralizing antibodies was evaluated. The results of the assays for the presence of neutralizing antibodies are presented in Figure 4 and Figure 5.

Figure 4 summarizes data showing the neutralization titers against HIV-1 SF162 between seven experimental groups. These results demonstrated that all groups showed strong neutralizing activity against the HIV-1 SF162 isolate. Further, neutralizing activity significantly increased at post 4<sup>th</sup> immunization compared to post 3<sup>rd</sup> immunizations. For the mixed (B+C) DNA prime and single protein boost, B protein gave a high boost to the mixed gene prime (B+C DNA + B prot), as did the C protein (B+C DNA + C prot). For the mixed DNA prime and protein boost, half dose (50ug) of protein (B+C DNA & prot (1/2)) induced neutralizing activity at least as well as the full dose of 100ug protein (B+C DNA & prot).

Figure 5 summarizes data showing the neutralization titers against HIV-1 TV1 (South African subtype C) between seven experimental groups. These results demonstrated that all groups showed neutralizing activity against HIV1 subtype C TV1 isolate (as expected, because no subtype C DNA or protein was used, the B DNA

- + B prot showed the lowest neutralizing activity). For the mismatched a single DNA prime and a single protein boost (C DNA + B prot), priming with C gene and boosting with B protein showed high titers, as did the B gene and B protein (B DNA + B prot). For the mixed (B+C) DNA prime and single protein boost, use of either B (B+C DNA + B prot) and C (B+C DNA + C prot) proteins had a similar boosting effect.

Comparison of the data presented in Figure 4 and Figure 5 supports the combination methods of the present invention for generating an immune response in a subject, further, for generating neutralizing antibodies in immunized subjects. The data showed that the combination of DNA derived from different subtypes primed broad responses to multiple subtypes. This could be the result of the combined responses to subtype and/or sequence-specific continuous and/or discontinuous immunogenic epitopes as well as responses to the presentation of common conserved epitopes in the oligomeric V2-deleted Env immunogens employed here. Furthermore, use of a single subtype protein was sufficient to boost broad neutralizing responses when immunity was primed with multiple subtypes of DNA. These results also demonstrated that use of lower doses of proteins mixture can also provide strong immune responses.

Example 5 presents data demonstrating that a subject (in this example chimpanzees) can be immunized with an envelope protein from a first HIV strain of a given subtype (e.g., HIV-1 MN), be boosted with an envelope protein from a second HIV strain of the same subtype (e.g., HIV-1 SF162) and generate neutralizing antibodies against both HIV strains (see, for example Table 11, Example 5). The data in Example 5 supports that the combination methods of the present invention can be used to broadly raise neutralizing antibodies against multiple viral strains from the same subtype. Further, the data presented in Example 4 in combination with the data presented in Example 5 together demonstrate that such HIV strains may be within subtype, or from different subtypes.

These studies demonstrated the usefulness of the compositions (e.g., comprising a polynucleotide component and a polypeptide component) and methods of the invention to generate immune responses, in particular to generate broad and potent neutralizing activity against diverse HIV subtypes and strains. It is readily

apparent that the subject invention can be used to mount an immune response to a wide variety of antigens and hence to treat or prevent infection, particularly HIV infection.

### 5    **3.0.0 Applications of the Present Invention to HIV**

While not desiring to be bound by any particular model, theory, or hypothesis, the following information is presented to provide a more complete understanding of the present invention.

Protection against HIV infection will likely require potent and broadly reactive  
10 pre-existing neutralizing antibodies in vaccinated individuals exposed to a virus challenge. Although cellular immune responses are desirable to control viremia in those who get infected, protection against infection has not been demonstrated for vaccine approaches that rely exclusively on the induction of these responses. For this reason, experiments performed in support of the present invention used combination  
15 prime-boost approaches that employ a polynucleotide component and a polypeptide component, wherein the polypeptide component encodes, for example, V-deleted envelope antigens from primary HIV isolates (e.g., R5 subtype B (HIV-1<sub>SF162</sub>) and subtype C (HIV-1<sub>TV1</sub>) strains), and the polypeptide component comprises at least one of these antigens.

20 The polynucleotide component of the present invention may be delivered by enhanced DNA or RNA [polylactide co-glycolide (PLG) microparticle formulations or electroporation], adenovirus-based vectors, alphavirus replicons or replicon particles, polynucleotide or particle-based vaccine approaches. Efficient in vivo expression of plasmid encoded genes by electrical permeabilization has been described (see, e.g.,  
25 Zucchelli et al. (2000) *J. Virol.* 74:11598-11607; Banga et al. (1998) *Trends Biotechnol.* 10:408-412; Heller et al. (1996) *Febs Lett.* 389:225-228; Mathiesen et al. (1999) *Gene Ther.* 4:508-514; Mir et al. (1999) *Proc. Nat'l Acad. Sci. USA* 8:4262-4267; Nishi et al. (1996) *Cancer Res.* 5:1050-1055). The polypeptide component of the present invention may be administered, for example, by booster immunizations  
30 with Env proteins in MF59 or Iscomatrix adjuvant.

All protein preparations were highly purified and extensively characterized by biophysical and immunochemical methodologies. Results from rabbit immunogenicity studies indicated that broad neutralizing antibody responses could be consistently induced against diverse HIV strains (Example 4). Moreover, using the combination prime-boost vaccine regimens, potent HIV antigen-specific CD4 + and CD8+ T-cell responses may also be generated.

Although any HIV viral protein may also be employed in the practice of the present invention, in a preferred embodiment V1-, V2-, and/or V3-modified/deleted envelope DNA and corresponding polypeptides are good candidates for use in the compositions of the present invention.

One embodiment of this aspect of the present invention may be described generally as follows. Antigens are selected for the vaccine composition(s). Polynucleotides encoding Env polypeptides and Env polypeptides are typically employed in a composition for generating an immune response comprising a polynucleotide component and a polypeptide component.

A nucleic acid prime is typically followed by at least one polypeptide boost. The boost may, for example, include adjuvanted HIV-derived polypeptides (e.g., analogous to those used for the DNA vaccinations), coding sequences for HIV-derived polypeptides (e.g., analogous to those used for the DNA vaccinations) encoded by a viral vector. Boosts may include further DNA vaccinations, and/or combinations of the foregoing.

Further, different polypeptide antigens may be used in the boost relative to the initial vaccination and *visa versa*. In addition, the initial nucleic acid vaccination may be a viral vector comprising a DNA expression cassette construct.

Some factors that may be considered in HIV envelope vaccine design are as follows. A fundamental criterion of an effective HIV vaccine is its ability to induce broad and potent neutralizing antibody responses against prevalent HIV strains. The important contribution of neutralizing antibodies in preventing the establishment of HIV, SIV and SHIV infection or delaying the onset of disease is highlighted by several studies. First, the emergence of neutralization-resistant viruses coincides or precedes the development of disease in infected animals (Burns (1993) *J Virol*.



- 67:4104-13; Cheng-Mayer et al. (1999) *J. Virol.* 73:5294-5300; Narayan et al. (1999) *Virology* 256:54-63). Second, the pre-infusion of high concentrations of potent neutralizing monoclonal antibodies (mAbs) in the blood circulation of macaques, chimpanzees and SCID mice prior to their challenge with HIV, SIV or SHIV viruses,
- 5 offers protection or delays the onset of disease (Conley et al. (1996) *J. Virol.* 70:6751-6758; Emimi et al. (1992) *Nature (London)* 355:728-730; Gauduin et al. (1997) *Nat Med.* 3:1389-93; Mascola et al. (1999) *J Virol.* 73:4009-18; Mascola et al. (2000) *Nature Med.* 6(2):207-210; Baba et al. (2000) *Nature Med.* 6(2):200-206). Similarly, infusion of neutralizing antibodies collected from the serum of HIV-1-infected
- 10 chimpanzees to naïve pig-tailed macaques protects the latter animals from subsequent viral challenge by SHIV viruses (Shibata et al (1999) *Nature Medicine* 5:204-210). Moreover, envelope-based vaccines have demonstrated protection against infection in non-human primate models. Vaccines that exclude Env-polypeptides generally confer less protective efficacy (see, e.g., Hu, S.L., et al., Recombinant subunit vaccines as an
- 15 approach to study correlates of protection against primate lentivirus infection, *Immunol Lett.* Jun;51(1-2):115-9 (1996); Amara, R.R., et al., Critical role for Env as well as Gag-Pol in control of a simian-human immunodeficiency virus 89.6P challenge by a DNA prime/recombinant modified vaccinia virus Ankara vaccine, *J Virol.* Jun;76(12):6138-46 (2002)).
- 20 Monomeric gp120 protein-derived from the SF2 lab strain provided neutralization of HIV-1 lab strains and protection against virus challenges in primate models (Verschoor, E.J., et al., (1999), "Comparison of immunity generated by nucleic acid, MF59 and ISCOM-formulated HIV-1 gp120 vaccines in rhesus macaques," *J. Virology* 73: 3292-3300). Primary gp120 protein derived from Thai E
- 25 field strains provided cross-subtype neutralization of lab strains (VanCott, T.C., et al., (1999) "Cross-subtype neutralizing antibodies induced in baboons by a subtype E gp120 immunogen based on an R5 primary human immunodeficiency virus type 1 envelope," *J. Virology* 73: 4640-4650). Primary sub-type B oligomeric o-gp140 protein provided partial neutralization of subtype B primary (field) isolates (Barnett,
- 30 S.W., et al. (2001) "The ability of an oligomeric HIV-1 envelope antigen to elicit neutralizing antibodies against primary HIV-1 isolates is improved following the

partial deletion of the second hypervariable region," J. Virology, 75:5526-5540). Primary sub-type B o-gp140 delV2 DNA prime plus protein boost provided potent neutralization of diverse subtype B primary isolates and protection against virus challenge in primate models (Cherpelis, S., et al., (2000) "Vaccine-induced anti-envelope antibodies offer partial protection from SHIV infection to CD8+T-cell depleted rhesus macaques," J. Virology, 75, 1547-1550).

Vaccine strategies for induction of potent, broadly reactive, neutralizing antibodies may be assisted by construction of Envelope polypeptide structures that expose conserved neutralizing epitopes, for example, variable-region

modifications/deletions and de-glycosylations, envelope protein-receptor complexes, rational design based on crystal structure (e.g., beta-sheet deletions), and gp41-fusion domain based immunogens.

Stable CHO cell lines for envelope protein production have been developed using optimized envelope polypeptide coding sequences, including, but not limited to, the following: gp120, o-gp140, gp120delV2, o-gp140delV2, gp120delV1V2, o-gp140delV1V2.

Exemplary antigenic compositions and immunogenicity studies in support of the compositions and methods of the present invention are presented in Example 4.

In a first particular aspect of the present invention for compositions for generating an immune response in a mammal, the polynucleotide component of the present invention consists essentially of one polynucleotide encoding an HIV immunogenic polypeptide, and the polypeptide component comprises of one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that at least one HIV immunogenic polypeptide of the polypeptide component is derived from a different HIV subtype, serotype, or strain than the coding sequence of the immunogenic polypeptide encoded by the polynucleotide component. In this context, the polynucleotide component consisting essentially of one polynucleotide encoding an HIV immunogenic polypeptide refers to the presence of one polynucleotide encoding one HIV immunogenic polypeptide in the composition. The polynucleotide composition may comprise further components in addition to the HIV immunogenic polypeptide, such

as immune enhancers, immunoregulatory components, vector sequences (e.g., viral or non-viral), carriers, particles, excipients, expression control sequences, etc. In one embodiment of this aspect of the present invention, the HIV immunogenic polypeptide encoded by the polynucleotide component is derived from a subtype B strain, and at least one coding sequence of an HIV immunogenic polypeptide of the polypeptide component is derived from a subtype C strain.

In one embodiment a composition for generating an immune response in a mammal comprises, a polynucleotide component consisting essentially of a polynucleotide encoding an HIV immunogenic polypeptide derived from a first HIV strain of a first subtype, and a polypeptide component comprising one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by the polynucleotide component, provided that at least one HIV immunogenic polypeptide of the polypeptide component is derived from a second HIV strain of the first subtype, wherein the first and second strain are different. In some embodiments of this aspect the polynucleotide component does not encode an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype, and the polypeptide component does not comprise an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype.

In a second particular aspect of the present invention for compositions for generating an immune response in a mammal, the polynucleotide component comprises two or more polynucleotide sequences comprising coding sequences for two or more analogous HIV immunogenic polypeptides, wherein the coding sequences for at least two of the HIV immunogenic polypeptides are derived from different HIV subtypes, serotypes, or strains, and the polypeptide component comprises of one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that (i) if the polypeptide component provides less than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then the HIV immunogenic polypeptides of the polypeptide composition may be derived from the same and/or different HIV subtypes, serotypes, or strains as the HIV immunogenic polypeptides provided by the polynucleotide component, or (ii) if the polypeptide component provides the same or

greater than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then at least one of the HIV immunogenic polypeptides of the polypeptide composition is derived from a different HIV subtype, serotype, or strain than the HIV immunogenic polypeptides provided by the polynucleotide component.

In one embodiment, the present invention includes a composition for use in generating an immune response in a subject, wherein the composition comprises a polynucleotide encoding an immunogenic HIV polypeptide and an analogous immunogenic HIV polypeptide from a different HIV subtype, serotype, or strain. The polynucleotide encoding an immunogenic HIV polypeptide is used for immunization via delivery of the polynucleotide (e.g., a prime), an analogous immunogenic HIV polypeptide derived from a different HIV subtype, serotype, or strain is used for immunization (e.g., a boost). For example, a DNA molecule is used for nucleic acid immunization, wherein the DNA molecule encodes an HIV envelope polypeptide (i) derived from an HIV subtype C isolate, and (ii) that is codon optimized for expression in mammalian cells. This DNA immunization is followed by a protein boost using an HIV envelope polypeptide derived from an HIV subtype B isolate. Exemplary envelope proteins include, but are not limited to, gp120, gp140, oligomeric gp140, and gp160, including mutated forms thereof (e.g., deletion of the V2 loop). One embodiment of this aspect of the present invention, comprises a composition for generating an immune response in a mammal, the composition comprising: a polynucleotide component having of a first polynucleotide encoding a first HIV immunogenic polypeptide; and a polypeptide component, comprising a second HIV immunogenic polypeptide, wherein the first and second immunogenic HIV polypeptide are derived from different HIV subtypes, serotypes, or strains, and (ii) the first and second immunogenic polypeptides encode analogous HIV polypeptides.

A second embodiment the present invention includes a composition for use in generating an immune response in a subject, wherein the composition comprises a polynucleotide component comprising two or more polynucleotides encoding immunogenic HIV polypeptides, derived from at least two different subtypes, serotypes, or strains, and a polypeptide component having a single, analogous,

immunogenic HIV polypeptides derived from one of the subtypes, serotypes, or strains that is used for boosting. For example, two DNA molecules are used for nucleic acid immunization, wherein the first DNA molecule encodes an HIV envelope polypeptide (i) derived from an HIV subtype C isolate, and (ii) that is codon optimized for

5 expression in mammalian cells, and the second DNA molecule encodes an HIV envelope polypeptide (i) derived from an HIV subtype B isolate, and (ii) that is codon optimized for expression in mammalian cells. This DNA immunization is followed by a protein boost using a single HIV envelope polypeptide (i) derived from an HIV subtype B isolate or an HIV subtype C isolate. Exemplary envelope proteins include,

10 but are not limited to, gp120, gp140, oligomeric gp140, and gp160, including mutated forms thereof (e.g., deletion of the V2 loop). One embodiment of this aspect of the present invention comprises a composition for generating an immune response in a mammal, the composition comprising: a polynucleotide component comprising a first polynucleotide encoding a first immunogenic HIV polypeptide, and a second

15 polynucleotide encoding a second immunogenic HIV polypeptide, wherein (i) the first and second immunogenic HIV polypeptide are derived from different HIV subtypes, and (ii) the first and second immunogenic polypeptides encode analogous HIV polypeptides, and a polypeptide component, having the first HIV immunogenic polypeptide, or the second HIV immunogenic polypeptide, with the proviso that the

20 polypeptide component comprises at least one less HIV immunogenic polypeptide than is encoded by the polynucleotide component.

In another embodiment, a composition for generating an immune response in a mammal comprises a polynucleotide component comprising two or more polynucleotide sequences comprising coding sequences for two or more analogous

25 HIV immunogenic polypeptides derived from a first HIV subtype, wherein the coding sequences for at least two of the HIV immunogenic polypeptides are derived from different HIV strains of the first subtype, and a polypeptide component that comprises one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by the polynucleotide component, with the proviso that (i) if the polypeptide component

30 comprises less than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then the HIV immunogenic polypeptides of the

polypeptide composition may be derived from the same and/or different HIV strains of the first subtype, or (ii) if the polypeptide component comprises the same or greater than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then at least one of the HIV immunogenic polypeptides of the polypeptide composition is derived from a different HIV strain of the first subtype; with the provisos that (i) the polynucleotide component does not encode an HIV immunogenic polypeptide derived from any subtype other than the first subtype, and (ii) the polypeptide component does not comprise an HIV immunogenic polypeptide derived from any subtype other than the first subtype.

The polynucleotide component may comprise further components as described herein (e.g., carriers, vector sequences, control sequences, etc.). The polypeptide component may comprise further components as described herein (e.g., carriers, adjuvants, immunoenhancers, etc.).

In a third aspect, the present invention relates to the use of varied doses of polynucleotides and polypeptides in immunization methods (e.g., prime/boost methods), particularly the methods described herein. Thus, another aspect of the invention provides a method of generating an immune response in a subject comprising administering a polynucleotide component consisting essentially of one polynucleotide encoding an HIV immunogenic polypeptide derived from a first HIV strain of a first subtype, to a subject under conditions that are compatible with the expression of said polynucleotide in said subject for the production of the encoded HIV immunogenic polypeptide; and, administering a polypeptide component comprising one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that at least one HIV immunogenic polypeptide of the polypeptide component is derived from a second strain of the first subtype, wherein said first HIV strain and said second HIV strain are different. Typically, the polynucleotide component does not encode an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype, and the polypeptide component does not comprise an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype.

Another aspect of the present invention provides a method of generating an immune response in a subject comprising administering a polynucleotide component comprising two or more polynucleotides comprising coding sequences for two or more analogous HIV immunogenic polypeptides derived from a first HIV subtype, wherein the coding sequences for at least two of the HIV immunogenic polypeptides are derived from different HIV strains of the first subtype, to a subject under conditions that are compatible with the expression of said polynucleotides in said subject for the production of the encoded HIV immunogenic polypeptides; and, administering a polypeptide component that comprises one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that if the polypeptide component comprises the same number or greater than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then at least one of the HIV immunogenic polypeptides of the polypeptide composition is derived from a different HIV strain of the first subtype than the HIV immunogenic polypeptides provided by the polynucleotide component. Typically, the polynucleotide component does not encode an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype, and the polypeptide component does not comprise an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype.

In a further aspect, the invention provides a method of generating an immune response in a subject comprising

providing a composition comprising

a polynucleotide component consisting essentially of one polynucleotide encoding an HIV immunogenic polypeptide derived from a first HIV strain of a first subtype, and

a polypeptide component comprising one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that at least one HIV immunogenic polypeptide of the polypeptide component is derived from a second HIV strain of the first subtype wherein said first and second strains are different;

administering a gene delivery vector comprising the polynucleotide of said polynucleotide component of the composition into said subject under conditions that are compatible with expression of said polynucleotide in said subject for the production of encoded HIV immunogenic polypeptides; and

- 5 administering the polypeptide component to said subject.

Yet another aspect of the invention provides a method of generating an immune response in a subject comprising

- providing a composition comprising a polynucleotide component comprising two or more polynucleotide sequences comprising coding sequences for two or more analogous HIV immunogenic polypeptides derived from a first HIV subtype, wherein the coding sequences for at least two of the HIV immunogenic polypeptides are derived from different HIV strains of the first subtype, and a polypeptide component comprising one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that if the polypeptide component comprises the same number or greater than the number of analogous HIV immunogenic polypeptides encoded by the polynucleotide component, then at least one of the HIV immunogenic polypeptides of the polypeptide composition is derived from a different HIV strain of the first subtype than the HIV immunogenic polypeptides provided by the polynucleotide component;

- 20 administering one or more gene delivery vectors comprising the polynucleotides of said polynucleotide component of the composition into said subject under conditions that are compatible with expression of said polynucleotides in said subject for the production of encoded HIV immunogenic polypeptides; and

- administering the polypeptide component to said subject. Typically, the polynucleotide component of the composition does not encode an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype, and the polypeptide component of the composition does not comprise an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype.

- In any immunization method using, for example, a mixed polynucleotide prime (i.e., two or more polynucleotides encoding immunogenic HIV polypeptides derived from two or more HIV subtypes, serotypes, or strains) in conjunction with a



polypeptide boost the present invention includes using reduced doses of each single component to provide an equivalent immune response to using full doses of each component. In one embodiment, the high threshold of DNA is the maximum tolerable dose of DNA (e.g., about 5 mg to about 10 mg total DNA), the low threshold of DNA is the minimum effective dose (e.g., about 2 ug to about 10 ug total DNA), the high threshold of protein is the maximum tolerable dose of protein (e.g., about 1 mg total protein), the low threshold of protein is the minimum effective dose (e.g., about 2 ug total protein). Experiments performed in support of the present invention demonstrated the efficacy of dividing the total DNA dose among the polynucleotides of the polynucleotide component (e.g., Example 4). Further, experiments performed in support of the present invention (e.g., Example 4) demonstrated the efficacy of dividing the total polypeptide dose among the polypeptides comprising the polypeptide component. The total DNA and total protein are both typically above the low threshold values.

In a preferred embodiment, the total amount of DNA in a given DNA immunization has a high threshold of less than or equal to about 10 mg total DNA and greater than or equal to 1 mg total DNA, and the total amount of protein in a given polypeptide boost has a high threshold of less than or equal to about 200 ug total protein product and greater than or equal to 10 ug of total protein. For example, in an embodiment using a polynucleotide component having two DNA molecules each encoding an immunogenic HIV polypeptide the dose of each DNA molecule per subject may be one milligram of each DNA molecule encoding an immunogenic HIV polypeptide, for a total of 2 mg for the two DNA molecules, or 0.5 mg of each DNA molecule encoding an immunogenic HIV polypeptide, for a total of 1 mg for the two DNA molecules. Dosing with the polypeptide component may be similarly varied, for example, using a polypeptide component having two immunogenic HIV polypeptides the dose of each polypeptide per subject may be 100 micrograms of each immunogenic HIV polypeptide, for a total of 200 ug for the two polypeptides, 50 micrograms of each immunogenic HIV polypeptide, for a total of 100 ug for the two polypeptides, or 25 ug of each immunogenic HIV polypeptide, for a total of 50 ug for

the two polypeptides. As described above, more than two polypeptides may be included in the polypeptide component of the present invention.

In further embodiments, the polynucleotide component of the present invention may comprise one or more gene delivery vectors comprising the polynucleotide(s) encoding immunogenic HIV polypeptide(s). The polypeptide component of the present invention may comprise an adjuvant in addition to the immunogenic polypeptide(s). The present invention also comprises a method for generating an immune response in a subject, the method comprising, administering the polynucleotide composition to the subject under conditions that are compatible with expression of the polynucleotide(s) encoding immunogenic HIV polypeptide(s) in the subject, and administering the polypeptide composition to the subject. The administering of polynucleotide and polypeptide compositions may be concurrent or sequentially. In a preferred embodiment immunization with a polynucleotide component precedes immunization with at least one polypeptide component. Further, a single prime may be followed by multiple boosts or a series of primes and boosts may be used.

Exemplary envelope proteins, and coding sequences thereof, for use in the present invention include, but are not limited to, gp120, gp140, oligomeric gp140, and gp160, including mutated or modified forms thereof (e.g., deletion of the V2 loop, mutations in cleavage sites, or mutations in glycosylation sites). In one embodiment, HIV envelope polypeptides that have been modified to expose the region of their polypeptide that binds to the CCR5 receptor are useful in the practice of the present invention, as well as polynucleotide sequences encoding such polypeptides. From the perspective of humoral immunity, it is useful to generate an immune response that provides neutralization of primary isolates that utilize the CCR5 chemokine co-receptor, which is believed to be important for virus entry (Zhu, T., et al. (1993) Science 261:1179-1181; Fiore, J., et al. (1994) Virology; 204:297-303). These and other exemplary polynucleotide constructs (e.g., a variety of envelope protein coding sequences), methods of making the polynucleotide constructs, corresponding polypeptide products, and methods of making polypeptides useful for HIV immunization have been previously described, for example, in the following: PCT

International Publication Nos.: WO/00/39302; WO/00/39304; WO/02/04493; WO/03/004657; WO/03/004620; and WO/03/020876; US Patent No. 6,602,705; and US Published Patent Application Nos. 20030143248 , and 20020146683.

Although described with reference to HIV subtypes B and C as exemplary  
5 subtypes, the compositions and methods of the present invention are applicable to a wide variety of HIV subtypes, serotypes, or strains and immunogenic polypeptides encoded thereby, including but not limited to the following: HIV-1 subtypes, A through K, N and O, the identified CRFs (circulating recombinant forms), and HIV-2 strains and its subtypes. See, e.g., Myers, et al., Los Alamos Database, Los Alamos  
10 National Laboratory, Los Alamos, New Mexico; Myers, et al., Human Retroviruses and Aids, 1990, Los Alamos, New Mexico: Los Alamos National Laboratory.

Further modifications of Env include, but are not limited to, generating polynucleotides that encode Env polypeptides having mutations and/or deletions therein. For instance, some or all of hypervariable regions, V1, V2, V3, V4 and/or V5  
15 can be deleted or modified as described herein, particularly regions V1, V2, and V3. V1 and V2 regions may mask CCR5 co-receptor binding sites. (See, e.g., Moulard, et al. (2002) Proc. Nat'l Acad. Sci 14:9405-9416). Accordingly, in certain embodiments, some or all of the variable loop regions are deleted, for example to expose potentially conserved neutralizing epitopes. Further, deglycosylation of N-linked sites are also  
20 potential targets for modification inasmuch as a high degree of glycosylation also serves to shield potential neutralizing epitopes on the surface of the protein. Additional optional modifications, used alone or in combination with variable region deletes and/or deglycosylation modification, include modifications (e.g., deletions) to the beta-sheet regions (e.g., as described in WO 00/39303), modifications of the leader  
25 sequence (e.g., addition of Kozak sequences and/or replacing the modified wild type leader with a native or sequence-modified tpa leader sequence) and/or modifications to protease cleavage sites (e.g., Chakrabarti, et al., (2002) *J. Virol.* 76(11):5357-5368 describing a gp140 Delta CFI containing deletions in the cleavage site, fusogenic domain of gp41, and spacing of heptad repeats 1 and 2 of gp41 that retained native  
30 antigenic conformational determinants as defined by binding to known monoclonal antibodies or CD4, oligomer formation, and virus neutralization in vitro).

Various combinations of these modifications can be employed to generate wild-type or synthetic polynucleotide sequences as described herein.

Modification of the Env polypeptide coding sequences may result in (1) improved expression relative to the wild-type coding sequences in a number of mammalian cell lines (as well as other types of cell lines, including, but not limited to, insect cells), and/or (2) improved presentation of neutralizing epitopes. Similar Env polypeptide coding sequences can be obtained, modified and tested for improved expression from a variety of isolates.

Any of the polynucleotides (*e.g.*, expression cassettes) or polypeptides described herein (delivered by any of the methods described above) can also be used in combination with other DNA delivery systems and/or protein delivery systems. Non-limiting examples include co-administration of these molecules, for example, in prime-boost methods where one or more molecules are delivered in a "priming" step and, subsequently, one or more molecules are delivered in a "boosting" step. In certain embodiments, the delivery of one or more nucleic acid-containing compositions is followed by delivery of one or more nucleic acid-containing compositions and one or more polypeptide-containing compositions (*e.g.*, polypeptides comprising HIV antigens). In other embodiments, multiple nucleic acid "primers" (of the same or different nucleic acid molecules) can be followed by multiple polypeptide "boosts" (of the same or different polypeptides). Other examples include multiple nucleic acid administrations and multiple polypeptide administrations.

In any method involving co-administration, the various compositions can be delivered in any order. Thus, in embodiments including delivery of multiple different compositions or molecules, the nucleic acids need not be all delivered before the polypeptides. For example, the priming step may include delivery of one or more polypeptides and the boosting comprises delivery of one or more nucleic acids and/or one more polypeptides. Multiple polypeptide administrations can be followed by multiple nucleic acid administrations or polypeptide and nucleic acid administrations can be performed in any order. Thus, one or more of the nucleic acid molecules (*e.g.*, expression cassettes) described herein and one or more of the polypeptides described herein can be co-administered in any order and via any administration routes.

Therefore, any combination of polynucleotides and polypeptides described herein can be used to elicit an immune reaction.

- 5 In addition, following prime-boost regimes (such as those of the present invention described herein) may be beneficial to help reduce viral load in infected subjects, as well as possibly slow or prevent progression of HIV-related disease (relative to untreated subjects).

## EXPERIMENTAL

- 10 Below are examples of specific embodiments for carrying out the present invention. The examples are offered for illustrative purposes only, and are not intended to limit the scope of the present invention in any way.

- Efforts have been made to ensure accuracy with respect to numbers used (e.g., amounts, temperatures, etc.), but some experimental error and deviation should, of course, be allowed for.
- 15

### Example 1

#### Generation of Synthetic Expression Cassettes

##### A. Generating Synthetic Polynucleotides

- 20 The polynucleotide sequences used in the practice of the present invention are typically manipulated to maximize expression of their gene products in a desired host or host cell. Following here is some exemplary guidance concerning codon optimization and functional variants of HIV polypeptides. The order of the following steps may vary.

- 25 First, the HIV-1 codon usage pattern may be modified so that the resulting nucleic acid coding sequence is comparable to codon usage found in highly expressed human genes. The HIV codon usage reflects a high content of the nucleotides A or T of the codon-triplet. The effect of the HIV-1 codon usage is a high AT content in the DNA sequence that results in a high AU content in the RNA and in a decreased translation ability and instability of the mRNA. In comparison, highly expressed  
30 human codons prefer the nucleotides G or C. Wild-type polynucleotide sequences

encoding polypeptides are typically modified to be comparable to codon usage found in highly expressed human genes.

- Second, for some genes variants are created (e.g., mutated forms of the wild-type polypeptide). In the following table (Table 2) mutations affecting the activity of several HIV genes are disclosed.

**Table 2**

Gene	"Region"	Exemplary Mutations
Pol	prot	<b>Att</b> = Reduced activity by attenuation of Protease (Thr26Ser) (e.g., Konvalinka et al., 1995, J Virol 69: 7180-86) <b>Ina</b> = Mutated Protease, nonfunctional enzyme (Asp25Ala)(e.g., Konvalinka et al., 1995, J Virol 69: 7180-86)
	RT	<b>YM</b> = Deletion of catalytic center (YMDD_AP; SEQ ID NO:7) (e.g., Biochemistry, 1995, 34, 5351, Patel et. al.) <b>WM</b> = Deletion of primer grip region (WMGY_PI; SEQ ID NO:8) (e.g., J Biol Chem, 272, 17, 11157, Palaniappan, et. al., 1997)
	RNase	no direct mutations, RNaseH is affected by "WM" mutation in RT
	Integrase	1) Mutation of HHCC domain, Cys40Ala (e.g., Wiskerchen et. al., 1995, J Virol, 69: 376). 2.) Inactivation catalytic center, Asp64Ala, Asp116Ala, Glu152Ala (e.g., Wiskerchen et. al., 1995, J Virol, 69: 376). 3) Inactivation of minimal DNA binding domain (MDBD), deletion of Trp235(e.g., Ishikawa et. al., 1999, J Virol, 73: 4475). Constructs int.opt.mut.SF2 and int.opt.mut_C (South Africa TV1) both contain all these mutations (1, 2, and 3)

Gene	"Region"	Exemplary Mutations
Env		<p>Mutations in cleavage site (e.g., Earl et al. (1990) <i>PNAS USA</i> 87:648-652; Earl et al. (1991) <i>J. Virol.</i> 65:31-41).</p> <p>Mutations in glycosylation site (e.g., GM mutants, for example, change Q residue in V1 and/or V2 to N residue; may also be designated by residue altered in sequence)</p> <p>Deletions or modifications of the V1, V2, V3, V4 or V5 regions or combinations thereof. (See e.g., US 6602705)</p> <p>Deletions or modifications of the <math>\beta</math>-sheets regions. (See e.g., WO 00/39303)</p>
Tat		<p>Mutants of Tat in transactivation domain (e.g., Caputo et al., 1996, <i>Gene Ther.</i> 3:235), e.g., cys22 mutant (Cys22Gly), cys37 mutant (Cys37Ser), and double mutants</p>
Rev		<p>Mutations in Rev domains (e.g., Thomas et al., 1998, <i>J Virol.</i> 72:2935-44), e.g., mutation in RNA binding-nuclear localization ArgArg38,39AspLeu, mutations in activation domain LeuGlu78,79AspLeu = <b>M10</b></p>
Nef		<p>Mutations of myristoylation signal and in oligomerization domain, for example:</p> <ol style="list-style-type: none"> <li>1. Single point mutation myristoylation signal: Gly-to-Ala</li> <li>2. Deletion of N-terminal first 18 (sub-type B, e.g., SF162) or 19 (sub-type C, e.g., South Africa clones) amino acids. (e.g., Peng and Robert-Guroff, 2001, <i>Immunol Letters</i> 78: 195-200)</li> </ol> <p>Single point mutation oligomerization: (e.g., Liu et al., 2000, <i>J Virol</i> 74: 5310-19)</p> <p>Mutations affecting (1) infectivity (replication) of HIV-virions and/or (2) CD4 down regulation. (e.g., Lundquist et al. (2002) <i>J Virol.</i> 76(9):4625-33)</p>
Vif		<p>Mutations of Vif: e.g., Simon et al., 1999, <i>J Virol</i> 73:2675-81</p>

Gene	"Region"	Exemplary Mutations
Vpr		Mutations of Vpr: e.g., Singh et al., 2000, J Virol 74: 10650-57
Vpu		Mutations of Vpu: e.g., Tiganos et al., 1998, Virology 251: 96-107

Exemplary polynucleotides comprising some of these mutations have been previously described ( see, e.g., PCT International Publication Nos.: WO/00/39302; WO/00/39303; WO/00/39304; WO/02/04493; WO/03/004657; WO/03/004620; and  
5 WO/03/020876). Reducing or eliminating the function of the associated gene products can be accomplished employing the teachings set forth in the above table, in view of the teachings of the present specification.

In one aspect, the present invention comprises *Env* coding sequences that include, but are not limited to, polynucleotide sequences encoding the following HIV-  
10 encoded polypeptides: gp160, gp140, and gp120 (see, e.g., U.S. Patent No. 5,792,459 for a description of the HIV-1<sub>SF2</sub> ("SF2") Env polypeptide). The relationships between these polypeptides is shown schematically in Figure 3 (in the figure: the polypeptides are indicated as lines, the amino and carboxy termini are indicated on the gp160 line; the open circle represents the oligomerization domain; the open square  
15 represents a transmembrane spanning domain (TM); and "c" represents the location of a cleavage site, in gp140.mut the "X" indicates that the cleavage site has been mutated such that it no longer functions as a cleavage site). The polypeptide gp160 includes the coding sequences for gp120 and gp41. The polypeptide gp41 is comprised of several domains including an oligomerization domain (OD) and a transmembrane  
20 spanning domain (TM). In the native envelope, the oligomerization domain is required for the non-covalent association of three gp41 polypeptides to form a trimeric structure: through non-covalent interactions with the gp41 trimer (and itself), the gp120 polypeptides are also organized in a trimeric structure. A cleavage site (or cleavage sites) exists approximately between the polypeptide sequences for gp120 and  
25 the polypeptide sequences corresponding to gp41. This cleavage site(s) can be mutated to prevent cleavage at the site. The resulting gp140 polypeptide corresponds to a



truncated form of gp160 where the transmembrane spanning domain of gp41 has been deleted. This gp140 polypeptide can exist in both monomeric and oligomeric (*i.e.* trimeric) forms by virtue of the presence of the oligomerization domain in the gp41 moiety. In the situation where the cleavage site has been mutated to prevent cleavage and the transmembrane portion of gp41 has been deleted the resulting polypeptide product is designated "mutated" gp140 (e.g., gp140.mut). As will be apparent to those in the field, the cleavage site can be mutated in a variety of ways. (See, also, e.g., PCT International Publication Nos. WO 00/39302 and WO/02/04493).

Wild-type HIV coding sequences (e.g., Gag, Env, Pol, tat, rev, nef, vpr, vpu, vif, etc.) can be selected from any known HIV isolate and these sequences manipulated to maximize expression of their gene products following the teachings of the present invention. The wild-type coding region maybe modified in one or more of the following ways: sequences encoding hypervariable regions of Env, particularly V1 and/or V2 are deleted, and/or mutations are introduced into sequences, for example, encoding the cleavage site in Env to abrogate the enzymatic cleavage of oligomeric gp140 into gp120 monomers. (See, e.g., Earl et al. (1990) *PNAS USA* 87:648-652; Earl et al. (1991) *J. Virol.* 65:31-41). In yet other embodiments, hypervariable region(s) are deleted, N-glycosylation sites are removed and/or cleavage sites mutated. As discussed above, different mutations may be introduced into the coding sequences of different genes (see, e.g., Table 2).

To create the synthetic coding sequences of the present invention the gene cassettes are designed to comprise the entire coding sequence of interest. Synthetic gene cassettes are constructed by oligonucleotide synthesis and PCR amplification to generate gene fragments. Primers are chosen to provide convenient restriction sites for subcloning. The resulting fragments are then ligated to create the entire desired sequence which is then cloned into an appropriate vector. The final synthetic sequences are (i) screened by restriction endonuclease digestion and analysis, (ii) subjected to DNA sequencing in order to confirm that the desired sequence has been obtained and (iii) the identity and integrity of the expressed protein confirmed by SDS-PAGE and Western blotting. The synthetic coding sequences are assembled at

Chiron Corp. (Emeryville, CA) or by the Midland Certified Reagent Company (Midland, Texas).

- Percent identity to the synthetic sequences of the present invention can be determined, for example, using the Smith-Waterman search algorithm (Time Logic, Incline Village, NV), with the following exemplary parameters: weight matrix = nuc4x4hb; gap opening penalty = 20, gap extension penalty = 5, reporting threshold = 1; alignment threshold = 20.

Various forms of the different embodiments of the present invention (e.g., constructs) may be combined.

- Some exemplary embodiments of synthetic polynucleotides useful in the practice of the present invention are discussed in Example 4 and presented in Figure 6 to Figure 19.

**B. Creating Expression Cassettes Comprising the Synthetic Polynucleotides of the Present Invention**

- The synthetic DNA fragments of the present invention may be cloned into a number of viral or non-viral expression vectors. For example, polynucleotides used in the practice of the present invention may be cloned into the following non-viral expression vectors: (i) pCMVKm2, for transient expression assays and DNA immunization studies, the pCMVKm2 vector was derived from pCMV6a (Chapman et al., *Nuc. Acids Res.* (1991) 19:3979-3986) and comprises a kanamycin selectable marker, a ColE1 origin of replication, a CMV promoter enhancer and Intron A, followed by an insertion site for the synthetic sequences described below followed by a polyadenylation signal derived from bovine growth hormone -- the pCMVKm2 vector differs from the pCMV-link vector only in that a polylinker site was inserted into pCMVKm2 to generate pCMV-link; (ii) pESN2dhfr and pCMVPLEdhfr (also known as pCMVIII), for expression in Chinese Hamster Ovary (CHO) cells; and, (iii) pAcC13, a shuttle vector for use in the Baculovirus expression system (pAcC13, was derived from pAcC12 which was described by Munemitsu S., et al., *Mol Cell Biol.* 10(11):5977-5982, 1990). See, also PCT International Publication Nos. WO

00/39302, WO 00/39303, WO 00/39304, WO 02/04493 for a description of these vectors.

- Briefly, construction of pCMVPLEdhfr (pCMVIII) was as follows. To construct a DHFR cassette, the EMCV IRES (internal ribosome entry site) leader was PCR-amplified from pCite-4a+ (Novagen, Inc., Milwaukee, WI) and inserted into pET-23d (Novagen, Inc., Milwaukee, WI) as an *Xba*-*Nco* fragment to give pET-EMCV. The *dhfr* gene was PCR-amplified from pESN2dhfr to give a product with a Gly-Gly-Gly-Ser spacer in place of the translation stop codon and inserted as an *Nco*-*Bam*H1 fragment to give pET-E-DHFR. Next, the attenuated *neo* gene was PCR amplified from a pSV2Neo (Clontech, Palo Alto, CA) derivative and inserted into the unique *Bam*H1 site of pET-E-DHFR to give pET-E-DHFR/Neo<sub>(m2)</sub>. Then, the bovine growth hormone terminator from pCDNA3 (Invitrogen, Inc., Carlsbad, CA) was inserted downstream of the *neo* gene to give pET-E-DHFR/Neo<sub>(m2)</sub>BGHt. The EMCV-*dhfr*/*neo* selectable marker cassette fragment was prepared by cleavage of pET-E-DHFR/Neo<sub>(m2)</sub>BGHt. The CMV enhancer/promoter plus Intron A was transferred from pCMV6a (Chapman et al., *Nuc. Acids Res.* (1991) 19:3979-3986) as a *Hind*III-*Sal*I fragment into pUC19 (New England Biolabs, Inc., Beverly, MA). The vector backbone of pUC19 was deleted from the *Nde*I to the *Sap*I sites. The above described DHFR cassette was added to the construct such that the EMCV IRES followed the CMV promoter to produce the final construct. The vector also contained an *amp*<sup>r</sup> gene and an SV40 origin of replication.

- Expression vectors of the present invention may comprise one or more polynucleotide sequence encoding immunogenic polypeptides. When the expression cassette contains more than one coding sequence the coding sequences may all be in-frame to generate one polypeptide; alternatively, the more than one polypeptide coding sequences may comprise a polycistronic message where, for example, an IRES is placed 5' to each polypeptide coding sequence; further, multiple promoters may be present to direct the expression of multiple coding sequences.

Example 2

In Vivo Immunogenicity in Mice of Synthetic HIV Expression Cassettes and  
Polypeptides Encoded Thereby

5    A.    Immunization

To evaluate the immunogenicity of the compositions of the present invention used to induce immune response, a mouse study may be performed. The polynucleotide component (e.g., two pCMVlink-based plasmids each carrying codon optimized coding sequences for gp140 with delV2, the first coding sequence derived from SF162, subtype B, and the second coding sequence derived from TV1, subtype C), is diluted in a total injection volume of 100  $\mu$ l using varying doses of DNA (0.02 – 200 $\mu$ g). To overcome possible negative dilution effects of the diluted DNA, the total DNA concentration in each sample can be adjusted using the vector (e.g., pCMVlink) alone. Groups of 10-12 Balb/c mice (Charles River, Boston, MA) are intramuscularly immunized (50  $\mu$ l per leg, intramuscular injection into the *tibialis anterior*) using varying dosages.

The mice are then immunized with oligomeric, codon optimized, gp140 with delV2, derived from SF162, subtype B, polypeptide at intervals following the DNA immunization using appropriate concentrations of polypeptide.

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B.    Humoral Immune Response

The humoral immune response is checked with a suitable anti-HIV antibody ELISAs (enzyme-linked immunosorbent assays) of the mice sera 0 and 2-4 week intervals post immunization.

25       The antibody titers of the sera are determined by anti-HIV antibody ELISA. Briefly, sera from immunized mice are screened for antibodies directed against HIV envelope protein. ELISA microtiter plates are coated with 0.2  $\mu$ g of HIV envelope gp140 protein per well overnight and washed four times; subsequently, blocking is done with PBS-0.2% Tween (Sigma) for 2 hours. After removal of the blocking solution, 100  $\mu$ l of diluted mouse serum is added. Sera are tested at 1/25 dilutions and by serial 3-fold dilutions, thereafter. Microtiter plates are washed four times and

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- incubated with a secondary, peroxidase-coupled anti-mouse IgG antibody (Pierce, Rockford, IL). ELISA plates are washed and 100  $\mu$ l of 3, 3', 5, 5'-tetramethyl benzidine (TMB; Pierce) is added per well. The optical density of each well is measured after 15 minutes. The titers reported are the reciprocal of the dilution of serum that gave a half-maximum optical density (O.D.).

The results of these assays are used to show the potency of the polynucleotide/polypeptide immunization methods of the present invention for the generation of an immune response in mice.

10 C. Cellular Immune Response

- The frequency of specific cytotoxic T-lymphocytes (CTL) is evaluated by a standard chromium release assay of peptide pulsed Balb/c mouse CD4 cells. HIV protein-expressing vaccinia virus infected CD-8 cells may be used as a positive control (vv-protein). Briefly, spleen cells (Effector cells, E) are obtained from the BALB/c mice (immunized as described above). The cells are cultured, restimulated, and assayed for CTL activity against, e.g., Envelope peptide-pulsed target cells (see, e.g., Doe, B., and Walker, C.M., *AIDS* 10(7):793-794, 1996, for a general description of the assay). Cytotoxic activity is measured in a standard  $^{51}\text{Cr}$  release assay. Target (T) cells are cultured with effector (E) cells at various E:T ratios for 4 hours and the average cpm from duplicate wells is used to calculate percent specific  $^{51}\text{Cr}$  release. Antigen specific T cell responses in immunized mice can also be measured by flow cytometric determinations of intracellular cytokine production (Cytokine flow Cytometry or "CFC") as described by zur Megede, J., et al., in Expression and immunogenicity of sequence-modified human immunodeficiency virus type 1 subtype B pol and gagpol DNA vaccines, *J Virol.* 77:6197-207 (2003).

- Cytotoxic T-cell (CTL) or CFC activity is measured in splenocytes recovered from the mice immunized with HIV DNA constructs and polypeptides as described herein. Effector cells from the immunized animals typically exhibit specific lysis of HIV peptide-pulsed SV-BALB (MHC matched) targets cells indicative of a CTL response. Target cells that are peptide-pulsed and derived from an MHC-unmatched mouse strain (MC57) are not lysed. The results of the CTL or CFC assays are used to

show the potency of the polynucleotide/polypeptide immunization methods of the present invention for induction of cytotoxic T-lymphocyte (CTL) responses by DNA immunization.

### Example 3

#### In Vivo Immunogenicity Studies

##### A. General Immunization Methods

To evaluate the immune response generated using the compositions (comprising a polynucleotide component and a polypeptide component) and methods of the present invention, studies using guinea pigs, rabbits, mice, rhesus macaques and/or baboons may be performed. The studies are typically structured as shown in the following table (Table 3) and can be carried out using, for example, the following components: subtype B DNA -- pCMVlink carrying a codon optimized coding sequences for gp140 with delV2, the coding sequence derived from SF162, subtype B; subtype C DNA -- pCMVlink carrying a codon optimized coding sequences for gp140 with delV2, the coding sequence derived from TV1, subtype C; subtype B protein -- oligomeric, codon optimized, gp140 with delV2, derived from SF162, subtype B polypeptide; and subtype C protein -- oligomeric, codon optimized, gp140 with delV2, derived from TV1, subtype C polypeptide.

**Table 3**

DNA Immunization	Protein Immunization			
	Subtype B	Subtype C	Subtype B & C (1X)	Subtype B & C (2X)
Subtype B	X	X	X	X
Subtype C	X	X	X	X
Subtype B & C (1X)	X	X	X	X
Subtype B & C (2X)	X	X	X	X

The immunizations may use single or multiple DNA immunizations and single or multiple protein immunizations. The immunizations in the above table exemplify

the following methods: prime/boost regimens (Subtype B DNA/Subtype B protein; Subtype C DNA/Subtype C protein); mixed prime/boost, single DNA prime and single-protein boost (Subtype B DNA/Subtype C protein; Subtype C DNA/Subtype B protein); mixed DNA prime single protein boost (Subtype B & C DNA/Subtype B protein; Subtype B & C DNA/Subtype C protein); single DNA prime mixed protein boost (Subtype B DNA/Subtype B & C protein; Subtype C DNA/Subtype B & C protein); and mixed DNA prime mixed protein boost (Subtype B & C DNA/Subtype B & C protein. The amount of each DNA and/or protein in the mixed samples (i.e. B & C, in this example) can be added at an amount equal to that delivered in the single immunizations (such that 2X the amount of total DNA and/or protein is delivered) or the amount of each DNA and/or protein in the mixed samples can be adjusted so that the same total amount (1X) of DNA and/or protein is delivered in the mixed and single samples.

In addition to examples in Table 3 exemplifying combinations of polynucleotide component and polypeptide component, other combinations exemplifying two polynucleotide or two polypeptide components can be mentioned. For example, continuing the above example using combinations of HIV subtype B and subtype C immunogens, the present invention also includes single DNA prime and single DNA boost (Subtype B DNA/Subtype C DNA); single protein prime and single protein boost (Subtype B protein/Subtype C protein).

#### B. Mice

Experiments may be performed in mice following the immunization protocol illustrated in Table 3 and using the methods essentially as described in Example 2.

#### C. Guinea Pigs

Experiments may be performed in guinea pigs as follows. Groups comprising six guinea pigs each are immunized parenterally (e.g., intramuscularly or intradermally) or mucosally at 0, 4, and 12 weeks with plasmid DNAs comprising expression cassettes comprising one or more HIV immunogenic polypeptide (for example, gp 140 DNAs as described in Example 2) as illustrated in Table 3. A subset

of the animals are subsequently boosted at approximately 12-24 weeks with a single dose (intramuscular, intradermally or mucosally) of the HIV protein(s) (for example, gp 140 DNAs as described in Example 2) as illustrated in Table 3. Animals may be boosted subsequently multiple times at 8-16 week intervals with the HIV protein.

- 5     Antibody titers (geometric mean titers) are measured at two weeks following the third DNA immunization and at two weeks after the protein boost. Results of these studies are used to demonstrate the usefulness of the compositions and methods of the invention to generate immune responses, in particular to generate broad and potent neutralizing activity against diverse HIV strains.

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#### D.     Rabbits

- Experiments may be performed in rabbits as follows. Rabbits are immunized intramuscularly or intradermally at multiple sites (using needle injection with or without subsequent electroporation, or using a Bioject needleless syringe) or mucosally
- 15     with with plasmid DNAs comprising expression cassettes comprising one or more HIV immunogenic polypeptide (for example, gp 140 DNAs as described in Example 2) as illustrated in Table 3. A subset of the animals are subsequently boosted with a single dose (intramuscular, intradermally or mucosally) of the HIV protein(s) (for example, gp 140 DNAs as described in Example 2) as illustrated in Table 3. Animals
- 20     may be boosted multiple times with the HIV protein. Typically, the compositions of the present invention used to generate immune responses are highly immunogenic and generate substantial antigen binding antibody responses after only 2 immunizations in rabbits. Results of these studies are used to demonstrate the usefulness of the compositions and methods of the invention to generate immune responses, in
- 25     particular to generate broad and potent neutralizing activity against diverse HIV strains.

#### E.     Rhesus Macaques

- Experiments may be performed in rhesus macaques as follows. Rhesus
- 30     macaques are immunized at approximately 0, 4, 8, and 24 weeks parenterally or mucosally with plasmid DNAs comprising expression cassettes comprising one or



more HIV immunogenic polypeptide (for example, gp 140 DNAs as described in Example 2) as illustrated in Table 3. Enhanced DNA delivery systems such as use of DNA complexed to PLG microparticles or saline injection of DNA followed by electroporation can be employed to increase immune response during the DNA priming phase of the immunization regimen. A subset of the animals are subsequently boosted with a single dose (intramuscular, intradermally or mucosally) of the HIV protein(s) (for example, gp 140 DNAs as described in Example 2) as illustrated in Table 3. Animals may be boosted multiple times generally at 3-6 month intervals with the HIV protein. Typically, the macaques have detectable HIV-specific T-cell responses as measured by CTL assays or Cytokine Flow Cytometry after two or three 1 mg doses of the polynucleotide component. Neutralizing antibodies may also be detected. Results of these studies are used to demonstrate the usefulness of the compositions and methods of the invention to generate immune responses, in particular to generate broad and potent neutralizing activity against diverse HIV strains.

#### F. Baboons

Baboons are immunized 4 times (at approximately weeks 0, 4, 8, and 24) intramuscular, or intradermally, or mucosally with plasmid DNAs comprising expression cassettes comprising one or more HIV immunogenic polypeptide (for example, gp 140 DNAs as described in Example 2) as illustrated in Table 3. The DNAs can be delivered in saline with or without electroporation, or on PLG microparticles. A subset of the animals are subsequently boosted with a single dose (intramuscular, intradermally or mucosally) of the HIV protein(s) (for example, gp 140 DNAs as described in Example 2) as illustrated in Table 3. Animals may be boosted multiple times generally at 3-6 month intervals with the HIV protein.

The animals are bled two-four weeks after each immunization and an HIV antibody ELISA is performed with isolated plasma. The ELISA is performed essentially as described below in Section G except the second antibody-conjugate is typically an anti-human IgG, g-chain specific, peroxidase conjugate (Sigma Chemical Co., St. Louis, MD 63178) used at a dilution of 1:500. Fifty µg/ml yeast extract may

be added to the dilutions of plasma samples and antibody conjugate to reduce non-specific background due to preexisting yeast antibodies in the baboons.

Lymphoproliferative responses to are typically observed in baboons post-boosting with HIV-polypeptide. Such proliferation results are indicative of induction of T-helper cell functions. Results of these studies are used to demonstrate the usefulness of the compositions and methods of the invention to generate immune responses, in particular to generate broad and potent neutralizing activity against diverse HIV strains.

10 G. Humoral Immune Response

In any immunized animal model (including the above, as well as, for example, chimpanzees), the humoral immune response is checked in serum specimens from the immunized animals with an anti-HIV antibody ELISAs (enzyme-linked immunosorbent assays) at various times post-immunization. The antibody titers of the sera are determined by anti-HIV antibody ELISA as described above. Briefly, sera from immunized animals are screened for antibodies directed against the HIV polypeptide/protein(s) encoded by the DNA and/or polypeptide used to immunize the animals (e.g., oligomeric gp140). Typically independent ELISA assays are carried out using polypeptides corresponding to each of the subtypes used in the immunization study.

Wells of ELISA microtiter plates are coated overnight with the selected HIV polypeptide/protein and washed four times; subsequently, blocking is done with PBS-0.2% Tween (Sigma) for 2 hours. After removal of the blocking solution, 100  $\mu$ l of diluted mouse serum is added. Sera are tested at 1/25 dilutions and by serial 3-fold dilutions, thereafter. Microtiter plates are washed four times and incubated with a secondary, peroxidase-coupled anti-mouse IgG antibody (Pierce, Rockford, IL). ELISA plates are washed and 100  $\mu$ l of 3, 3', 5, 5'-tetramethyl benzidine (TMB; Pierce) was added per well. The optical density of each well is measured after 15 minutes. Titers are typically reported as the reciprocal of the dilution of serum that gave a half-maximum optical density (O.D.).

Cellular immune responses may also be evaluated.

The presence of neutralizing antibodies in the sera is determined essentially as follows: Virus neutralization is measured in 5.25.EGFP.Luc.M7 (M7-luc) cells obtained from Dr. Nathaniel Landau (Salk Institute, San Diego, CA). The format of this assay is essentially the same as the MT-2 assay as described elsewhere (Montefiori et al. (1988) *J. Clin Microbiol.* 26:231-235) except that virus infection is quantified by luciferase reporter gene expression using a commercial luciferase kit (Promega). All serum samples are heat-inactivated for 1 hour at 56°C prior to assay. The virus stocks of the HIV-1 isolates are typically generated in PBMC.

#### Example 4

##### Evaluation Of Immunogenicity Regimens For Various HIV Polypeptide Encoding Plasmids Used As Primes And Various HIV Polypeptides Used As Boosts

To evaluate the combination effects of subtype C (TV1) and subtype B (SF162) gp140dV2 DNAs and proteins for DNA prime/boost the following experiments were carried out in rabbits. DNA was gp140mod.TV1.dV2 and gp140mod.SF162.dV2, delivered separately in two plasmids (sources of DNA are described further herein below). Protein was oligomer o-gp140.dV2.TV1 and o-gp140.dV2.SF162 (sources of the proteins are described further herein below). DNA constructs were used for immunization in three doses at schedules of 0, 4, 12 weeks. Proteins were boosted at 12, 24, and 41 weeks. Each rabbit was injected 1.0 ml DNA mixture at two sides IM/Quadriceps, followed by an electroporation procedure (G. Widera, Increased DNA vaccine delivery and immunogenicity by electroporation *in vivo*, *J. Immunology*, 164, 4635-4640 (2000)). MF59 adjuvanted protein was injected two sites, IM/Glut for 1ml per animal.

All of the genes were sequence-modified to enhance expression of the encoded Env glycoproteins in a Rev-independent fashion and they were subsequently cloned into pCMV-based plasmid vectors for DNA vaccine and protein production applications as described above. The sequences were codon optimized as described herein. Briefly, all the modified envelope genes were cloned into the Chiron pCMVlink plasmid vector, preferably into EcoRI/XhoI sites.

To obtain gp140 polypeptides each of the gp140 constructs (i.e., gp140mod.TV1.mut7.delV2 and gp140.mut7.modSF162.delV2) were used in the following method.

- Chinese hamster ovary (CHO) cells were transfected with plasmid DNA
- 5 encoding the gp140 proteins (e.g., pCMV vector backbone) using Mirus TransIT-LT1 polyamine transfection reagent (Mirus Corporation, Madison WI) according to the manufacturer's instructions and incubated for 96 hours. After 96 hours, media was changed to selective media (F12 special with 250 µg/ml G418) and cells were split 1:5 and incubated for an additional 48 hours. Media was changed every 5-7 days until
- 10 colonies started forming at which time the colonies were picked, plated into 96 well plates and screened by gp120 Capture ELISA. Positive clones were expanded in 24 well plates and screened several times for Env protein production by Capture ELISA, as described above. After reaching confluency in 24 well plates, positive clones were expanded to T25 flasks (Corning, Corning, NY). These were screened several times
- 15 after confluency and positive clones were expanded to T75 flasks.

- Positive T75 clones were frozen in liquid nitrogen and the highest expressing clones amplified with 0-5 µM methotrexate (MTX) at several concentrations and plated in 100 mm culture dishes. Plates were screened for colony formation and all positive clones were again expanded as described above. Clones were expanded,
- 20 amplified and screened at each step by gp120 capture ELISA. Positive clones were frozen at each methotrexate level. Highest producing clones were grown in perfusion bioreactors (3L, 100L) for expansion and adaptation to low serum suspension culture conditions for scale-up to larger bioreactors.

- The stably transfected CHO cell lines, which express the Env polypeptides,
- 25 were used to produce gp140 proteins. The proteins were purified, briefly, by using a three-step strategy as previously described (Srivastava, et al., Purification and characterization of oligomeric envelope glycoprotein from a primary r5 subtype B human immunodeficiency virus. *J Virol* 76:2835-47 (2002)). First, concentrated cell supernatants were passed over a Galanthus Nivalis-agarose column (GNA; Vector
- 30 Laboratories, Burlingame, CA). The gp140SF162ΔV2 protein bound to the column, and most contaminating proteins flowed through. The bound protein was eluted with

500 mM methyl mannose pyranoside (MMP). Next, the captured protein was passed over DEAE and CHAP columns.

These methods are applicable to other HIV genes and proteins derived from other HIV subtypes. Further, although this analysis was carried out in rabbits similar analysis may be carried out with other type of animals, for example, as described in Example 3. The immunization weeks can be varied.

The following table (Table 4) lists exemplified procedures used in a comparison of the immunogenicity of subtype B and C polynucleotides encoding envelope polypeptides (in a pCMVlink vector) in various combinations with subtype B and C envelope polypeptides, both individually and as a mixed-subtype vaccine, using electroporation, in rabbits. It will be apparent to one skilled in the art in view of the teachings of the present specification that such methods are equally applicable to any other polynucleotides encoding immunogenic HIV polypeptides and immunogenic HIV polypeptides.

**Table 4**

Gro up	Animal #	Imm'n #	Adjuvant	Immunogen	Total Dose	Vol/ Site	Sites/ Animal	Route
1	1-4	1, 2, 3, 4	MF59C	o-gp140 dV2 SF162	50ug	500ul	2	IM/Glut (Needle)
2	5-8	1, 2, 3, 4	Iscomatrix	o-gp140 dV2 SF162	50ug	500ul	2	IM/Glut (Needle)
3	9-12	1, 2, 3	-	pCMV 140 dV2 SF162 DNA	1.0mg	0.50ml	2	IM/Quad (Needle)
		3, 4	MF59C	o-gp140 dV2 SF162	50ug	500ul	2	IM/Glut (Needle)
4	13 -16	1, 2, 3	-	pCMV 140 dV2 SF162 DNA	1.0mg	0.5ml	2	IM/Quad (Needle)
		3, 4	Iscomatrix	o-gp140 dV2 SF162	50ug	500ul	2	IM/Glut (Needle)
5	17-20	1, 2,3,4	MF59C	o-gp140 dV2 TV1	50ug	500ul	2	IM/Glut

Gro up	Animal #	Imm'n #	Adjuvant	Immunogen	Total Dose	V l/ Site	Sites/ Animal	Route
								(Needle)
6	21-24	1, 2, 3	-	pCMV 140 dV2 TV1 DNA	1.0mg	0.5ml	2	IM/Quad (Needle)
		3, 4	MF59C	o-gp140 dV2 SF162	50ug	500ul	2	IM/Glut (Needle)
7	25-28	1, 2, 3	-	pCMV 140 dV2 SF162 DNA pCMV 140 dV2 TV1 DNA	2.0mg (1.0mg ea.)	0.5ml	2	IM/Quad (Needle)
		3, 4	MF59C	o-gp140 dV2 SF162	50ug	500ul	2	IM/Glut (Needle)
8	29-32	1, 2, 3	-	pCMV 140 dV2 SF162 DNA pCMV 140 dV2 TV1 DNA	2.0mg	0.5ml	2	IM/Quad (Needle)
		3, 4	MF59C	o-gp140 dV2 TV1	50ug	500ul	2	IM/Glut (Needle)
9	33-36	1, 2, 3	-	pCMV 140 dV2 SF162 DNA pCMV 140 dV2 TV1 DNA	2.0mg	0.50ml	2	IM/Quad (Needle)
		3, 4	MF59C	o-gp140 dV2 SF162 o-gp140 dV2 TV1	100ug	500ul	2	IM/Glut (Needle)
10	37-40	1, 2, 3	-	pCMV 140 dV2 SF162 DNA pCMV 140 dV2 TV1 DNA	2.0mg	0.5ml	2	IM/Quad (Needle)
		3, 4	MF59C	o-gp140 dV2 SF162 o-gp140 dV2 TV1	50ug	500ul	2	IM/Glut (Needle)
11	41-44	1, 2, 3	-	pCMV 140 dV2 SF162 DNA pCMV 140 dV2 TV1 DNA	1.0mg	0.50ml	2	IM/Quad (Needle)
		3, 4	MF59C	o-gp140 dV2 SF162	50ug	500ul	2	IM/Glut (Needle)

The MF59C adjuvant is a microfluidized emulsion containing 5% squalene, 0.5% Tween 80, 0.5% Span 85, in 10mM citrate pH 6, stored in 10 ml aliquots at 4°C.

The Iscomatrix adjuvant is a quil saporin based adjuvant used for protein delivery (available from, e.g., CSL Limited, Victoria, Australia).

The polynucleotides and polypeptides listed in Table 4 were prepared as described in Table 5.

5

**Table 5**

<b>Polynucleotide Construct / Polypeptide</b>	<b>Description</b>
<b>pCMV 140 dV2 SF162 DNA</b>	The plasmid (pCMVlink) contained a synthetic, codon optimized HIV-1 gp140 envelope gene from subtype B strain SF162 (see, gp140.modSF162.delV2, Figure 6, see also PCT International Publication No. WO/00/39302). The gp140 gene comprised the gp120 and gp41 ectodomain. The constructs also contained a deletion in the variable region V2 (dV2). The plasmid construct contained the human CMV enhancer/promoter and Kanamycin resistance gene. Plasmids were prepared by alkaline lysis method and Qiagen purification from DH5- $\alpha$ E.coli bacteria. Plasmids were stored at -80C until use.
<b>pCMV 140 dV2 TV1 DNA</b>	The plasmid (pCMVlink) contained a synthetic, codon optimized HIV-1 gp140 envelope gene derived from HIV-1 subtype C strain TV1 (see, gp140mod.TV1.delV2, Figure 8, see also PCT International Publication No. WO/02/04493). The structure of the envelope gene and the plasmid was as described above.
<b>o-gp140 dV2 SF162 protein</b>	The subtype B oligomer protein contained five amino acid mutations in the cleavage site in addition to the deletion of V2 region (see, gp140.mut7.modSF162.delV2, Figure 7, see also PCT International Publication No. WO/00/39303). Protein was expressed in CHO cells and purified from the CHO cells. Expression and purification of o-gp140 proteins was described, for example, in PCT International Publication No. WO/00/39302 and Srivastava, et al., J Virol 76:2835-47 (2002).
<b>o-gp140 dV2 TV1 protein</b>	The subtype C oligomer protein contained five amino acid mutations in the cleavage site in

Polynucleotide Construct / Polypeptide	Description
	addition to the deletion of V2 region (see, gp140mod.TV1.mut7.delV2, Figure 9, see also PCT International Publication No. WO/02/04493). Protein was expressed in CHO cells and purified from the CHO cells. Expression and purification of o-gp140 proteins was described, for example, in PCT International Publication No. WO/00/39302 and Srivastava, et al., J Virol 76:2835-47 (2002).

Immunogens were prepared as described in the following table (Table 6) for administration to animals in the various groups.

**Table 6**

5

Group	Preparation
1, 5	<b>Immunization 1-4: Protein Immunization + MF59</b> Protein doses were 50ug protein per animal. The initial protein was diluted to 0.100 mg/ml in citrate buffer. Stored at -80°C until use. Thawed at room temperature; material was clear with no particulate matter. Added equal volume of MF59C adjuvant to thawed protein and mixed well by inverting the tube. Immunized each rabbit with 0.5ml adjuvanted protein per side, IM/Glut for a total of 1ml per animal. Used material within 1 hour of the addition of adjuvant. Needles were used for injections.
2	<b>Immunization 1-4: Protein Immunization + Iscomatrix</b> The stock concentration was 1mg/ml. Immediately before immunizations, 250ul of 1mg/ml Iscomatrix was diluted to 2.5ml of 0.1mg/ml with PBS (CFU U21). Added equal volume (2.5ml) of 0.1mg/ml Iscomatrix into 2.5ml of 0.1mg/ml protein and mixed well. Immunized each rabbit with 0.5ml adjuvanted protein per side, IM/Glut for a total of 1ml per animal.
3- 4, 6	<b>Immunization 1--3: Subtype B/C plasmid DNA in Saline</b> The immunogen was provided at 1.0 mg/ml total DNA in sterile 0.9% saline. Stored at -80°C until use. Thawed DNA at room temperature; the material was clear or slightly opaque, with no particulate matter. Immunized each rabbit with 0.5ml DNA mixture per side (IM/Quadriceps), total 2 sides with 1.0ml per animal. Animals were shaved prior to immunization, under sedation of 1x dose IP (by animal weight) of Ketamine-Xylazine (80mg/ml - 4mg/ml). DNA injection used needle. Following the DNA injection, electroporation was administrated



Group	Preparation
<p>3, 6</p>	<p>using a 6-needle circular array with 1cm diameter, 1cm needle length. Electroporation pulses were given at 20V/mm, 50ms pulse length, 1 pulse/s.</p> <p><b>Immunization 3-4: Protein Immunization</b> Protein doses were 50ug each SF162 protein per animal. The initial SF162 Protein was diluted to 0.100 mg/ml in citrate buffer. Stored at -80°C until use. Thawed at room temperature; material was clear with no particulate matter. Added equal volume of MF59C adjuvant to thawed protein and mixed well by inverting the tube. Immunized each rabbit with 0.5ml adjuvanted protein per side, IM/Glut for a total of 1ml per animal. Used material within 1 hour of the addition of adjuvant. Needles were used for injections.</p> <p><b>Immunization 3-4: Protein immunization</b> The stock concentration was 1mg/ml. Immediately before immunizations, Iscomatrix was diluted to 0.1mg/ml with PBS (CFU U21). Added equal volume of 0.1mg/ml Iscomatrix into the 0.1mg/ml protein and mixed well. Immunized each rabbit with 0.5ml adjuvanted protein per side, IM/Glut for a total of 1ml per animal.</p>
<p>7-8, 10</p>	<p><b>Immunization 1--3: Subtype B/C plasmid DNA in Saline</b> The immunogen was provided at 2.0mg/ml total DNA in sterile 0.9% saline. Stored at -80°C until use. Thawed DNA at room temperature; the material was clear or slightly opaque, with no particulate matter. Immunized each rabbit with 0.5ml DNA mixture per side (IM/Quadriceps), total 2 sides with 1.0ml per animal. Animals were shaved prior to immunization, under sedation of 1x dose IP (by animal weight) of Ketamine-Xylazine (80mg/ml - 4mg/ml). DNA injection used needle. Following the DNA injection, electroporation was administrated using a 6-needle circular array with 1cm diameter, 1cm needle length. Electroporation pulses were given at 20V/mm, 50ms pulse length, 1 pulse/s.</p> <p><b>Immunization 3-4: Protein Immunization</b> Protein doses were 50ug protein per animal. The initial protein was diluted to 0.100 mg/ml in citrate buffer. Stored at -80°C until use. Thawed at room temperature; material was clear with no particulate matter. Added equal volume of MF59C adjuvant to thawed protein and mixed well by inverting the tube. Immunized each rabbit with 0.5ml adjuvanted protein per side, IM/Glut for a total of 1ml per animal. Used material within 1 hour of the addition of adjuvant. Needles were used for injections.</p>

Group	Preparation
9	<p><b>Immunization 1-3: Subtype B plasmid DNA in Saline</b>  The immunogen was provided at 1.0mg/ml total DNA in sterile 0.9% saline. Stored at <math>-80^{\circ}\text{C}</math> until use. Thawed DNA at room temperature; the material was clear or slightly opaque, with no particulate matter. Immunized each rabbit with 0.5ml DNA mixture per side (IM/Quadriceps), total 2 sides with 1.0ml per animal. Animals were shaved prior to immunization, under sedation of 1x dose IP (by animal weight) of Ketamine-Xylazine (80mg/ml - 4mg/ml). DNA injection used needle. Following the DNA injection, electroporation was administered using a 6-needle circular array with 1cm diameter, 1cm needle length. Electroporation pulses were given at 20V/mm, 50ms pulse length, 1 pulse/s.</p> <p><b>Immunization 3-4: Protein Immunization</b>  Protein doses were 50ug each protein per animal, total 100ug. The initial protein was diluted to 0.200 mg/ml in citrate buffer. Stored at <math>-80^{\circ}\text{C}</math> until use. Thawed at room temperature; material was clear with no particulate matter. Added equal volume of MF59C adjuvant to thawed protein and mixed well by inverting the tube. Immunized each rabbit with 0.5ml adjuvanted protein per side, IM/Glut for a total of 1ml per animal. Used material within 1 hour of the addition of adjuvant. Needles were used for injections.</p>
11	<p><b>Immunization 1-3: Subtype B plasmid DNA in Saline</b>  The immunogen was provided at 1.0mg/ml total DNA in sterile 0.9% saline. Stored at <math>-80^{\circ}\text{C}</math> until use. Thawed DNA at room temperature; the material was clear or slightly opaque, with no particulate matter. Immunized each rabbit with 0.5ml DNA mixture per side (IM/Quadriceps), total 2 sides with 1.0ml per animal. Animals were shaved prior to immunization, under sedation of 1x dose IP (by animal weight) of Ketamine-Xylazine (80mg/ml - 4mg/ml). DNA injection used needle. Following the DNA injection, electroporation was administered using a 6-needle circular array with 1cm diameter, 1cm needle length. Electroporation pulses were given at 20V/mm, 50ms pulse length, 1 pulse/s.</p> <p><b>Immunization 3-4: Protein Immunization</b>  Protein doses were 50ug protein per animal. The initial protein was diluted to 0.100 mg/ml in citrate buffer. Stored at <math>-80^{\circ}\text{C}</math> until use. Thawed at room temperature; material was clear with no particulate matter. Added equal volume of MF59C adjuvant to thawed protein and mixed well by inverting the tube. Immunized each rabbit with 0.5ml adjuvanted protein per side, IM/Glut for a total of 1ml per animal. Used material within 1 hour of the addition of adjuvant. Needles were used for injections.</p>

Group	Preparation

The immunization (Table 7) schedules were as follows:

**Table 7**

Imm'n: Weeks: Group	1 0	2 4	3 12	4 24
1	Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C
2	Gp140 dV2 SF162 + Iscomatrix	Gp140 dV2 SF162 + Iscomatrix	Gp140 dV2 SF162 + Iscomatrix	Gp140 dV2 SF162 + Iscomatrix
3	pCMV 140 dV2 SF162 DNA	pCMV 140 dV2 SF162 DNA	pCMV 140 dV2 SF162 DNA Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C
4	pCMV 140 dV2 SF162 DNA	pCMV 140 dV2 SF162 DNA	pCMV 140 dV2 SF162 DNA Gp140 dV2 SF162 + Iscomatrix	Gp140 dV2 SF162 + Iscomatrix
5	Gp140 dV2 TV1 + MF59C	Gp140 dV2 TV1 + MF59C	Gp140 dV2 TV1 + MF59C	Gp140 dV2 TV1 + MF59C
6	PCMV 140 dV2 TV1 DNA	pCMV140 dV2 TV1 DNA	pCMV140 dV2 TV1 DNA Gp140 dV2 TV1 + MF59C	Gp140 dV2 TV1 + MF59C
7	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C
8	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA Gp140 dV2 TV1 + MF59C	Gp140 dV2 TV1 + MF59C

Imm'n: Weeks: Group	1 0	2 4	3 12	4 24
9	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA Gp140 dV2 SF162 + MF59C Gp140 dV2 TV1 + MF59C (100ug Prot.)	Gp140 dV2 SF162 + MF59C Gp140 dV2 TV1 + MF59C (100ug Prot.)
10	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA Gp140 dV2 SF162 + MF59C Gp140 dV2 TV1 + MF59C (50ug Prot.)	Gp140 dV2 SF162 + MF59C Gp140 dV2 TV1 + MF59C (50ug Prot.)
11	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA	pCMV 140 dV2 SF162 DNA + PCMV 140 dV2 TV1 DNA (1.0mg) Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C
	Note: all DNA was 1.0mg each except group 11 used 0.5mg DNA each.	Note: all proteins were 50ug each except group 10 used 25ug ea.		

Table 7 (cont.)

Imm'n: Weeks: Group	5 41	6 56
1	Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C
2	Gp140 dV2 SF162 + Iscomatrix	Gp140 dV2 SF162 + Iscomatrix
3	Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C
4	Gp140 dV2 SF162 + Iscomatrix	Gp140 dV2 SF162 + Iscomatrix
5	Gp140 dV2 TV1 + MF59C	Gp140 dV2 TV1 + MF59C
6	Gp140 dV2 TV1 + MF59C	Gp140 dV2 TV1 + MF59C
7	Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C

Imm'n: Weeks: Group	5 41	6 56
8	Gp140 dV2 TV1 + MF59C	Gp140 dV2 TV1 + MF59C
9	Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C
10	Gp140 dV2 TV1 + MF59C (100ug Prot.)	Gp140 dV2 TV1 + MF59C (100ug Prot.)
	Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C
11	Gp140 dV2 TV1 + MF59C (50ug Prot.)	Gp140 dV2 TV1 + MF59C (50ug Prot.)
	Gp140 dV2 SF162 + MF59C	Gp140 dV2 SF162 + MF59C
	Note: all DNA was 1.0mg each except group 11 used 0.5mg DNA each.	Note: all proteins were 50ug each except group 10 used 25ug each.

The bleeding (Table 8) schedules for all groups (A-F) were as follows:

**Table 8**

Bleed:	0	1	2	3	4	5	6	7
Week:	0	2	6	8	12	14	16	24
Sample:	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum
Bleed:	8	9	10	11	12	13	14	15
Week:	26	28	41	43	45	56	58	60
Sample:	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum	Clotted Bld. for Serum

To evaluate the combination effects of subtype C (TV1) and subtype B (SF162) gp140dV2 DNAs and proteins for DNA prime/boost on the generation of neutralizing antibody activity against HIV strain SF162 (type B) the following comparisons were carried out.

Neutralizing antibody responses against PBMC-grown SF162 and TV1 HIV-1 strains were monitored in the sera collected from the immunized rabbits using the following assay conducted essentially as follows. Virus neutralization was measured in 5.25.EGFP.Luc.M7 (M7-luc) cells obtained from Dr. Nathaniel Landau (Salk Institute, San Diego, CA). The format of this assay was essentially the same as the MT-2 assay that has been described elsewhere (Montefiori, et al., *J. Clin Microbiol.*

26:231-235, 1988) except that virus infection was quantified by luciferase reporter gene expression using a commercial luciferase kit (Promega). All serum samples were heat-inactivated for 1 hour at 56°C prior to assay. The virus stocks of the HIV-1 isolates were generated in PBMC. Neutralizing antibody titers are reported as reciprocal serum dilution at which 50% luciferase activity was measured in test wells as compared to virus control wells. Values shown in Figures 4 and 5 are the geometric mean titers plus standard errors of the neutralization titers for each group of animals.

The results of the assays for the presence of neutralizing antibodies are presented in Figure 4 and Figure 5. In the figures, the following Immunization Groups correspond to the Groups in Table 4: B DNA + B prot; C DNA + B prot (Group 6); B+C DNA + B prot (Group 7); B+C DNA + C prot (Group 8); B+C DNA & prot (Group 9); B+C DNA & prot (1/2) (Group 10); and, B+C DNA (1/2) + C prot (Group 11).

In Figure 4, the first vertical bar of each group of three bars is neutralizing activity against HIV-1 SF-162 in prebleed rabbit serum (Figure 4, Prebleed), the second vertical bar is serum from a bleed two weeks after the third immunization (Figure 4, 2 wk post 3<sup>rd</sup>), and the third vertical bar is serum from a bleed two weeks after the fourth immunization (Figure 4, 2 wk post 4<sup>th</sup>).

Figure 4 summarizes data showing the neutralization titers against HIV-1 SF162 between the 7 groups described above. These results demonstrated that all groups showed strong neutralizing activity against the HIV-1 SF162 isolate. Further, neutralizing activity significantly increased at post 4<sup>th</sup> immunization compared to post 3<sup>rd</sup> immunizations. Priming and boosting with B gene and B protein (B DNA + B prot) showed a high titer, as did the C gene and B protein (C DNA + B prot). For the mixed (B+C) DNA prime and single protein boost, B protein gave a high boost to the mixed gene prime (B+C DNA + B prot) and a boost to the C protein (B+C DNA + C prot). For the mixed DNA prime and protein boost, half dose (50ug) of protein (B+C DNA & prot (1/2)) induced high neutralizing activity as did the full dose of 100ug protein (B+C DNA & prot). The mixed DNA prime and single protein boost with subtype C protein, the half- dose (1mg) DNA (B+C DNA + C prot) also gave neutralizing activity, as did the full-dose of 2mg DNA (B+C DNA (1/2) + C prot).

In Figure 5, the prebleed values for neutralizing activity against HIV-1 TV1 in prebleed rabbit serum were less than one log for each group of bars (Figure 5, Prebleed), the grey vertical bars for each group are serum from bleeds two weeks after the fourth immunization (Figure 5, 2 wk post 4<sup>th</sup>).

5           Figure 5 summarizes data showing the neutralization titers against HIV-1 TV1 (South African subtype C) between the 7 groups described above. These results demonstrated that all groups showed neutralizing activity against HIV1 subtype C TV1 isolate (as expected, because no subtype C DNA or protein was used, the B DNA + B protein showed the lowest neutralizing activity). For the mismatched a single  
10 DNA prime and a single protein boost (C DNA + B prot), priming with C gene and boosting with B protein showed a high titer, as did the B gene and B protein (B DNA + B prot). For the mixed (B+C) DNA prime and single protein boost, use of either B (B+C DNA + B prot) and C (B+C DNA + C prot) proteins had a similar boosting effect. For the mixed DNA prime and protein boost, full dose of 100ug protein (B+C  
15 DNA & prot) induced high neutralizing activity, as did the half dose of 50ug protein (B+C DNA & prot (1/2)). The half-dose (1mg) DNA (B+C DNA (1/2) + C prot) also gave neutralizing activity, as did the full-dose of 2mg DNA (B+C DNA + C prot).

Comparison of the data presented in Figure 4 and Figure 5 supported the combination methods of the present invention for generating an immune response in a  
20 subject. Such a comparison showed that the combination of DNA derived from different subtypes primed broad responses to multiple strains from different subtypes. This may indicate the targeting common conserved epitopes. Further, use of a single subtype protein was sufficient to boost broad neutralizing responses when immunity was primed with multiple strains from different subtypes of DNA. The DNA priming  
25 maintained the native envelope structure. This can induce T cell responses in addition to the B cell response. Finally, these results demonstrated that use of lower doses of proteins mixture can also provide strong immune responses.

These studies demonstrated the usefulness of the compositions and methods of the invention to generate immune responses, in particular to generate broad and potent  
30 neutralizing activity against diverse HIV strains.

Example 5

Immunogenicity Study of E1- E3 Deleted, Replication Defective Ad-HIV  
Recombinant Versus E3 Deleted, Replication Competent Ad-HIV Recombinant

- 5 The following experiments were carried out in chimpanzees. Chimpanzees with minimal Ad5- and Ad7-cross-reactive antibodies were selected for this experiment. Ad5 and Ad7 microtiter neutralization assays were performed essentially as previously described in Buge, et al., J. Virol. 71:8531-8541 (1997) and Lubeck, et al., Nature Med. 3:651-8 (1997). Chimpanzees were immunized according to the schedule in Table 9. Each group comprised 2 or 3 animals.

10

**Table 9**

Group	Number of Chimps	Week 0 (IN)	Week 12 (IN)	Week 36 (IM)
1	3	delAd5-E3-HIVgp160 10 <sup>7</sup> pfu (replication competent)	Ad7delE3-HIVgp160 10 <sup>7</sup> pfu (replication competent)	SF162 o-gp140V2 in MF59
2	2	Ad5delE3-HIVgp160 10 <sup>8</sup> pfu (replication competent)	Ad7delE3-HIVgp160 10 <sup>8</sup> pfu (replication competent)	SF162 o-gp140V2 in MF59
3	2	Ad5delE1/E3-HIVgp160 10 <sup>8</sup> pfu (replication defective)	Ad7delE1/E3-HIVgp160 10 <sup>8</sup> pfu (replication defective)	SF162 o-gp140V2 in MF59
4	3	Ad5delE1/E3-HIVgp160 10 <sup>9</sup> pfu (replication defective)	Ad7delE1/E3-HIVgp160 10 <sup>9</sup> pfu (replication defective)	SF162 o-gp140V2 in MF59

(IN = intranasal; IM = intramuscular)

- The delAd5-E3, Ad7delE3, Ad5delE1/E3, and Ad7delE1/E3 vectors have been previously described (Nan X., et al., Development of an Ad7 cosmid system and generation of an Ad7deltaE1deltaE3HIV(MN) env/rev recombinant virus. Gene Ther. Feb;10(4):326-36 (2003)).

The Adeno-virus vectors (Ad recombinants) contained inserts derived from the HIV-1 subtype B prototype strain MN wherein the inserts encoded the gp160 envelope protein (see, e.g., GenBank Accession M17449; Gurgo, C., et al., "Envelope



sequences of two new United States HIV-1 isolates," *Virology* 164(2); 531-6 (1988); Lori, F., et al., "Effect of reciprocal complementation of two defective human immunodeficiency virus type 1 (HIV-1) molecular clones on HIV-1 cell tropism and virulence," *J. Virol.* 66(9); 5553-60 (1992); Lukashov, V.V., et al., "Increasing  
5 genotypic and phenotypic selection from the original genomic RNA populations of HIV-1 strains LAI and MN (NM) by peripheral blood mononuclear cell culture, B-cell-line propagation and T-cell-line adaptation," *AIDS* 9(12); 1307-11 (1995). HIV-1 MN is from one of the earliest available HIV-1 isolates, and is a commonly used reference and vaccine strain.

10 The MN isolate was taken from a six year old male pediatric AIDS patient from the area of Newark, New Jersey, USA in 1984. His mother was an IV drug user who died of pneumonia in 1982. His father was also HIV sero-positive. Other sequences from this patient from the 1984 blood sample and from a 1987 sample taken shortly before death (GenBank Accession U72495) are available. See also  
15 GenBank Accession L48364-L48379. The MN sequence was cloned from the isolate in lambda phage. The coding sequences for pol, nef and vpu are prematurely truncated; pol shows an in-frame stop codon at 3783, nef and vpu are prematurely truncated at position 9357 and position 6142 respectively. Another complete genome of the MN isolate is available with GenBank Accession number AF075719 and it too  
20 has defective genes; although not pol nor vpu. A set of V3 sequences from this isolate are available (GenBank Accession Accession numbers L48364-L48379; Lukashov, V. et al., *AIDS* 9:1307-1311 (1995)). The isolate MN is available from the NIH AIDS Reagent program, and is X4.

Ad-recombinant vectors (see Table 9) comprising HIV-1 MN gp160 protein  
25 coding sequences were diluted in PBS and administered drop-wise into the nostrils, 1 ml total volume, 500 µl per nostril. Antibiotics are administered for a total of 11 days, beginning 3 days prior to inoculation.

The polypeptide component used for a protein boost comprised SF162 o-  
gp140V2 protein. This protein is from the same HIV-1 subtype as the gp160 coding  
30 sequences used in the polynucleotide component, which were derived from HIV-1 MN. The SF162 o-gp140V2 protein was prepared using CMV3vector comprising the

gp140.mut7.mod.SF162.delV2 sequence expressed in CHO cells followed by oligo-protein isolation essentially as previously described, for example, in PCT International Publication No. WO/00/39302.

The protein boost was typically 100 ug of SF162 o-gp140V2 per chimpanzee.

- 5 The SF162 o-gp140V2 protein was provided at 0.200 mg/ml in citrate buffer, stored at -80°C until use, and thawed at room temperature. The material as clear with no particulate matter. Equal volume of MF59C adjuvant was added. The mixture was stored at 4°C and mixed well by inverting the tube several times before use.

- 10 Each animal was immunized with a total volume of 1 ml per animal (using 1 or 2 IM sites per animal). Material was used within 1 hour of the addition of adjuvant.

Blood, secretory samples, and stool specimens were collected. Typically for blood samples, a 10 ml bleed was obtained for serum and a 30 ml bleed for heparinized blood.

- 15 The following assays were carried out on the collected samples.

A. Binding assays for HIV envelope antibodies by ELISA on immunized chimpanzee serum.

- 20 Standard HIV Env ELISA methods were employed in binding assays to detect HIV envelope antibodies in sera from chimpanzees immunized as just described. The methods were essentially as described by Buge, et al., J. Virol. 71:8531-8541 (1997) and Lubeck, et al., Nature Med. 3:651-8 (1997). Table 10 presents data for binding antibody titers to HIVIIIB gp160.

Table 10

Replication competent Ad	Dose	Chimp	At time of gp140 boost	2 weeks later	4 weeks later
	10 <sup>7</sup>	4x0363 (SW)	275	42,000	10,400
		4x0271 (SW)	7,500	21,800	10,700
		A163 (NI)	pending		
	10 <sup>8</sup>	4x0386	100	11,000	11,700

		(SW)			
		182D (NI)	100	800	410
<b>Replication incompetent Ad</b>	<b>Dose</b>	<b>Chimp</b>	<b>At time of gp140 boost</b>	<b>2 weeks later</b>	<b>4 weeks later</b>
	10 <sup>8</sup>	4x0376 (SW)	10	100	100
		4x0360 (SW)	pending		
	10 <sup>9</sup>	4x0373 (SW)	75	33,000	28,500
		87A003 (NI)	10	75	10
		A136 (NI)	10	590	440

Data for binding antibody titers to SF162 envelope protein was also evaluated and is shown in Figure 20.

5           B.       Neutralizing antibody assays against TCLA and primary HIV isolates.

Virus neutralization against TCLA strains was measured in the MT-2 assay (Montefiori, et al., *J. Clin Microbiol.* 26:231-235 (1988)). Virus neutralization against primary HIV-1 strains was measured in M7-luc cells obtained from Dr. Nathaniel Landau (Salk Institute, San Diego, CA). The format of this assay was essentially the same as the MT-2 assay as described elsewhere (Montefiori, et al. *J. Clin Microbiol.* 26:231-235 (1988)) except that virus infection was quantified by luciferase reporter gene expression using a commercial luciferase kit (Promega). All serum samples were heat-inactivated for 1 hour at 56°C prior to assay. The virus stocks of the HIV-1 isolates were generated in PBMC.

15           Table 11 presents the neutralizing antibody data from these studies in chimpanzees.

**Table 11**

Group/Animal	Vector/dose	Bleed day	HIV-1 MN <sup>1</sup>	HIV-1 SF162 <sup>2</sup>
1-1 4x0271 (SW)	delE3, 10 <sup>7</sup>	0	<20	<20
1-1		105	<20	<20

Group/Animal	Vector/dose	Bleed day	HIV-1 MN <sup>1</sup>	HIV-1 SF162 <sup>2</sup>
1-1		273	48	40
1-2	delE3, 10 <sup>7</sup>	0	<20	<20
4x0363 (SW)				
1-2		105	25	92
1-2		273	1,296	5,877
2-1	delE3, 10 <sup>8</sup>	0	<20	<20
4x0386 (SW)				
2-1		105	<20	20
2-1		273	228	133
2-2	delE3, 10 <sup>8</sup>	0	<20	<20
182D (NI)				
2-2		105	47	97
2-2		273	5,801	3,437
3-1	delE1,E3, 10 <sup>8</sup>	0	<20	<20
4x0376 (SW)				
3-1		105	<20	<20
3-1		273	<20	<20
4-1	delE1,E3, 10 <sup>9</sup>	0	<20	<20
4x0373 (SW)				
4-1		105	34	<20
4-1		273	72	119
4-2	delE1,E3, 10 <sup>9</sup>	0	<20	<20
87A003 (NI)				
4-2		105	<20	<20
4-2		273	<20	<20
4-3	delE1,E3, 10 <sup>9</sup>	0	<20	<20
A136 (NI)				
4-3		105	<20	<20
4-3		273	<20	21

1 – determined by MT-2 assay described above. Neutralizing antibody titers are reported as reciprocal serum dilution at which 50% cell killing was measured in test wells as compared to virus control wells.

- 5 2 – determined by M7luc assay described above. Neutralizing antibody titers are reported as reciprocal serum dilution at which 50% luciferase activity was measured in test wells as compared to virus control wells.

The results in Table 11 support the use of the combination approaches described herein to induce potent and broad HIV-neutralization activity. For example,

on bleed day 273 sera obtain from all animals in Groups 1-3 comprised neutralizing antibodies against both the subtype B strain from which envelope protein coding sequences were derived (HIV-1 MN) for polynucleotide immunization and the subtype B strain from which envelope protein coding sequences were derived (HIV-1 SF162) for polypeptide immunization. One chimp in group 4 showed neutralizing antibodies, which correlated with better Ab response for that animal as seen in Table 10.

Overall, the replication competent recombinant Adeno vectors (delE3) provided a stronger priming of B cell responses than did the replication incompetent Adeno constructs (del E1, E3) with higher Env-specific binding antibody titers as measured by ELISA and higher serum neutralizing antibody responses against the MN and SF162 virus strains. These data demonstrate that a subject can be immunized with an envelope protein from a first HIV strain of a given subtype, be boosted with an envelope protein from a second HIV strain of the same subtype and generate neutralizing antibodies against both HIV strains. The data presented in Example 4 in combination with the data presented in Example 5 together demonstrate that such HIV strains may be within subtype, or from different subtypes.

Further assays may be used to evaluate the immune responses of the immunized chimpanzees, including, but not limited to, the following:

A. ELISPOT for Ad5 fiber, Ad7 fiber, HIV env, and HIVrev overlapping peptides.

Assay methods are essentially as described in Zhao, et al., J.Virol. 77:8354-8365 (2003). Peptides for use in this assay are derived from HIV-1MN Env and Rev or Ad fiber protein.

B. CTL assays by CR-release.

This standard CTL assay was carried out essentially as described by Lubeck, et al., Nature Med. 3:651-8 (1997), and Buge, et al., J. Virol. 71:8531-8541 (1997).

C. Proliferative T-cell responses against HIV gp120; aldrithiol-2 inactivated gp120; Ad proteins.

These assays were carried out essentially as described in Buge, et al., J. Virol. 71:8531-8541 (1997). The data is shown in Figure 21.

D. Ad5 and Ad7 microtiter neutralization assays.

5        These assays are carried out essentially as described in Buge, et al., J. Virol. 71:8531-8541 (1997).

E. Ad shedding in nasal and stool samples by PCR.

10       These assays are carried out essentially as described in Buge, et al., J. Virol. 71:8531-8541 (1997).

The data in this example support that the combination methods of the present invention can be used to broadly raise neutralizing antibodies against multiple viral strains of the same subtype.

15

Although preferred embodiments of the subject invention have been described in some detail, it is understood that obvious variations can be made without departing from the spirit and the scope of the invention. The following embodiments are offered for illustrative purposes only, and are not intended to limit the scope of the present invention in any way.

20

Exemplary Embodiments of the Present Invention:

1. A composition for generating an immune response in a mammal, said composition comprising,
  - 5 a polynucleotide component consisting essentially of one polynucleotide encoding an HIV immunogenic polypeptide derived from a first HIV strain of a first subtype, and
  - a polypeptide component comprising one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component,
  - 10 with the proviso that at least one HIV immunogenic polypeptide of the polypeptide component is derived from a second HIV strain of the first subtype, wherein said first HIV strain and said second HIV strain are different.
2. A composition for generating an immune response in a mammal, said composition comprising,
  - 15 a polynucleotide component comprising two or more polynucleotide sequences comprising coding sequences for two or more analogous HIV immunogenic polypeptides derived from a first HIV subtype, wherein the coding sequences for at least two of the HIV immunogenic polypeptides are derived from different HIV strains
  - 20 of the first subtype, and
  - a polypeptide component comprising one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that, if the polypeptide component comprises the same number or greater than the number of analogous HIV immunogenic polypeptides encoded by the
  - 25 polynucleotide component, then at least one of the HIV immunogenic polypeptides of the polypeptide composition is derived from a different HIV strain of the first subtype than the HIV immunogenic polypeptides provided by the polynucleotide component.
3. A composition for generating an immune response in a mammal, said composition comprising,
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a polynucleotide component consisting essentially of one polynucleotide encoding an HIV immunogenic polypeptide derived from a first HIV strain of a first subtype, and

5 a polypeptide component comprising one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that at least one HIV immunogenic polypeptide of the polypeptide component is derived from a second HIV strain of the first subtype, wherein said first HIV strain and said second HIV strain are different;

10 with the provisos that (i) the polynucleotide component does not encode an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype, and (ii) the polypeptide component does not comprise an analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype.

4. A composition for generating an immune response in a mammal, said  
15 composition comprising,

a polynucleotide component comprising two or more polynucleotide sequences comprising coding sequences for two or more analogous HIV immunogenic polypeptides derived from a first HIV subtype, wherein the coding sequences for at least two of the HIV immunogenic polypeptides are derived from different HIV strains  
20 of the first subtype, and

a polypeptide component comprising one or more HIV immunogenic polypeptides analogous to the polypeptide encoded by said polynucleotide component, with the proviso that, if the polypeptide component comprises the same number or greater than the number of analogous HIV immunogenic polypeptides encoded by the  
25 polynucleotide component, then at least one of the HIV immunogenic polypeptides of the polypeptide composition is derived from a different HIV strain of the first subtype than the HIV immunogenic polypeptides provided by the polynucleotide component;

with the further provisos that (i) the polynucleotide component does not encode an analogous HIV immunogenic polypeptide derived from any subtype other  
30 than the first subtype, and (ii) the polypeptide component does not comprise an



analogous HIV immunogenic polypeptide derived from any subtype other than the first subtype.

5           5. The composition of any of embodiments 1 to 4, wherein said polynucleotide component comprises at least one polynucleotide that is a native polynucleotide.

10           6. The composition of any of embodiments 1 to 4, wherein said polynucleotide component comprises at least one polynucleotide that is a synthetic polynucleotide.

7. The composition of embodiment 6, wherein said synthetic polynucleotide comprises codons optimized for expression in mammalian cells.

15           8. The composition of embodiment 7, wherein said synthetic polynucleotide comprises codons optimized for expression in human cells.

20           9. The composition of any of embodiments 1 to 4, wherein the HIV immunogenic polypeptides are HIV envelope polypeptides.

10. The composition of embodiment 9, wherein at least one of said HIV polypeptides comprises one or more mutations.

25           11. The composition of embodiment 10, wherein at least one of said envelope polypeptides comprises a mutation in the cleavage site or a mutation in the glycosylation site.

12. The composition of embodiment 10, wherein at least one of said envelope polypeptides comprises a deletion or modification of the V1 region.

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13. The composition of embodiment 10, wherein at least one of said envelope polypeptides comprises a deletion or modification of the V2 region.

5 14. The composition of embodiment 10, wherein at least one of said envelope polypeptides comprises a deletion or modification of the V3 region.

10 15. The composition of embodiment 10, wherein at least one of said envelope polypeptides comprises a deletion or modification of regions selected from the group consisting of the V1 region, the V2 region, the V3 region, and combinations thereof.

16. The composition of embodiment 10, wherein at least one of said envelope polypeptides comprises envelope polypeptide modified to expose an envelope binding region that binds to a CCR5 chemokine co-receptor.

15 17. The composition of any of embodiments 1 to 4, wherein at least one polynucleotide encoding an HIV immunogenic polypeptide encodes an immunogenic HIV polypeptide selected from the group consisting of: Gag, Env, Pol, Prot, Int, RT, vif, vpr, vpu, tat, rev, and nef.

20 18. The composition of any of embodiments 1 to 4, wherein the first HIV subtype is selected from the group consisting of: subtype A, subtype B, subtype C, subtype D, subtype E, subtype F, subtype G, subtype H, subtype I, subtype J, subtype K, subtype N and subtype O.

25 19. The composition of any of embodiments 1 to 4, wherein at least one of said immunogenic HIV polypeptides comprises one or more mutations.

30 20. The composition of any of embodiments 1 to 4, wherein said polynucleotide component further comprises a sequence encoding an additional antigenic polypeptide, with the proviso that the additional antigenic polypeptide is not an immunogenic polypeptide derived from an HIV-1 strain.

21. The composition of embodiment 20, wherein said polypeptide component further comprises a polypeptide having an additional antigenic peptide, with the proviso that the additional antigenic polypeptide is not an immunogenic polypeptide derived from an HIV-1 strain.

22. The composition of any of embodiments 1 to 4, wherein said polynucleotide component further comprises sequences encoding one or more control elements compatible with expression in a selected host cell, wherein said control elements are operable linked to polynucleotides encoding HIV immunogenic polypeptides.

23. The composition of embodiment 22, wherein said control elements are selected from the group consisting of a transcription promoter, a transcription enhancer element, a transcription termination signal, polyadenylation sequences, sequences for optimization of initiation of translation, an internal ribosome entry site, and translation termination sequences.

24. The composition of embodiment 23, wherein said transcription promoter is selected from the group consisting of CMV, CMV+intron A, SV40, RSV, HIV-Ltr, MMLV-ltr, and metallothionein.

25. A method of generating an immune response in a subject, comprising, providing the composition for generating an immune response in a mammal of embodiments 1, 2, 3, or 4;

administering one or more gene delivery vectors comprising the polynucleotides of said polynucleotide component of the composition into said subject under conditions that are compatible with expression of said polynucleotides in said subject for the production of encoded HIV immunogenic polypeptides; and administering the polypeptide component to said subject.

26. The method of embodiment 25, wherein said one or more gene delivery vectors and said polypeptide component are administered concurrently.

5 27. The method of embodiment 26, wherein said one or more gene delivery vectors and said polypeptide component are administered sequentially.

28. The method of embodiment 25, wherein said polypeptide component further comprises an adjuvant.

10 29. The method of embodiment 25, wherein said polynucleotide component further comprises a carrier.

30. The method of embodiment 25, wherein said one or more gene delivery vectors are nonviral vectors.

15 31. The method of embodiment 25, wherein said one or more gene delivery vectors are delivered using a particulate carrier.

20 32. The method of embodiment 31, wherein said one or more gene delivery vectors are coated on a gold or tungsten particle and said coated particle is delivered to said subject using a gene gun.

33. The method of embodiment 31, wherein said one or more gene delivery vectors are delivered using a PLG particle.

25 34. The method of embodiment 25, wherein said one or more gene delivery vectors are encapsulated in a liposome preparation.

30 35. The method of embodiment 25, wherein said one or more gene delivery vectors are viral vectors.

36. The method of embodiment 35, wherein said viral vectors are retroviral vectors.

5 37. The method of embodiment 35, wherein said viral vector are lentiviral vectors.

38. The method of embodiment 35, wherein said viral vectors are alphaviral vectors.

10 39. The method of embodiment 25, wherein said subject is a mammal.

40. The method of embodiment 39, wherein said mammal is a human.

15 41. The method of embodiment 25, wherein said immune response is a humoral immune response.

42. The method of embodiment 25, wherein said immune response is a cellular immune response.

20 43. The method of embodiment 25, wherein said one or more gene delivery vectors are administered intramuscularly, intramucosally, intranasally, subcutaneously, intradermally, transdermally, intravaginally, intrarectally, orally or intravenously.

25 44. The method of embodiment 25, wherein said immune response results in generating neutralizing antibodies in the subject against multiple strains derived from the first HIV subtype.

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**COMBINATION APPROACHES FOR GENERATING IMMUNE  
RESPONSES AGAINST MULTIPLE VIRAL STRAINS SELECTED FROM A  
GIVEN SUBTYPE OR SEROTYPE**

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Abstract of the Disclosure

10 The present invention relates to methods, polynucleotides, and polypeptides encoding immunogenic HIV polypeptides derived from different strains within an HIV subtype. Uses of the polynucleotides and polypeptides in combination approaches for generating immune responses are described. The combination approaches described herein have been shown to induce broad and potent neutralizing activity against diverse HIV strains from multiple strains within a given subtype. Formulations of compositions for generating immune responses and methods of use for such compositions are also disclosed.

FIGURE 1A

1	TGGAAGGGTT	AATTTACTCC	AAGAAAAGGC	AAGAAATCCT	TGATTTGTGG	GTCTATCAC
61	CACAAGGCTT	CTTCCCTGAT	TGGCAAAACT	ACACACCGGG	GCCAGGGGTC	AGATATCCAC
121	TGACCTTTGG	ATGGTGCTAC	AAGCTAGTGC	CAGTTGACCC	AGGGGAGGTC	GAAGAGGCCA
181	ACGGAGGAGA	AGCAAACTGT	TTGCTACACC	CTATGAGCCA	ACATGAGACA	GAGGATGAAG
241	ATAGAGAAGT	ATTAAGATGG	AAGTTTGACA	GCTCTCTAGC	ACGACGACAC	ATGGCCCGCG
301	AGCTACATCT	GGAGTATTAC	AAAGACTGCT	GACACAGAAG	GGACTTTCCG	CTCGGAGCTT
361	TCCACTGGGG	CGTTCGCGGA	GGTGTGGTCT	GGCGGGGACT	TGGGAGTGGT	CAACCCCTCAG
421	ATGCTGCATA	TAGCAGCTG	CTTTTCGCCT	GTACTGGGTC	TCTCTCGGTA	GACCAAGATCT
481	GAGCGTGGGA	CGCCTCTGGC	TATCTAGGGA	ACCCACTGCT	TAAGCTCAA	TAAGGCTTTGC
541	CTTGAGTGCT	TTAAGTAGTG	TGTGCCCATC	TGTTGTGTGA	CTCTGGTAAC	TAGAGATCCC
601	TCAGACCCCT	TGTGGTAGTG	TGGAATATCT	CTAGCAGTGG	CGCCCGAACA	GGGACCAGAA
661	AGTGAAAGTG	AGACCAGAGG	AGATCTCTCG	ACGCAGGACT	CGGCTTGCTG	AAGTGCACAC
721	GGCAAGAGGC	GAGAGGGGCG	GCTGTGTAGT	ACGCCAATTT	TACTTGACTA	CGGGAGGCTA
781	GAAGGAGAGA	GATGGGTGCG	AGAGCGTCAA	TATTAAGCGG	CGGAAATTA	GATAAATGGG
841	AAAGAATTAG	GTTAAGGCCA	GGGSGAAAGA	AACATTATAT	GTTAAACAT	CTAGTATGGG
901	CAAGCAGGGA	GCTGGAAAGA	TTTGCACTTA	ACCCTGGCCT	GTTAGAAACA	TCAGAAGGCT
961	GTAACAATA	AATAAAAACAG	CTACAACAG	CTCTTCAGAG	AGGAACAGAG	GAACCTTAGAT
1021	CATTATTCAA	CACAGTAGCA	ACTCTCTATT	GTGTACATAA	AGGGATAGAG	GTACGAGACA
1081	CAAGAGGAGC	CTTAGACAAG	ATAGAGGAAG	ACAAAAACAA	ATGTGACGAA	AAAGCAACAC
1141	AGGCAAAAGC	AGCTGACGAA	AAGGTCAGTC	AAAATTATCC	TATAGTACAG	AATGCCCCAAG
1201	GGCAAAATGT	ACACCAAGCT	ATATCACCTA	GAACATTGAA	TGCATTGGATA	AAAGTAATAG
1261	AGGAAAAGGC	TTTCAATCCA	GAGGAAATAC	CCATGTTTAC	AGCATTATCA	GAAGGAGCCA
1321	CCCCACAAGA	TTTAAACACA	ATGTTAAATA	CAGTGGGGGG	ACATCAAGCA	GCCATGCAAA
1381	TGTTAAAAAG	TACCATCAAT	GAGGAGGCTG	CAGAATGGGA	TAGGACACAT	CCAGTACATG
1441	CAGGGCCTGT	TGCACCAGGC	CAGATGAGAG	AACCAAGGGG	ATGTGACATA	CAGGAAACTA
1501	CTAGTACCCT	TCAGGAACAA	ATAGCATGGA	TGACAAGTAA	TCACCTTATT	CAGATAGAA
1561	ACATCTATAA	AAGATGGATA	ATTCTGGGCT	TAAATAAAAT	ATGTAAGATG	TATGCCCTCT
1621	TTAGCATTTT	GGACATAAAA	CAAGGGCCAA	AGAACCCTTT	TAGAGACTAT	GTAGACCGGT
1681	TCTTTAAAA	CTTAAGAGCT	GAACAAGCTA	CACAAGATGT	AAAGAATTGG	ATGACAGACA
1741	CCTTGTGGTG	CCAAAATGCG	AAACCAGATT	GTAAGACCAT	TTTAAGAGCA	TTAGGACCAG
1801	GGGCCTCAT	AGAGAAATG	ATGACAGCAT	GTGAGGGAGT	GGGAGGACCT	AGCCAATAAG
1861	CAAGAGGTGT	GGCTGAGGCA	ATGAGCCCAAG	CAAAACGTAA	CATATCTGTG	CAGGACAGCA
1921	ATTTTAAAGG	CTCTAACAGA	ATTATTAAAT	GTTTCAACTG	TGGCAAAAGTA	GGGCACATAG
1981	CCAGAAATTG	CAGGGCCCCT	AGGAAAAAGG	GCTGTTGGAA	ATGTGGACAG	GAAGGACACC
2041	AAATGAAGAA	CTGTACTGAG	AGGCAGGCTA	ATTTTATTAG	GAAAAATTGG	CCTTCCCAAC
2101	AGGGGAGGCC	AGGGAATTC	CTCAGAACA	GACCAGAGCC	ACACGCCCCA	CCAGCAGAAC
2161	CAACAGCCCC	ACCAGCAGAG	AGCTTCAGGT	TCGAGGAGAC	AGCCCCCGTG	CCGAGGAAGG
2221	AGAAAGGAGC	GGAACTCTTA	ACTTCCCTCA	AATCACTCTT	TGGCAGCCAG	CCCTTGTCTC
2281	AATAAAAGTA	GAGGGCCAGA	TAAAGGAGGC	TCTCTTAGAC	ACAGGAGCAG	ATGATACAGT
2341	ATTAGAAGAA	ATAGATTTGC	CAGGGAAATG	GAAACCAAAA	ATGATAGGGG	GAATTGGAGG
2401	TTTTATCAAA	GTAAGACAGT	ATGATCAAA	ACTTATAGAA	ATTTGTGGAA	AAAAGGCTAT
2461	AGGTACAGTA	TTAGTAGGGC	CTACACCACT	CAACATAATT	GGAGAAATC	TGTTAACTCA
2521	GCTTGGATGC	ACACTAAATT	TTCCAATTAG	TCTATTGAA	ACTGTACACG	TAAGAAATAA
2581	ACCAGGAATG	GATGGCCCAA	AGGTCAAACA	ATGGCCATTG	ACAGAGAAAA	AAATAAAGC
2641	ATTAACAGCA	ATTGTGAGG	AAATGGAGAA	GGAAGGAAAA	ATTACAAAAA	TGTGGCCTGA
2701	TAATCCATAT	AACACTCCAG	TATTTGCCAT	AAAAAAGAA	GACAGTACTA	AGTGGAGAAA
2761	ATTAGTAGAT	TTCAGGGAAC	TCAATAAAG	AACCTCAAGC	TTTTGGGAAG	TTCAATTAGG
2821	AAATACCACAC	CCAGCAGGAT	TAAAAAGAA	AAAATCAGTG	ACAGTGCTAG	ATGTGGGGGA
2881	TGCATATTTT	TCAGTTCCCT	TAGATGAAG	CTTCAGGAAA	TATACTGCAT	TCACCATACC
2941	TAGTATAAAC	AATGAACAC	CAGGATTAG	ATATCAATAT	ATATGTCTGC	CACAGGGATG
3001	GAAAGGATGA	CACGACAAT	TCGACAGTAG	CATGACAAAA	ATCTTAGAGC	CCTTCAGAGC
3061	AAAAATCCCA	GACATAGTTA	TCTATCAATA	TATGGATGAC	TGTATGTAG	GATCTGACTT
3121	AGAAATAGGG	CAACATAGAG	CAAAAATAGA	AGAGTTAAGG	GAACATTTAT	TGAAATGGGG

FIGURE 1B

3181 ATTACAACA CCAGACAAGA AACATCAAAA AGAACCCCCA TTTCTTTGGA TGGGGTATGA  
 3241 ACTCCATCTC GACAAAATGGA CAGTACAACC TATACTGCTG CCAGAAAAGG ATAGTTGGAC  
 3301 GTGCAATAGT ATACAGAAGT TAGTGGGAAA ATTAAACTGG GCAAGTCAGA TTTACCCAGG  
 3361 GATTAAAGTA AGGCAACTCT GTAAACTCCT CAGGGGGGCC AAGAGCACTAA CAGACATAGT  
 3421 ACCACTAATC GAAGAAGCAG AATTAGAATT GGCAGAGAAC AGGGAAATTT TAAGAGAACC  
 3481 AGTACATGGA GTATTATTATG ATCCATCAAA AGACTTGATA GCTGAATAC AGAAACAGGG  
 3541 GCATGAACAA TGGACATATC AAATTTATCA AGAACCATTT AAAATCTCTGA AAACAGGGAA  
 3601 GTATGCAAAA ATGAGGACTA CCCACACTAA TGATGTAAAA CAGTTAACAG AGGCCAGTGA  
 3661 AAAAATAGCC ATGGAAAGCA TAGTAATATG GGGAAAGACT CTTTAAATTA GACTACCTAT  
 3721 CCAAAAAGAA ACATGGGAGA CATGGTGGAC AGACTATTGG CAAGCCACCT GGATCCCTGA  
 3781 GTGGGAGTTT GTTAATACCC CTCCTCTAGT AAAATTATGG TACCAACTAG AAAAAGATCC  
 3841 CATAGCAGGA GTAGAAACTT TCTATGTAGA TGGAGCAACT AATAGGGAAG CTAAATATAG  
 3901 AAAAGCAGGG TAGTTTACTG ACAGAGGAAG GCAGAAAATT GTTACTCTAA CTAAACACAAC  
 3961 AAATCAGAAG ACTGAGTTAC AAGCAATTCA GCTAGCTCTG CAGGATTCAG GATCAGAAGT  
 4021 AAACATAGTA ACAGACTCAC AGTATGCATT AGGAATCATT CAAGCAACAC CAGATAAGAG  
 4081 TGACTCAGAG ATATTTAACC AAATAATAGA ACAGTTAATA ACAAGGAAA GAATCTACCT  
 4141 GTCATGGGTA CCAGCACATA AAGGAATTGG GGGAAATGAA CAAGTAGATA AATTAGTAAG  
 4201 TAAGGGAAAT AGGAAAGTGT TGTTTCTAGA TGGAAATAGT AAGGCTCAAG AAGAGCATGA  
 4261 AAGGTACCAC AGCAATTTGA GAGCAATGGC TAATGAGTTT AATCTGGCAC CCATAGTAGC  
 4321 AAAAGAAATA GTAGCTAGCT GTGATAAATG TCAGCTAAAA GGGGAAGCCA TACATGGACA  
 4381 AGTCCAGGTA AGTCCAGGGA TAGGCAATT AGATTGTACC CATTTAGAGG GAAAATCAT  
 4441 CCTGGTAGCA GTCCATGTAG CTAGTGGCTA CATGGAAGCA GAGGTTATCC CAGCAGAAAC  
 4501 AGGACAAGAA ACAGCATATT TTATATTTAA ATTACGAGGA AGATGGCCAG TCAAAAGTAA  
 4561 ACATACAGAC AATGGCAGTA ATTTTACCAG TACTGCAGTT AAGGCAGCTT GTTGGTGGC  
 4621 AGGTATCCAA CAGGAATTGG GAATTCCTTA CAATCCCCAA AGTCAGGGAG TGGTAGAAT  
 4681 CATGAATAAA GAATTAAGA AAATAATAGG ACAAGTAAGA GATCAAGCTG AGCACTTTAA  
 4741 GAGCAGAGTA CAAATGGCAG TATTCATCA CAATTTTAAA AGAAAAGGGG GAATTTGGGG  
 4801 GTACAGTGCA GGGGAAAAGAA TAATAGACAT AATAGCAACA GACATACAAA CTAAAGAAAT  
 4861 ACAAAAACAA ATTATAAGAA TTCAAAATTT TCGGGTTTAT TACAGAGACA GCAGAGACCC  
 4921 TATTTGGAAA GGACCAAGCCG AACTACTCTG GAAAGGTGAA GGGGTAGTAG TAATAGAAGA  
 4981 TAAAGGTGAC ATAAAGGTAG TACCAAGGAG GAAAGCAAAA ATCATTAGAG ATTATGGAAA  
 5041 ACAGATGGCA GGTGCTGATT GTGTGGCAGG TGGACAGGAT GAAGATTAGA GCATGGAAAT  
 5101 GTTTAGTAAA GCACCATATG TATATATCAA GGAGAGCTAG TGGATGGGCT TACAGACATC  
 5161 ATTTTGAAGC CAGACATCCA AAGTAAGTT CAGAAGTACA TATCCCATTA GGGGATGCTA  
 5221 GATTAGTAAT AAAACATAT TGGGGTTTGC AGACAGGAGA AAGAGATTGG CATTGGGTC  
 5281 ATGGAGTCTC CATAGAATGG AGACTGAGAG AATACAGCAC ACAAGTAGAC CTAAGCTGCG  
 5341 CAGACAGCT AATTCACATG CATTATTTTG ATTTGTTTAC AGAATCTGCC ATGAGACAGAG  
 5401 CCATATTAGG ACACATAGTT TTTCTTAGGT GTGACTATCA AGCAGGACAT AAGAAGTGAT  
 5461 GATCTCTGCA ATACTTGGCA CTGACAGCAT TGATAAAACC AAAAAGAGA AAGCCACCTC  
 5521 TGCCTAGTGT TAGAAAAATTA GTAGAGGATA GATGGAACGA CCCCCAGAAG ACCAGGGGCC  
 5581 GCAGAGGGAA CCATACAATG AATGGACACT AGAGATTCTA GAAGAACTCA AGCAGGAAGC  
 5641 TGTCCAGAC TCTCTAGAC CATGGCTCCA TAGCTTAGGA CAGATATATC ATGAAACCTA  
 5701 TGGGGATACT TGGCAGGGAG TTGAAGCTAT AATAAGAGTA CTGCAACAC TACTGTTCA  
 5761 TCATTTCAGA ATTTGATGCC AACATAGCAC AATAGGCATC TTGGCCAGAG GAAGAGCAAG  
 5821 AAATGGAGCC AGTAGATCCT AAACATAAGC CCTGGAACCA TCCAGGAAGC CAACTTAAAA  
 5881 CAGCTTGTA TAATTGCTTT TGCAAACTCT GTAGCTATCA TTGCTCTAGT TGCCTTGA  
 5941 CAAAAGGTTT AGGCATTTCC TATGGCAGGA AGAAGCGGAG ACAGCGAGCA AGCGCTCTCT  
 6001 CAAGTGGTGA AGATCATCAA AATCCTCTAT CAAAGCAGTA AGTACACATA GTAGATGTAA  
 6061 TGGTAACTTT AAGTTTATTT AAAGGAGTAG ATTATAGATT AGGATAGGA GCATTGTATG  
 6121 TAGCACTAAT CAGTCAATA ATAGTTGGGA CCATAGCAT TATAGAATAT AGGAATTTGG  
 6181 TAAGACAAAA GAAATATGAC TGGTTAATTA AAAGAATTAG GGAAGAAGCA GAAGACAGTG  
 6241 GCAATGAGAG TGAATGGGAC ACAGAAGAAAT TGTCAACAA TGGTGATGTG GGGCATCTTA  
 6301 GGCCTCTGGA TGCTAATGAT TTGTAACACG GAGGACTTGT GGGTCACAGT CTACTATGGG



FIGURE 1C

6361	GTACCTGTGT	GGAGAGAAGC	AAAACTACT	CTATTCTGTG	CATCAGATGC	TAAAGCATAT
6421	GAGACAGAG	TGCATAATGT	CTGGGTACA	CATGCTTGTG	TACCCACAGA	CCCCAACCCA
6481	CAAGAAATAG	TTTTGGGAAA	TGTAACAGAA	AATTTTAATA	TGTGGAAAAA	TAACTGGGCA
6541	GTCAGATGC	ATGAGGATAT	AATCAGTTTA	TGGGATCAAA	GCCTAAGGCC	ATGTTGTAAG
6601	TTGACCCAC	CTCTGTGCAC	TTTAAACTGT	ACAGATACAA	ATGTTACAGG	TAATAGAACT
6661	GTTCACAGTA	ATACAAATGA	TACCAATATT	GCAAAATGCTA	CATATAAGTA	TGAAGAAATG
6721	AAAAATTGCT	CTTTCAATGC	AACCACAGAA	TTAAGAGATA	AGAACATAA	AGAGTATGCA
6781	CTCTTTTATA	AACTTGATAT	AGTACCACCT	AATGAAAATA	GTAACAACCT	TACATATAGT
6841	TTAAATAATT	GCAATACCTC	AACCATAACA	CAAGCCTGTC	CAAAGGTCCT	TTTTGACCCG
6901	ATTCTCTATAC	ATTACTGTGC	TCCAGCTGAT	TATGCGATTC	TAAAGTGTA	TAATAAGACA
6961	TTCAATGGGA	CAGGACCATG	TTATAATGTC	AGCACAGTAC	AATGTACACA	TGGAAATTAG
7021	CCAGTGGTAT	CAACTCAACT	ACTGTTAAAT	GGTAGTCTAG	CAGAAGAAGG	GATAATAAAT
7081	AGATCTGAAA	ATTTGACAGA	GAATACCAAA	ACAATAATAG	TACATCTTAA	TGAATCTGTA
7141	GAGATTAATT	GTACAAGGCC	CAACAATAAT	ACAAGGAAAA	GTGTAAGGAT	AGGACCAGGA
7201	CAGCACTAT	ATGCAACAAA	TGACGTAATA	GGAAACATAA	GACAAGCACA	TTGTAACATT
7261	AGTACAGTA	GATGGAATAA	AACCTTACAA	CAGGTAATGA	AAAAATTAGG	AGAGCATTTT
7321	CCTAATAAAA	CAATAAAATT	TGAACCACAT	GCAGGAGGGG	ATCTAGAAAT	TACAATGCAT
7381	AGCTTTAATT	GTAGAGGAGA	ATTTTCTTAT	TGCAATACAT	CAAACTGTTT	TAATAGTACA
7441	TACTACCTTA	AGAAATGGTAC	ATACAAATAC	AATGGTAATT	CAGGCTTACC	CTACACACTC
7501	CAATGCCAAA	TAAACAAAT	TGTACGCATG	TGGCAAGGGG	TAGGACAAGC	ATGTATGCC
7561	CCTCCCATG	CAGGAAACAT	AACATGTAGA	TCAAACATCA	CAGGAATACT	ATTGACACGT
7621	GATGGGGGAT	TTAACCAACAC	AAACAACGAC	ACAGAGGAGA	CATTCAGACG	TGGAGGAGGA
7681	GATATGAGGG	ATACTGGAG	AAGTGAATTA	TATAAATATA	AAGTGGTAGA	AATTAAAGCA
7741	TGGGAATAG	CACCCACTAA	GGCAAAAAGA	AGAGTGGTGC	AGAGAAAAAA	AAGGACAGTG
7801	GGAATAGGAG	CTGTGTTCTT	TGGGTTCTTG	GGAGCAGCAG	GAAGCACTAT	GGGCCGACGG
7861	TCAATAACGC	TGACGGTACA	GGCCAGACAA	CTGTTGTCTG	GTATAGTCA	ACAGCAAAAG
7921	AATTTGCTGA	AGGCTATAGA	GGCGCAACAG	CATATGTTGC	TAACCTGCGA	CTGGGGCAAT
7981	AAGCAGCTCC	AGGCCAGAGT	CCTGGCTATA	GAAAGATACC	TAAAGGATCA	ACAGCTCCTA
8041	GGGATTGGGG	GCTGCTCTGG	AAGACTCATC	TGCACCCTG	CTGTGCCCTT	GAGTCCAGT
8101	TGGAGTAATA	AATCTGAAGC	AGATATTGGG	GATAACATGA	CTTGGATGCA	GTGGGATAGA
8161	GAATTAATA	ATTACACAGA	AACAATATTC	AGGTTGCTTG	AAGACTCGCA	AAACCAGCAG
8221	GAAGAAGATG	AAAAAGATTT	ATTAGAATTG	GACAAGTGGA	ATAATCTGTG	GATTTGGTTT
8281	GACATATCAA	ACTGGCTGTG	GTATATAAAA	ATATTCATAA	TAGTAGTAGG	AGGCTTGATA
8341	GGTTTAAGAA	TAATTTTTCG	TGTGCTCTCT	ATAGTGAATA	GAGTTAGGCA	GGGATACTCA
8401	CCTTTGTCAT	TTCAGACCC	TACCCCAAGC	CCGAGGGGAC	TCGACAGGCT	CGGAGGAATC
8461	GAAGAAGAG	GTGGAGAGCA	AGACAGAGAC	AGATCCATAC	GATTGGTGAG	CGGATTTCTG
8521	TCGCTTGCTG	GGGACGATCT	CGCGAGCCTG	TGCCCTCTCA	GCTACCACCG	CTTGAGAGAC
8581	TTCATATTA	TTCAGTGAG	GGCAGTGAA	CTTCTGGGAC	ACAGCAGTCT	CAGGGGAGCTA
8641	CAGAGGGGGT	GGGAGATCCT	TAAATCTGTG	GGAAGTCTTG	TCGAGTATGT	GGGTCTAGAG
8701	CTAAAAAGA	GTGCTATTAG	TCCGCTTGAT	ACCATAGCAA	TAGCAGTAGC	TGAAGGAACA
8761	GATAGGATTA	TAGAATTGGT	ACAAAGAATT	TGTAGAGCTA	TCCCTCAACAT	ACCTAGGAGA
8821	ATAAGACAGG	GCTTTGAAGC	AGCTTTGCTA	TAAATGGGA	GCCAAGTGGT	CAAAACGAGA
8881	CATAGTTGGA	TGGCCTGCAG	TAAAGAGAA	AATGAGAAGA	ACTGAGCCAG	CAGCAGAGGG
8941	AGTAGGAGCA	AGCTCTCAAG	ACTTAGATAG	ACATGGGGCA	CTTACAAGCA	GCAACACAC
9001	TGCTACTAAG	GAGCTTTGTG	CCTGGCTGCA	AGCAACAAGG	GGGACGGGAG	ATGTAGGCTT
9061	TCCAGTCAGA	CCTCAGGTAC	CTTTAAGACC	AATGACTTAT	AAGAGTGCAG	TAGATCTCAG
9121	CTTCTTTTTA	AAAGAAAAAG	GGGGACTGGA	AGGGTTAATT	TACTCTAGGA	AAAGGCAAGA
9181	AATCCTTGAT	TTGTGGGTCT	ATAACACACA	AGGCTTCTTC	CCTGATTGGC	AAAACACAC
9241	ATCGGGGGCA	GGGGTCCGAT	TCCCACTGAC	CTTTGGATGG	TGCTTCAAGC	TAGTACCAGT
9301	TGACCCAAAG	GAGGTGAAGG	AGGCCAATAG	ACGGAAGAAG	CACTGTTTTC	GAGCCCTTAT
9361	GAGCCAACAT	GGAGCAGAGG	ATGAAGATAG	AGAAGTATTA	AAGTGGGAAT	TTGACAGCCT
9421	TCTAGCACAC	AGACACATGG	CCCGGAGCT	ACATCCGGAG	TATTACAAAG	ACTGCTGACA

**FIGURE 1D**

9481 CAGAAGGGAC TTTCCGCCTG GGACTTTCCA CTGGGGCGTT CCGGGAGGTG TGGTCTGGGC  
9541 GGGACTTGGG AGTGGTCACC CTCAGATGCT GCATATAAGC AGCTGCTTTT CGCTTGTACT  
9601 GGGTCTCTCT CGGTAGACCA GATCTGAGCC TGGGAGCTCT CTGGCTATCT AGGGAACCCA  
9661 CTGCTTAGGC CTCAATAAAG CTGCGCTTGA GTGCTCTAAG TAGTGTGTGC CCATCTGTTG  
9721 TGTGACTCTG GTAAC TAGAG ATCCCTCAGA CCCTTTGTGG TAGTGTGGAA AATCTCTAGC  
9781 A

Figure 2A

↓ : indicates the regions for β-sheet and V1/V2 loop deletions

\*: is the N-linked glycosylation sites for subtype C TV1 and TV2. Possible mutation (N→Q) or deletions can be performed.

	1		50
B-SF162	(1)	---MDAMKRGKLCVLLLCGAVFVSP--SAVEKLWVTYYYGVVPVWKEAFTT	
C-TV1.8.2	(1)	MRVMGTQKNCQQWNIWINGILGFWMIMI--CNTEDLWVTYYYGVVPVWRDAKTT	
C-TV1.8.5	(1)	MRVMGTQKNCQQWNIWINGILGFWMIMI--CNTEDLWVTYYYGVVPVWREAKTT	
C-TV2.12.5/1	(1)	MRARGILKNYRHHWNIWINGILGFWMILMM--CNVKGWVTYYYGVVPVGREAKTT	
C-MJ4	(1)	MRVKGIPRNVQWNIWINGILGFWIIIC--SVMGNLWVTYYYGVVPVWREAKTT	
IndiaC-93IN101	(1)	MRVRGTLRNYQWNIWINGILGFWMILMCMGNGGGLWVTYYYGVVPVWKEAKTT	
A-Q2317	(1)	MRVMGIQRNCQHLLTWGIMILGTIIFCSAVENLWVTYYYGVVPVWRDAKTT	
D-92UG001	(1)	MRVREIERNYLCLNRWGIIMGLMLMTYSVAEKWVTYYYGVVPVWKEATT	
E-cm235	(1)	---MDAMKRGKLCVLLLCGAVFVSP--SASNNLWVTYYYGVVPVWRDAKTT	
Consensus	(1)	MRV G RN Q WNIWINGILGFWMIM S E LWVTYYYGVVPVWREAKTT	
	51		100
B-SF162	(46)	LFCASDAKAYDEVHNVWATHACVPTDPNPOEIVLENTENFNMWKNMMV	
C-TV1.8.2	(50)	LFCASDAKAYETEVRNVWATHACVPTDPNPOEIVLGNVTENFNMWKNMDMA	
C-TV1.8.5	(50)	LFCASDAKAYETEVRNVWATHACVPTDPNPOEIVLGNVTENFNMWKNMDMA	
C-TV2.12.5/1	(50)	LFCASDAKAYEKEVRNVWATHACVPTDPNPOEIVLGNVTENFNMWKNMDMV	
C-MJ4	(49)	LFCASDAKAYAEVRNVWATHACVPTDPNPOEIELKNVTENFNMWKNMDMV	
IndiaC-93IN101	(51)	LFCASDAKAYEREVRNVWATHACVPTDPNPOEIVLGNVTENFNMWKNMDMV	
A-Q2317	(51)	LFCASDAKAYETEVRNVWATHACVPTDPNPOEIVLGNVTENFNMWKNMDMV	
D-92UG001	(51)	LFCASDAKAYKTEVRNVWATHACVPTDPNPREIELENTENFNMWKNMMV	
E-cm235	(46)	LFCASDAKAHETEVRNVWATHACVPTDPNPOEIVLENTENFNMWKNMMV	
Consensus	(51)	LFCASDAKAYETEVRNVWATHACVPTDPNPOEIVL NVTENFNMWKNMMV	
	101		150
		↓ * * * *	
B-SF162	(96)	EQMHEDIISLWDQSLKPCVKLTPLCVTLHCTNLKNATN-----TKSS	
C-TV1.8.2	(100)	DQMHEDIISLWDQSLKPCVKLTPLCVTLNCTDTNVTGNRTVTGNSNNNTN	
C-TV1.8.5	(100)	DQMHEDIISLWDQSLKPCVKLTPLCVTLNCTDTNVTGNRTVTGNTNDTNI	
C-TV2.12.5/1	(100)	DQMHEDIISLWDQSLKPCVKLTPLCVTLNCTNATVNYN-----NTS--	
C-MJ4	(99)	DQMHEDIISLWDQSLKPCVKLTPLCVTLNCTNVTSKDI-----NI	
IndiaC-93IN101	(101)	DQMHEDIISLWDQSLKPCVKLTPLCVTLECRNVSRRVS-----SY	
A-Q2317	(101)	EQMHTDIIISLWDQSLKPCVKLTPLCVTLHCTNVTSTVNT-----	
D-92UG001	(101)	EQMHEDIISLWDQSLKPCVKLTPLCVTLNCTDARRNET-----RNNIT	
E-cm235	(96)	EQMQEDVISLWDQSLKPCVKLTPLCVTLNCTNAKLTNV-----NNITSVS	
Consensus	(101)	DQMHEDIISLWDQSLKPCVKLTPLCVTLNCTN	
	151		200
		* *	
B-SF162	(138)	NWKEMDRGEIKNCSFKVTTTSIRNKMKQKEYALFYKLDVVPIDN-----DNT	
C-TV1.8.2	(150)	GTGIYNIIEEMKNCSFNATTELROKKHKEYALFYKLDIVPLN-----ENSDNF	
C-TV1.8.5	(150)	ANATYKIEEMKNCSFNATTELROKKHKEYALFYKLDIVPLN-----ENSNNF	
C-TV2.12.5/1	(141)	-----KDMKNCSFYVTTELROKKHKEYALFYKLDIVPLNNR--KNGNIN	
C-MJ4	(139)	TSNAEMKAEMKNCSFNITTELROKKHKEYALFYKLDIVPLNDNASENAS	
IndiaC-93IN101	(141)	NTYNGSVEEIKNCSFNATTEVRDRQRMALFYGLDIVPLNKNKSSSENS	
A-Q2317	(139)	-TG--DREGKNCFSNMTELROKKQVSYLFYRLDIVPINE-----NQGS	
D-92UG001	(144)	GMENNDQIEMKNCSFNITTKLIDKKQVHALFYRLDVVQIDNTSTNSHSY	
E-cm235	(141)	NTIGNITDEVNRNCSFNMTTELROKKQVHALFYKLDIVPIED-----NKTSS	
Consensus	(151)	T EEMKNCSFNITTELROKK KEYALFYKLDIVPLN N N S	

Figure 2B

		201	*			*	*	250
B-SF162	(183)	SYKLINCNTSVITQACPKVSEFPIPIHYCAPAGFAILKCNCKKPHGSGPC						
C-TV1.8.2	(197)	TYRLINCNTSTITQACPKVSFDPIPIHYCAPAGYAILKCNCKNFNGTGPC						
C-TV1.8.5	(197)	TYRLINCNTSTITQACPKVSFDPIPIHYCAPADYAILKCNCKNFNGTGPC						
C-TV2.12-5/1	(183)	NYRLINCNTSAITQACPKVSFDPIPIHYCAPAGYAILKCNCKNFNGTGPC						
C-MJ4	(189)	EYRLINCNTSTITQACPKVTFDPIPIHYCAPAGYVILKCNCKNFNGTGPC						
IndiaC-93IN101	(191)	EYRLINCNTSAITQACPKVTFDPIPIHYCAPAGYAILKCNCKNFNGTGPC						
A-Q2317	(182)	EYRLINCNTSAITQACPKVSFEPIPIHYCTPAGFAILKCKDEGPHGTGLC						
D-92UG001	(194)	NYRLINCNTSAITQACPKVTFEPIPIHYCAPAGFAILKCKPKKFGTGPC						
E-cm235	(188)	EYRLINCNTSVIKQACPKISFDEPIPIHYCTPAGYAILKCNCKNFNGTGPC						
Consensus	(201)	YRLINCNTS ITQACPKVSFDPIPIHYCAPAGYAILKCNCK NFNGTGPC						
			*			*	*	
		251		*		*		300
B-SF162	(233)	TNVSTVQCTHGIRPVVSTQLLNGSLAEEGVVIRSENFTDNAKTIIVQLN						
C-TV1.8.2	(247)	YNVSTVQCTHGIRPVVSTQLLNGSLAEEGIIIRSENLTENTKTIIVHLN						
C-TV1.8.5	(247)	YNVSTVQCTHGIRPVVSTQLLNGSLAEEGIIIRSENLTENTKTIIVHLN						
C-TV2.12-5/1	(233)	DNVSTVQCTHGIRPVVSTQLLNGSLAEEIIIRSENLTNNVKTIIIVHLN						
C-MJ4	(239)	NNVSTVQCTHGIRPVVSTQLLNGSLAEKEIIIRSKNITDNVKTIIIVHLN						
IndiaC-93IN101	(241)	NNVSTVQCTHGIRPVVSTQLLNGSLAEEGIIIRSENLTNNVKTIIIVHLN						
A-Q2317	(232)	KNVSTVQCTHGIRPVVSTQLLNGSLAEKNITIRSENLTNNAKTIIVQLV						
D-92UG001	(244)	KNVSTVQCTHGIRPVVSTQLLNGSLAEEIIIRSENLTNNAKTIIVQLV						
E-cm235	(238)	KNVSSVQCTHGIRPVVSTQLLNGSLAEEIIIRSENLTNNAKTIIVHLN						
Consensus	(251)	NVSTVQCTHGIRPVVSTQLLNGSLAEEIIIRSENLTNN KTIIVHLN						
			*			*	*	
		301	*	*		*		350
B-SF162	(283)	ESVEINCTRPN-NNTRKSITIGPGAFYATGDIIGDIRQAHCNISGEKWN						
C-TV1.8.2	(297)	ESVEINCTRPN-NNTRKSVIRIGPGQAFYATNDVIGNIRQAHCNISTDRWN						
C-TV1.8.5	(297)	ESVEINCTRPN-NNTRKSVIRIGPGQAFYATNDVIGNIRQAHCNISTDRWN						
C-TV2.12-5/1	(283)	ESIEIKCTRPG-NNTRKSVIRIGPGQAFYATGDIIGDIRQAHCNISKEWN						
C-MJ4	(289)	ESVEICTRPG-NNTRKSVIRIGPGQAFYATGDIIGDIRQAHCNISKEWN						
IndiaC-93IN101	(291)	QSVEIVCTRPN-NNTRKSIRIGPGQTFYATGDIIGDIRQAHCNISRDKWN						
A-Q2317	(282)	QPVTIKCIREFN-NNTRKSIRIGPGQAFYATGDIIGDIRQAHCNVNTRSWN						
D-92UG001	(294)	ESVEINCTRPPYNQIRQRTSISGQALYTTR-VTGDIRKAYCNISKAGWN						
E-cm235	(288)	KSVEINCTRPS-NNTRKSITIGPGQVFYRTGDIIGDIRKAYCINGTKWN						
Consensus	(301)	ESVEINCTRPN NNTRKSIRIGPGQAFYATGDIIGDIRQAHCNIS KWN						
			*			*	*	
		351		*				*400
B-SF162	(332)	NLTKQIVTKLQAOFGNKT-IVFKQSSGGDPEIVMHSFNCGGEFFYCNTSQ						
C-TV1.8.2	(346)	KTLLQVVMKRLGEHFPNKT-IQFKPHAGGDLITMHSFNCRGEFFYCNTSN						
C-TV1.8.5	(346)	KTLLQVVMKRLGEHFPNKT-IKFEHAGGDLITMHSFNCRGEFFYCNTSN						
C-TV2.12-5/1	(332)	TTLLQVSVKRLQELFPNSTGIKFAPHSGGDLITTHSFNCRGEFFYCNTTD						
C-MJ4	(338)	KILYRVSEKLKEHFPNKT-IQFDQPIGGDLITTHSFNCRGEFFYCNTSK						
IndiaC-93IN101	(340)	ETLQVRVGKLAEHFNHKT-IKFASSGGDLITTHSFNCRGEFFYCNTSG						
A-Q2317	(331)	KTLLQVEAKLRTYFGNKT-IIFANSSGGDLITTHSFNCRGEFFYCNTSG						
D-92UG001	(343)	KTLLQVAKKLGDLFNQTT-IIFKPSSGGDLITTHSFNCRGEFFYCNTSK						
E-cm235	(337)	EVLTVQTEKLKEHFNHKT-IIPQPPSSGGDLITMHHFNCRGEFFYCNTTS						
Consensus	(351)	KTLLQVV KL EHF NKT I F P SGGDLITTHSFNCRGEFFYCNTS						



Figure 2D

	601	*	*	*	650
B-SF162	(571)	RYLKDQQLLGIWGC	SGKLICTTAV	PWNSSWSNKS	LDQIWNMTWQWDERE
C-TV1.8.2	(589)	RYLKDQQLLGIWGC	SGRLICTTAV	PWNSSWSNKS	SEKDIWNMTWQWQDRE
C-TV1.8.5	(591)	RYLKDQQLLGIWGC	SGRLICTTAV	PWNSSWSNKS	EADIWNMTWQWQDRE
C-TV2.12-5/1	(576)	RYLQDQQLLGIWGC	SGKLICTTAV	PWNSSWSNKS	TQSDIWNMTWQWQDRE
C-MJ4	(574)	RYLRDQQLLGIWGC	SGKLICTTAV	PWNSSWSNKS	QSDIWNMTWQWQDRE
IndiaC-93IN101	(586)	RYLKDQQLLGIWGC	SGKLICTTAV	PWNSSWSNKS	QSEIWNMTWQWQDRE
A-Q2317	(575)	RYLRDQQLLGIWGC	SGKLICTTAV	PWNSSWSNKS	LDQIWNMTWQWQDRE
D-92UG001	(588)	RYLQDQQLLGIWGC	SGRHICTTAV	PWNSSWSNKS	IDDIWNMTWQWQDRE
E-cm235	(575)	RYLKQKFLGLGWC	SGKLICTTAV	PWNSSWSNKS	RSYEEIWNMTWQWQDRE
Consensus	(601)	RYLKDQQLLGIWGC	SGKLICTTAV	PWNSSWSNKS	DIWNMTWQWQDRE
	651	*			700
B-SF162	(621)	IDNYTNLIYTLIEES	QNQQEKNQE	LELDKQWASL	NWFDISKWLWYIKI
C-TV1.8.2	(639)	ISNYTGLIYNLLEDS	QNQQEKNQE	LDLDDKWNLN	NWFDISNWPYIKI
C-TV1.8.5	(641)	INNVTETIFRLLDS	QNQQEKNQE	LDLDDKWNLN	NWFDISNWLWYIKI
C-TV2.12-5/1	(626)	ISNYTNTIYRLLDS	QNQQEKNQE	LDLDRNNLN	NWFDISNWLWYIKI
C-MJ4	(624)	ISNYTDTIYRLLDS	QNQQEKNQE	LDLDSWKNL	NWFDISNWLWYIKI
IndiaC-93IN101	(636)	VSNVTNIIYSLLEES	QNQQEKNQE	LDLDSWKNL	NWFDISNWLWYIKI
A-Q2317	(625)	INNYTQLIYRLIEES	QNQQEKNQE	LDLDDKANL	NWFDISNWLWYIKI
D-92UG001	(638)	IDNYTGVIYRLIEES	QNQQEKNQE	LDLDDKQWASL	NWFDISNWLWYIKI
E-cm235	(625)	ISNYTNQIYELTES	QNQQDRNEK	LELDKQWASL	NWFDITKWLWYIKI
Consensus	(651)	ISNYTNLIYRLLDS	QNQQEKNQE	LDLDDKQWASL	NWFDISNWLWYIKI
	701				750
B-SF162	(671)	FIMIVGGLVGLRI	IVFTVLSIVN	VRQGYSP	LSFQTRFPAPRGDP
C-TV1.8.2	(689)	FIMIVGGLIGLRI	IFAVLSIVN	VRQGYSP	LSFQTLTPSPRGDLRGIE
C-TV1.8.5	(691)	FIMIVGGLIGLRI	IFAVLSIVN	VRQGYSP	LSFQTLTPSPRGDLRGIE
C-TV2.12-5/1	(676)	FIMIVGGLIGLRI	IFAVLSIVN	VRQGYSP	LSFQTLTPPNPRGDLRGIE
C-MJ4	(674)	FIMIVGGLIGLRI	IFAVLSIVN	VRQGYSP	LSFQTLTPPNPRGDLRGIE
IndiaC-93IN101	(686)	FIMIVGGLIGLRI	IFAVLSIVN	VRQGYSP	LSFQTLTPPNPRGDLRGIE
A-Q2317	(675)	FIIIVGGLIGLRI	IFAVLSIVN	VRQGYSP	LSFQTLTPPNPRGDLRGIE
D-92UG001	(688)	FIMIVGGLIGLRI	IVFTVLSIVN	VRQGYSP	LSFQTLFPAPRGDP
E-cm235	(675)	FIMIVGGLIGLRI	IFAVLSIVN	VRQGYSP	LSFQTLFPFHREP
Consensus	(701)	FIMIVGGLIGLRI	IFAVLSIVN	VRQGYSP	LSFQTLTP PRGDLRGIE
	751				800
B-SF162	(721)	EEGGERDRDRSSPL	VHGLLAIW	DDLRSCL	FSYHRLRDLILIAARI
C-TV1.8.2	(739)	EEGGEQDRDRSIR	LVSGFLSL	AWDDLRLN	CLFSYHRLRDLILIAAR
C-TV1.8.5	(741)	EEGGEQDRDRSIR	LVSGFLSL	AWDDLRLN	CLFSYHRLRDLILIAAR
C-TV2.12-5/1	(726)	EEGGEQDRDRSIR	LVSGFLTL	AWDDLRLN	CLFSYHRLRDLILIAAR
C-MJ4	(724)	EEGGEQDKDRSIR	LVNGSLAL	AWDDLRLN	CLFSYHRLRDLILIAAR
IndiaC-93IN101	(736)	EEGGEQDKDRSIR	LVNGFLAL	AWDDLRLN	CLFSYHRLRDLILIAAR
A-Q2317	(725)	EEDGEQGRGRSIR	LVSGFLAL	AWDDLRLN	CLFSYHRLRDLILIAAR
D-92UG001	(738)	EEGGEQGRGRSIR	LVNGFSTL	INDDLRLN	CLFSYHRLRDLILIAAR
E-cm235	(725)	EEGGEQGRDRSIR	LVSGFLAL	AWDDLRLN	CLFSYHRLRDLILIAAR
Consensus	(751)	EEGGEQDRDRSIR	LVSGFLAL	AWDDLRLN	CLFSYHRLRDLILIAAR

Figure 2E

	801	850
B-SF162	(771) LGR-----RGWEALKYWGNNLLQYWGLELKNSAVSLFDAIAIAVAEGTD	
C-TV1.8_2	(789) LGHSSLRGLQRGWELKYLGLSLVQYWGLELKKS AISLLDTIAITVAEGTD	
C-TV1.8_5	(791) LGHSSLRGLQRGWELKYLGLSLVQYWGLELKKS AISPLDTIAIAVAEGTD	
C-TV2.12-5/1	(776) LGHSSLRGLQRGWETLKYLGSLVQYWGLELKKSAINLLDTIAIAVAEGTD	
C-MJ4	(774) LGRSSLRGLQRGWELKYLGLSLVQYWGLELKKSISTISLLDTVAIAVAEGTD	
IndiaC-93IN101	(786) LGRSS-----WEALKYLGLSLVQYWGLELKKS AISLFDIAIIVVAEGTD	
A-Q2317	(775) LGHSSLKGLRLGWGKYLWNLLSYWGRELKISAINLVDTIAIAVAGWTD	
D-92UG001	(788) LGR-----RGWEAIKYLWNLLQYWGQELKTS AISLFHATAVAVAEGTD	
E-cm235	(775) LGRSSLKGLRGRGWGLKYLGNLLLYWGQELKIS AISLLDATAIIVAGWTD	
Consensus	(801) LGRSSLRGL RGWEALKYLGLSLVQYWGLELKKS AISLLDTIAIAVAEGTD	
	851	879
B-SF162	(814) RIIEVAQRIGRAFLHIPRRIRQGFERALL	
C-TV1.8_2	(839) RIEELVQRICRAILNIPRRIRQGFEEAALL	
C-TV1.8_5	(841) RIEELVQRICRAILNIPRRIRQGFEEAALL	
C-TV2.12-5/1	(826) RIEFLIQNLRCGIRNVPRIRQGFEEALQ	
C-MJ4	(824) RIEELIQRIWRAICNIPRRIRQGFEEAALL	
IndiaC-93IN101	(829) RIEELVQGFCAIRNIPTRIRQGFEEALQ	
A-Q2317	(825) RVIEIAQRIGRAILHIPVTRIRQGLERALL	
D-92UG001	(831) RVIEVVQRFFRGILNVPTIRQGLERALL	
E-cm235	(825) RVIEVAQGAWRAILHIPRRIRQGLERTLL	
Consensus	(851) RIEELVQRI RAILNIPRRIRQGFEEAALL	

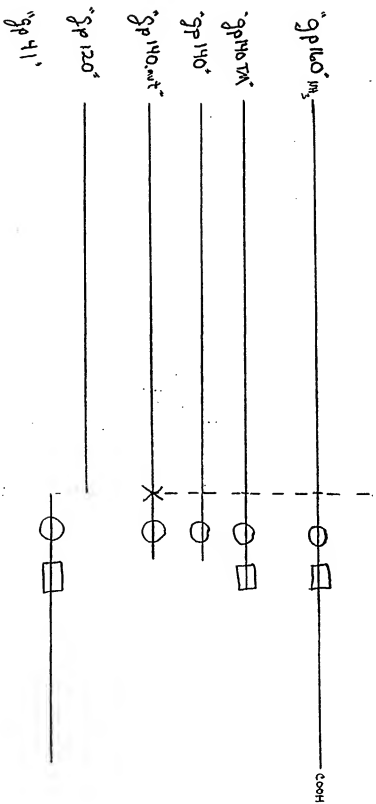


Figure 3



Figure 4

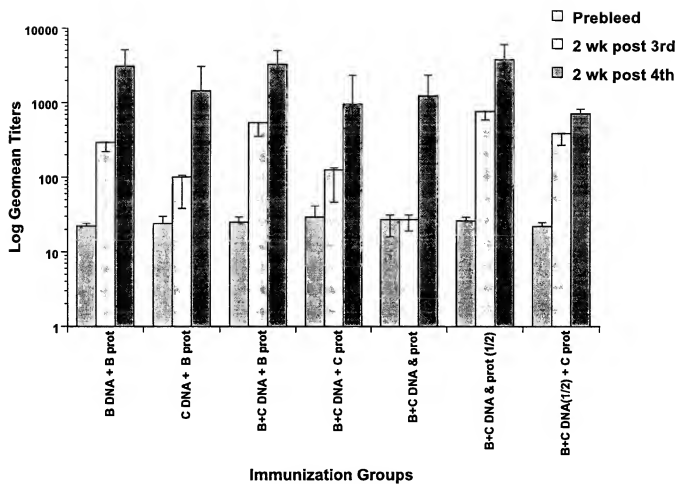
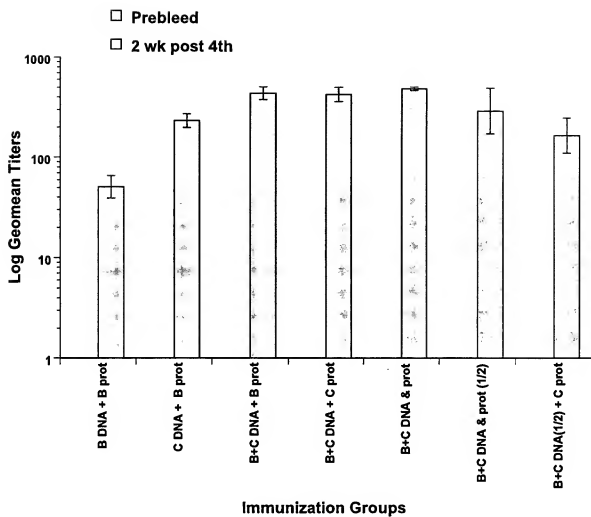


Figure 5



gp140.modSF162.delV2

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gagcgcgagatcgacaactacaccaacctgatctacaccctgatcgaggagagccagaaccagcag  
gagaagaacgagcaggagctgctggagctggacaagtgggcccagccctggaaactggttcgacatc  
agcaagtggctgtggatcatctaacctcgag

Figure 6

gp140.mut7.modSF162.delV2

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cgagcgagagaacttcaccgacaacgccaagaccatcatcgtgcagctgaaggagagcgtggagatc  
aactgcaacccgcccccaacaacaacacccgcaagagcatcaccatcgcccccggccgccccttctac  
gccaccggcgagatcatcggcgagatccgcccaggccccactgcaacatcagcggcgagaagtgsaac  
aacacccctgaagcagatcgtgaccaagctgcaggccccagttcggaacaagaccatcgtgttcaag  
cagagcagcgcgccgagcccgagatcgtgatgcacagcttcaactgcgccgaggttcttctac  
tgcaacagcaccagcgtgttcaacagcacctggaacaacacatcgcccccaacaacacacacggc  
accatcaccttgccttgcgcgatcaagcagatca:caaccgctggcaggaggtgggcaaggccatg  
tacgcccccccccatccgcccagatccgctgcagcagcaacatcacccgcttgcctgctgacccgc  
gacggcgccgaaggagatcagcaacacacacagagatcttccgccccggcgccgagatgcgcgac  
aactggcgagcgagctgtgtacaagtacaagtggtgg:gaagatcgagccccctggcggtggcccccac  
aaggccatcagcagcgtggtgcagagcgagaaagagcgcctgaccctggcgccatgttctctgggc  
ttctctgggcgcgcggcgagcaccatgggcgccccgagcctgaccctgacccgtgcaggcccgccag  
ctgctgagcggcatcgtgcagcagcagaaacaccc:gctgcgcgccatcgaggcccgagcagcactg  
ctgcagctgaccgtgtggggcatcaagcagctgcaggcccgctgctggccgtggagcgtactctg  
aaggaccagcagctgtctgggcatctggggctgcagcggcaagctgatctgcaacaccgcctgccc  
tggaacgccagctggagcaacaagagcctggaccagatctggaacaacatgacctggatggagtgg  
gagcgcgagatcgacaactacaccaaactgatatcacccctgatcgaggagagccggaacagcag  
gagaagaacgagcaggagctgctggagctggacaagtggccagcctgtggaactgggttcgacatc  
agcaagtggctgtggtacatctaaactcgag

Figure 7

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1  gaattcatgc gctgatggg caccagaag aactgccagc agtgggggat ctggggcatc
61  ctgggcttct ggaatgctgat gatctgcaac accgaggacc tgtgggtgac cgtgtactac
121 ggcggtgccc tgtggcgoga cgccaagacc accctgttct gcgccagoga cgccaaggcc
181 taogagaccg aggtgcacaa cgtgtgggcc acccagcctc gcgtgccacc cgacccaac
241 ccccgagaga tcgtgctggg caactgaccc gagaacttca acatgtggaa gaacgacatg
301 gccgaccaga tgcacgagga cgtgatcagc ctgtgggacc agagcctgaa gccttgcgtg
361 aagctgaccc ccctgtgcgt gaccctgaac tgcaccgaca ccaactgac ccatctgcaa
421 accgtgaccg gcaacagcac caacaacacc aacggcaccc gcatctgcaa catcagggag
481 atgaagaact gcagcttcaa cgccggcgcc ggccgctga tcaactgcaa caccagcacc
541 atcaccacgg cctgcccaca ggtgagcttc gaccccatcc ccatccacta ctgcgcccc
601 gccggctacg ccatcctgaa gtgcaacaac aagaccttca acggcacccg cccctgtctac
661 aacgtgagca ccgtgcagtg caccacggcc atcaagcccg tggtagacac ccagctgtctg
721 ctgaacggca gcctggccga ggagggaatc atcatccgca gcgagaacct gaccgagaa
781 accaagacca tcactgtgca cctgaacgag agcgtggaga tcaactgcac ccgccccaa
841 aacaacaccc gcaagagcgt gcgcacccgc ccggccagg ccttctacgc caccacgac
901 gtgatcgcca acatccgcca ggcccaactgc aactcagca ccgaccgctg gaacaagacc
961 ctgcagcagg tgatgaagaa gctggcgag cacttcccca acaagacctt ccagttcaag
1021 cccacgcccg cgccgacact ggagatcacc atgcacagct tcaactgccg cggcgagttc
1081 ttctactgca acaccagcaa cctgttcaac agcacctacc acagcaacca cggcacctac
1141 aagtacaacg gcaacagcag cagcccatc accctgcagt gcaagatcaa gcagatcgtg
1201 cgcattgtggc agggcgtggg ccaggccacc tacgcccccc ccattgcggg caacatcacc
1261 tgcgcagaca acatcacccg catcctgctg acccgcgacg gcggtctcaa caccaccaac
1321 aacaccgaga ccttccgccc cgccggcgcc gatcagccc ctgggcatcg cccccaacca ggccaagcgc
1381 tacaagtaca agtggtggga gatcaagccc ctgggcatcg cccccaacca ggccaagcgc
1441 cgcgtgggtg agcgcgagaa gcgcgcgctg ggcattcgcg ccgtgttctc gggcttcccg
1501 ggcccgcccg gcagcaccat ggccgcgccc agcatcacc tgaccgtgca ggcccgccag
1561 ctgctgagcg gcactgtgca gcagcagagc aacctgctga aggccatga ggccagcag
1621 cacatgtctg agctgaacct gtggggcatc aagcagctgc aggcgcgct gctggccatc
1681 gagcgctacc tgaaggacca gcagctgctg ggcattcggg gctgcagcgc cgcctgatc
1741 tgcaccaccc ccgtgcccct gaacagcagc tggagcaaca agagcgagaa ggacatctgg
1801 gacaacatga cctggatgca gtgggacccg gacatcagca actacaacgg cctgatctac
1861 aacctgctgg aggacagcca gaaccagcag gagaagaacg agaaggacct gctggagctg
1921 gacaagtggg acaacctgtg gaactggctt gacatcagca actggccctg gtacatctaa
1981 ctcgag

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Figure 8

gp140mod.TV1.mut7.delV2

1 gaattcatgc gcgatgaggg caoccagaag aactgccagc agtgggtggat ctggggcattc  
61 ctgggcttct ggatgctgat gatctgaac accgaggacc tgggggtgac cgtgtactac  
121 ggcgtgcccgt tggggcgaca cgccaagacc accctgttct gcggcaggca cgccaaggcc  
181 tacgagaccg aggtgcacaa cgtgtgggccc accccagcct gcgtgcccac cgaccccaac  
241 cccaggaga tctgtctggg caacgtgacc gagaactca acatgtgaa gaacgacatg  
301 gccgaccaga tgcacgagga cgtgatcagc ctgtgggacc agagcctgaa goocctgcgtg  
361 aagctgaccc cccgtgtcgt gacctgaac tgcaccgaca ccaactgtac cggcaacgcg  
421 accgtgaccc gcaacagcac caacaacacc aacggcaccc gcatctaca catcgaggag  
481 atgaagaact gcagcttcaa gcccgggccc ggccgcctga tcaactgcaa caaccgaccc  
541 ataccaccag cctgcccaca ggtgagcttc gacccaatcc ccataccta ctgcgcccc  
601 gccggtacg ccactctgaa gtgcacaac aagaccttca acggcacccg cccctgctac  
661 aacgtgagca ccgtgcagtg caccacaagg atcaagccc tgggtgagac ccagctcctg  
721 ctgaacggca gccctggcca ggaggggcatt atcatccgca gcgagaacct gaccgagaa  
781 accaagacca tcatctgca cctgaacgag agcggtggga tcaactgac ccgcccacaac  
841 aacaacaccc gcaagagcgt gcgcactggc cccggccagg ccttctacgc caccaacgac  
901 gtgatcgga acatcgcga gccccactgc aacatcgca ccgacgcgtg gaacaagacc  
961 ctgcagcagg tgatgaagaa gctggggcag cacttcccca acaagacct ccagtcaag  
1021 cccacgccc ggcggcgacct ggagatcacc atgcacagct tcaactgcg cggcgagttc  
1081 ttctactga acaccgcaa cctgttcaac agcaactacc acagaacaa cggcatctac  
1141 aagtacaacg gcaacagcag cagcccatc accctgcagt gcaagatcaa gcagatcgtg  
1201 cgcattgtgc agggcgtggg ccaggccacc tacgcccccc ccactgccgg caacatacc  
1261 tgcgcagca acatcacccg catctgtctg acccgagac gggcttcaa caccaccaac  
1321 aacaccgaga ccttcggccc cggcgccggc gacatcgccg acaactggc cagcgagctg  
1381 tacaagtaca aggtgtggga gatcaagccc ctgggcattg cccccacca ggcctatcgc  
1441 agcgtgtgtc agagcgagaa gagcgccgtg ggcactggcg cctgttct gggcttctg  
1501 ggcggccggc gcagcaccat gggcgccggc agcatcacc tgacctgca ggcggccag  
1561 ctgtctagcg gcactgtgca gcagcagagc aactcgtga aggcactga ggcggcag  
1621 cacatgtgc agctgaacct gtggggcatt aagcagctgc aggcggcgt gctggocatc  
1681 gagcgtacc tgaaggacca gcagctgtct ggcacttgg gctgcagcg ccgacctgac  
1741 tgcaccaccg ccgtgccctg gaacagcagc tggagcaaca agagcgagaa ggacatctgg  
1801 gacaacatga cctggtatga gtggggaccg gatatcgca actacacgg cctgatctac  
1861 aactgtctgg aggacagcca gaaccgcag gagaagaac agaagacct cgtgagcgtg  
1921 gacaagtga acaacctgtg gaactgttc gacatcgca actggccctg gtacatctaa  
1981 ctgcag

Figure 9

**FIGURE 10**  
gp160mod.Q23-17

1	ATGCGCGTGA	TGGGCATCCA	GCGCAACTGC	CAGCACCTGC	TGACCTGGGG	CATCATGATC
61	CTGGGCAACA	TCATCTTCTG	CAGCGCCGTG	GAGAACTGT	GGGTGACCGT	GTACTACGGC
121	GTGCCCCGTG	GGCGCGAGCG	CGACACCACC	CTGTTCTGCG	CCAGCGACGC	CAAGGCTTAC
181	GAGACCGAGA	AGCAACAAGT	GTGGGCCACC	CACGCTTGCG	TGCCACCGA	CCCCAACCCC
241	CAGGAGATCC	ACCTGGACAA	CGTGACCGAG	AAGTTCAACA	TGTGGAAGAA	CAACATGGTG
301	GAGCAGATGC	ACACCGACAT	CATCAGCCTG	TGGGACCAGA	GCTTGAGCCG	CTGCGTGAAG
361	CTGACCCCCC	TGTGCGTGAC	CCTGCATCTG	ACCAACGTGA	CCAGCGTGAA	CACCAACGGC
421	GACCCGAGGG	GCCTGAAGAA	CTGCAGCTTC	AACATGACCA	CCGAGCTGGC	CGACAAGCGC
481	CAGAAGGTGT	ACAGCCTGTT	CTACCGCCTG	GACATCGTGT	CCATCAACGA	GAACCAAGGG
541	AGCGAGTACC	GCCTGATCAA	CTGCAACACC	AGCGCATCA	CCGAGGCTGT	CCCCAAGGTG
601	AGCTTCGAGC	CCATCCCCAT	CCACTACTGC	ACCCCGCCGC	GCTTCGCCAT	CCTGAAGTGC
661	AAGGACGAGG	GCTTCAACGG	CACCGGCCCT	TGCAAGAAGC	TGAGCACCGT	GCAGTGCACC
721	CACGGCATCA	AGCCCCGTGT	GAGCACCCAG	CTGCTGCTGA	ACGGCAGCCT	GGCCGAGAAG
781	AACATCACCA	TCCGCAGCGA	GAACATCACC	AACAACGCCA	AGATCATCAT	CGTGCAGCTG
841	GTGACGCCCC	TGACCATCAA	GTGCATCCGC	CCCCAACACA	ACACCCGCAA	GAGCATCCGC
901	ATCGGCCCCG	GCCAGGCCCT	CTAGCCACCC	GGCGACATCA	TCGGCGACAT	CCGCCAGGCC
961	CACGTGCAAC	TGACCCGCAG	CCGCTGGAAC	AAGACCTGCG	AGGAGGTGGC	CGAGAACTGT
1021	CGCACTTACT	TCGGCAACAA	GACCATCATC	TTGCCAACAA	GCAGCGGCGG	CGACCTGGAG
1081	ATCAACCACC	ACAGCTTCAA	CTGCGCGCGC	GAGTTCTTCT	ACTGCAACAC	CGACGGCCTG
1141	TTCAACAGCA	CCTGGTACGT	GAACAGCACC	TGGAACGACA	CCGACAGCAC	CCAGGAGAGC
1201	AACGACACCA	TCACCCCTGCC	CTGCCGCATC	AAGCAGATCA	TCAACATGTG	GCAGCGCGCC
1261	GGCCAGGCCA	TGTACGCCCC	CCCCATCCCC	GGCGTGATCA	AGTGCAGAGC	CAACATCACC
1321	GGCCTGCTGT	TGACCCGCGA	CGGCGGCAAG	GACAACAACG	TGAACGAGAC	CTTCGCCCCC
1381	GGCGGCGGCG	ACATGCGCGA	CAACTGGCGC	AGCGAGCTGT	ACAAGTACAA	GGTGGTGGAG
1441	ATCGAGCGCC	TGGGCGTGGC	CCCCACCCGC	GCCAAGCGCC	CGGTGGTGGA	CGCGGAGAAG
1501	CGCGCGTGGT	GCATCGGCGC	CGTGTCTCTG	GGCTTCTCTG	GCGCCGCGCG	CAGCACCATG
1561	GGCGCCACCA	GCATCACCCCT	GACCGTGCAG	GCCCCGCCAGC	TGCTGAGCGG	CATCTGTCAG
1621	CAGCAGAACA	ACCTGTCTGCG	CGCCATCGAG	GCCCAGCAGC	ACCTGCTGAA	GCTGACCGTG
1681	TGGGGCATCA	AGCAGCTGCA	GGCCCCGCTG	CTGGCCGTGG	AGCGCTACCT	CGCGGACCAG
1741	CAGCTGCTGG	GCATCTGGGG	CTGCAGCGGC	AAGCTGATCT	GCACCACCAA	CGTGCCCTGG
1801	AACAGCAAGT	GGAGCAACAA	GAGCCTGGAC	GAGATCTGGA	ACAACATGAC	CTGGCTGCAG
1861	TGGGACAAGG	AGATCAACAA	CTACACCCAG	CTGATCTACC	GCTGTGATCA	GGAGAGCCAG
1921	AACAGCAGG	AGAAGAACGA	GAAGGAGCTG	CTGGAGCTGG	ACAAGTGGCG	CAACCTTGTG
1981	AGCTGGTTCT	ACATCAGCAA	CTGGCTGTGG	TACATCAAGA	TCCTCATCAT	CATCTGGGGC
2041	GGCTGTATCG	GCCTGCGCAT	CGTGTTCGCC	GTGCTGAGCG	TGATCAACCG	CGTGGCCGAG
2101	GGCTACAGCC	CCCTGAGCTT	CCAGACCCAC	ACCCCCAACC	CCCGCGGCTC	GGACCGCCCC
2161	GAGCGCATCG	AGGAGGAGGA	CGCGGAGCAG	GGCCGCGGCC	GCAGCATCCG	CTTGTTGAGC
2221	GGCTTCTCTGG	CCCTGGCCTG	GGACGACCTG	CGCAGCCTGT	GCTGTTTCAG	CTAACCCGCG
2281	CTGCGGAGAT	TCATCTTGAT	CGCCGCGCCG	ACCGTGGAGC	TGCTGGGGCA	CAGCAGCCTG
2341	AAGGGCCTGC	GCCTGGGCTG	GGAGGGCATC	AAGTACCTGT	GGAACTTGCT	GAGCTACTGG
2401	GGCCGCGAGC	TGAAGATCAG	CGCCATCAAC	CTGTTGGACA	CCATCGGCAT	CGCCGTGGCC
2461	GCGTGGACCG	ACCGCGTGAT	CGAGATCGCC	CAGCGCATCG	GGCCGCCCAT	CCTGCACATC
2521	CCCGTGCGCA	TCCGCCAGGG	CCTGGAGCGC	GCCCTGCTGT	AA	

**FIGURE 11**  
gp160mod.98UA0116

1	ATGAAGGCC	GCGGCATGCA	GCGCAACTAC	CAGCACCTGT	GGCGCTGGGG	CACCATGCTG
61	TTCTGGATGA	TCATCATGTG	CAAGGCGCCG	GAGAACCTGT	GGGTGACGCT	GTACTACGGC
121	GTGCCCCTGT	GCGCGCAGCG	CGAGACCACC	CTGTTCCTGC	CGAGCGACCG	CAAGGCCTAC
181	GACAAGGAGG	TGCACAACGT	GTGGGCCACC	CAGGCCTCGG	TGCCACCAGA	CCCCAGCCCC
241	CAGGAGATCA	TCCTGGAGAA	CGTGACCGAG	AAGTTCAACA	TGTGGAAGAA	CAACATGGTG
301	GAGCAGATGC	AGACCAGCAT	CATCAGCCTG	TGGGACCAGA	GCCTGAAGCC	CTCGCTGAAG
361	CTGACCCCC	TGTGCGTGAC	CCTGAACCTG	GCCGGCCCCA	GCAGCAACAA	CAGCAACGTG
421	AACAGCAACA	GCAACGACAA	CTGGAGCGAG	GAGATGAAGA	ACTGCAGCTT	CAACATGACC
481	ACCGAGCTGC	GCGACAAAGC	CAAGACCGTG	CACAGCCTGT	TCTACAAGCT	GGACATCGTG
541	AGCACCGGCA	GCAACGACAG	CGCGCAGTAC	CGCCTGATCA	ACTGCAACAC	CAGCGCCATG
601	ACCCAGGCCT	GCCCCAAGGT	GACCTTCGAG	CCCATCCCCA	TCCACTACTG	CGCCCCCGCC
661	GGCTTCGCGA	TCCTGAAGTG	CAAGGACACC	AACCTTACCG	GCACCGGCCC	CTGCAAGGAC
721	GTGAGCACCG	TGCAGTGCA	CCACGGCACC	AAGCCCGTGG	TGAGCACCCA	GCTGCTGCTG
781	AACGGCAGCC	TGGCCGAGAA	GGAGGTGATG	ATCCGCAGCG	AGAACATCAC	CGACAACGGC
841	AAGATCATCA	TCGTGCAGCT	GACCGAGCCC	GTGAACATCA	CCCGCATCCG	CCCCGGCGAG
901	AACAAGCGCA	CCAGCATCCG	CATCGGCCCC	GGCCAGACCT	TCTACGCCAC	CGGCGACGTG
961	ATCGGCGACA	TCCGCAAGGC	CTACTGCAAC	GTGAGCCGCG	CCGCCTGGAA	CAGCACCTGT
1021	CAGAAGATCA	GCACCCAGCT	GCGCCAGTAC	TTCAACAACA	AGACCATCAT	CTTCAAGAAC
1081	AGCAGCGGCG	GCGACCTGGA	GGTGACCACC	CACAGCTTCA	ACTGCGGGCG	CGAGTTCTTC
1141	TACTGCAACA	CCACCAGCCT	GTTCAACAGC	ACCTGGAACG	AGCAGCGCCC	CGTGACCAAC
1201	AGCACCATGG	CCAACGGCAC	CATCACCTTG	CCCTGCCGCA	TCAAGCAGAT	CATCAACATG
1261	TGGCAGCGCG	TGGGCCAGGC	CATGTACGCC	CCCCCATCG	AGSGCAACAT	CCGCTGCGAG
1321	AGCAACATCA	CGGCGCTGCT	GCTGACCCGC	GACGGCGGCA	GGGGCGCCAA	CAGCAGCAAG
1381	GAGACCTACC	GCCCCATCGG	CGGCAACATG	CGCGACAAC	GGCGCAGCGA	GCTGTACAAG
1441	TACAAGGTGG	TGAAGATCGA	GCCCATCGCG	GTGGCCCCCA	CCAAGGCCAA	GGCGCGCGTG
1501	GTGGAGCGCG	AGAAGCGCGC	CATCGGCTTG	GGCGCGGCTT	TCCTGGGCTT	CTTGGGCGCC
1561	GCGCGCAGCA	CCATGGGCGC	CGCCAGCATG	ACCCTGACCG	TGCGAGCCCG	CCAGCTGCTG
1621	AGCGGCATCG	TGCAGCAGCA	GAGCAACCTG	CTGCGGCCCA	TCGAGGCCCA	CGACGACCTG
1681	CTGAAGCTGA	CCGTGTGGGG	CATCAAGCAG	CTGCAGGCCG	CGGTGTGCGC	CGTGAGCGCG
1741	TACCTGAAGG	ACCAGCAGCT	GCTGGGCATC	TGGGGCTGCA	CGCGCAAGCT	GATCTGCACC
1801	ACCAACGTGT	CCTGGAACAG	CAGCTGGAGC	AACAAGAGCC	AGAGCGAGAT	CTGGGGCAAC
1861	ATGACCTGGA	TGCAGTGGGA	CCGCGAGGTT	ATCAACTACA	CCAACATCAT	CTACGACCTG
1921	ATCGAGGAGA	GCCAGAACCA	CGAGGAGAAG	AACGAGCAGG	ACCTGCTGGC	CTTGGAACAAG
1981	TGGGCCAGCC	TGTGGAGCTG	GTTGACATC	AGCAACTGGC	TGTGTTACAT	CAAGATCTTC
2041	ATCATCATCG	TGGGCGGCCCT	GATCGGCCCTG	CGCATCGTGT	TGCGGTGTGCT	GAGCATCATC
2101	AACCGCGCGC	GCCAGGGCTA	CAGCCCCCTG	AGCCTGCAGA	CCCTGACCCC	CCACCCCGAG
2161	GGCCCCGACC	GCCCCGGCCG	CATCAAGGAG	GAGGGCGGGG	AGCAGGACCG	CGAGCCGACG
2221	ATCCGCGCTG	TGAGCGGCTT	CCTGGCCCTG	GCCTGGGACG	ACCTGCGCAG	CCTGTGCTGT
2281	TTACAGTACC	GCGCGCTGCG	CGACTTCATC	AGCATGCGCG	CCCGCACCGT	GGAGCTGCTG
2341	GGCCGACGCA	GCCTGAAGGG	CCTGCGCCTG	GGCTGGGAGG	GCCTGAAGTA	CCTGGGCAAC
2401	CTGCTGGGCT	ACCGCGGCCA	GGAGCTGAAG	AGCAGGCCCA	TCAACCTGAT	CGACACCATC
2461	GCCATCGCGC	TGGCCGGCTG	GACCGACCGC	GTGATCGAGA	TCGGCAGCGC	CTTCTGCGCG
2521	GCCATCCGCA	ACATCCCCCG	CCGCAATCCG	CAGGGCGCGG	AGCGCGCCCT	CGAGTAA



**FIGURE 12**  
gp160mod.SE8538

1	ATGCGCGTGA	AGGGCATCCA	GCGCAACAGC	CAGCACCTGC	TGCGCTGGGG	CACCATGATC
61	CTGGGCGATGA	TCATCATCTG	CAGCACCGCC	GACAGCTGT	GGGTGACCGT	GTACTACGGC
121	GTGCCCCGTGT	GGAAAGGACG	CGAGACCACC	CTGTTCTGCG	CGAGCGACCG	CAAGCGCTTAC
181	GACACCGAGG	TGCACAACGT	GTGGGCGACC	CACGCCTGCG	TGCCACCGGA	CCCCAACCCC
241	CAGGAGCTGC	ACCTGGCCAA	CGTGACCGAG	GAGTTCACAA	TGTGGAAGAA	CACGATGGTG
301	GAGCAGATGC	ACACCGACAT	CATCAGCCTG	TGGGACAGGA	GCCTGATCCC	CTCGGTGAAG
361	CTGACCCCCC	TGTGCGTGAC	CCTGGAGTGC	AACGACTACA	ACTACAACGT	GACCAACAGC
421	AGCCACGAGT	ACAACGTGAC	CAACATGCAG	GAGATGAAGA	ACTGCAGCTT	CAACGTGACC
481	ACCGAGCTGC	GCGACAAGCG	CCAGAAGGTG	ACGAGCCTGT	TCTACAAGCT	GGACGTGGTG
541	CCCATCGGGG	GCAACGACAC	CAACAGCACCC	CAGTACCGCC	TGATCAACTG	CAACACCAGC
601	GCCATCACCC	AGGCCTGCCC	CAAGGTGACC	TTGAGGCCCA	TCCCCATCCA	CTACTGCGCC
661	CCCGCCGGCT	TCGCCATCCT	GAAGTGCCGC	GACGAGAAGT	TCAACGGCAC	CGGCCCTTGC
721	AAGAACGTGA	GCACCGTGCA	GTGCACCCAC	GGCATCAAGC	CCGTGGTGAG	CACCCAGCTG
781	CTGCTGAACG	CGAGCCTGGC	CGCGGAGAAG	GTGATGATCC	CGACGAGAA	CATCACCAAC
841	AACGTGAAGA	ACATCATCGT	GCAGCTGAAG	GAGCCCGTGG	AGATCAACTG	CACCCGCCCC
901	GGCAACAACA	CCGCAAGAG	CATCCGCATC	GGCCCCGGCC	AGGCCTTCTA	CGCCACCGGC
961	GAGGTGATCG	GCGACATCG	CCAGGCCAC	TGCAACGTGA	GCCGCGCCAA	GTGGAACAAG
1021	ACCCTGCACG	AGGTGGCCAA	GCAGTGGCG	ACCTACTTCA	ACAACAAGAC	CATCATCTTC
1081	ACCAACAGCA	GCGCGGCCGA	CCTGGAGATC	ACCACCCACA	CCGTGAAGCT	CGCGCGCGAG
1141	TTCTTTACT	GCAACACCAG	CGGCCTGTTT	AACAGCACCT	GAGCAGCAAA	CGCCAGCGAG
1201	CCCATGAGCA	ACAGCACCGA	GAGCAACGAC	ACCATCACCC	TGCAGTCGCG	CATCCGCGAC
1261	ATCATCAACA	TGTGGCAGCG	GCCCGGCAAG	GCCATCTACG	CCCCCCCCAT	CCCCGGCATC
1321	ATCAAGTGGC	TGAGCAACAT	CACCGGCTGT	ATCCTGACCC	GCGACGGCGG	CAGCAACCAAC
1381	AGCACCAACG	AGACCTTCCG	CCCCGGCGGC	GGCGACATGC	GCGCAACATG	GCGCAGCGCG
1441	CTGTACAAGT	ACAAGTGGT	GAAGATCGAG	CCCTTGGGCG	TGGCCCCCAC	CAAGGCGCAT
1501	CGGCCGCTGG	TGGAGCGCGA	GAAGCGCGCC	ATCGGCATCG	GCGCGGTGTT	CATCGGCTTC
1561	CTGGGCGCGC	CCGGCAGCAC	CATGGCGGCC	GCCAGCATCA	CCCTGACCGT	GCAGGCCCCG
1621	CAGCTGCTGA	GCGGCATCGT	GCAGCAGCAG	AGCAACCTGC	TGCGCGCCAT	CGAGGCCACG
1681	CAGCACTTGC	TGAAGCTGAC	CGTGTGGGGC	ATCAAGCAGC	TGCAGGCCCG	CGTGTCTGGCC
1741	GTGGAGCGCT	ACCTGAAGGA	CCAGCAGCTG	CTGGGCATCT	GGGGCTGCAG	CGGCAAGCTG
1801	ATCTGCACCA	CCAACGTGCC	CTGGAACAGC	AGCTGGAGCA	ACAAGAGCCA	GAGCGAGATC
1861	TGGGACAACA	TGACCTGGCT	GCAGTGGGAC	AAGGAGATCA	GCAACTACAC	CCAGACCATC
1921	TACCGCCTGA	TCGAGGAGAG	CCAGAACCAG	CAGGAGAAGA	ACGAGCAGGA	CCTGCTGGCC
1981	CTGGACAAGT	GGGCCAGCCT	GTGGAACCTG	TTGACATACA	GCCGCTGGCT	GTGGTACATC
2041	CGCATCTTCA	TCATGATCGT	GGCGGCGCTG	ATCGGCTGCG	GCATCGTGTT	CGCCGTGCTG
2101	AGCGTGATCA	ACCGCGTGCG	CCAGGGCTAC	AGCCCCCTGA	GCTTCCAGAT	CCACACCCCC
2161	AACCCCGGCG	ACCTGGACCG	CCCCGGCGCG	ATCGAGGAGG	AGGGCGCGGA	GCAGGACCGC
2221	GGCCGCGACA	TCGCGCTGGT	GAGCGGCTTC	CTGGCCCTGG	CCTGGGACGA	CCTGCGCAGC
2281	CTGTGCTGT	TCAGCTACCA	CGCGCTGCGC	GACTTCATCC	TGATCGCCCG	CGCAGCCGTG
2341	GAGCTGCTGG	GCCAGCGCGG	CTGGGAGGGC	CTGAAGTACC	TGTGGAACCT	GCTGTGTGAC
2401	TGATCCGCGG	AGCTGAAGAT	CAGCGCCATC	AGCCTGCTGG	ACACCATFCG	CATCGCGCTG
2461	GCGGCTGGA	CCGACCCTGT	GATCGAGCTG	GCGCAGCGCC	GTGCGCGCG	CATCTGCAAC
2521	ATCCCCGTGC	GCATCCGCCA	GGGCTTCGAG	GCGGCCCTGC	TGTAA	

**FIGURE 13**  
gp160mod.UG031

1	ATCGCGGTGC	GCGGCATCCA	GACCAGCTGG	CAGAACCTGT	GCGCTGGGG	CACCATGATC
61	CTGGGCGATGC	TGATGATCTA	CAGCGCCGCC	GAGAACCTGT	GGGTGACCGT	GTACTACGGC
121	GTGCCCGTGT	GGAAGGACGC	CGAGACCACT	CTGTCTTGGC	CGACGCACCG	CAAGCGCTAC
181	GACACCGGAG	TGCACAAGCT	GTGGGCGACC	CACGCTCGCG	TGCCACCGGA	CCCCAACCCC
241	CAGGAGATCC	ACCTGGAGAA	CGTGACCGAG	GACTTCAACA	TGTGGAAGAA	CAACATGGTG
301	GAGCAGATGC	ACACCGACAT	CATCAGCTTG	TGGGACAGGA	GCCTGAAGCC	TCGCTGGAG
361	CTGACCCCCC	TGTGCGTGAC	CCTGGACTGC	CTGAACGCCA	CCCTGAACGC	CACCGCCCCC
421	AACGTTGACCA	AGAACATGGA	GCGCGAGATG	AAGAATCTGA	GCTACACACT	CACCCCGAG
481	CTGAAGGACA	AGAAGCAGCA	GGTGACAGC	CTGTTCTACA	AGCTGGAAGT	GGTGACAGT
541	AACGAGAAGA	ACAAGACCAA	CAAGTACCGC	CTGATCAACT	GCAACACCGA	CGCCATCACC
601	CAGGCCCTGCC	CCAAGGTGAG	CTTCGAGCCC	ATCCCCATCC	ACTACTGCGC	CCCCGCCGGC
661	TTCGCCATCC	TGAAGTGCAA	GGACACCGAG	TTCAACGGCA	CCGGCCCCCTG	CAAGAAGCTG
721	AGCACCGTGC	AGTGCAACCA	CGGCATCCGC	CCCGTGATCA	GCACCCAGCT	GCTGCTGAAC
781	GGCAGCCTGG	CCGAGGGCGG	CATCCAGATC	CGCAGCGAGA	ACATCACCAA	CAACGCCAAG
841	ACCATCATCG	TGCAGCTGGA	CAAGGCCGTG	AAGATCAACT	GCACCCGCCC	CAACAACAAC
901	ACCCGCAAGA	GCGTGCGCAT	CGGCCCCGGC	CAGGCCTTCT	ACGCCACCGG	CGACATCATC
961	GGCGACATCC	GCCAGGCCCA	CTGCAACGTG	AGCCCGGCCA	AGTGAACGCA	GACCTTGGCG
1021	GGCATTCGCCA	AGAAGCTGAG	CGAGCACTTC	AAGAACAAGA	TCATCATCTT	CGAGAAGAGC
1081	AGCGCGCGCG	ACATCGAGAT	CACCACCCAC	AGCTTCAACT	GCGCGCGCGA	GTCTTCTTAC
1141	TGCAACACCA	GCGGCTGTT	CAACGGCAC	TGGAAGCCCA	ACAGCACCGA	GAGCAACAAC
1201	ACCACCCCCA	ACGACACCAT	CACCCTGACC	TGCCGCATCA	AGCAGATCAT	CAACATGTGG
1261	CAGAAGGTGG	GCCAGGCCAT	GTACGCCCCC	CCCATCCAGG	GCGTGATCCG	CTCGGAGAGC
1321	AACATCACCG	GCCTGTGCT	GACCCGCGAC	GGCGGCATCA	ACAGCATCAA	CGAGACCTTC
1381	CGCCCCGGCG	CGGGCAACAT	GCGCGACAAC	TGGCGCAGCG	AGCTGTACAA	GTACAAGGTG
1441	TGAAGATCG	AGCCCCGTGG	CGTGGCCCCC	AGCCCGGCCA	AGCGCCGCGT	GGTGGAGCGC
1501	GAGAAGCGCG	CCGTGGGCAT	CGCGCCCTGT	TTCTGGGCT	TCCTGGGCGC	CGCCGGACAG
1561	ACCATGGCGG	CCGCCAGCAT	CACCCTGACC	GCCCAGGCCC	GCCAGCTGCT	GAGCGGCATC
1621	GTGCAGCAGC	AGAGCAACCT	GCTGCGCGCC	ATCAAGGCCC	AGCAGCACAT	GCTGAAGCTG
1681	ACCGTGTGGG	GCATCAAGCA	GCTGCAGGCC	CGCGTGCTGG	CCGTGGAGCG	CTACCTGAAG
1741	GACACGACGC	TGCTGGGCAT	CTGGGGCTGC	AGCGGCACGC	TGATCTGCAC	CACCAACGTG
1801	CCCTGGAACA	CGAGCTGGAG	CAACAAGAGC	ATGAACGAGA	TCTGGGACAA	CATGACCTGG
1861	CTGAGTGGG	AGAAGGAGAT	CAGCAACTAC	ACCCAGCTGA	TCTACAACCT	GATCGAGGAG
1921	AGCCAGAAC	AGCAGGAGAA	GAACGAGCAG	GACCTGCTGG	CCCTGGACAA	GTGGGCCAGC
1981	CTGTGGAAT	GGTTCGACAT	CAGCCGCTGG	CTGTGTGTACA	TCAAGATCTT	CATCATGATC
2041	GTGGGCGGCC	TGATCGGCC	CGGCATCGTG	TTCCGCGTGC	TGAGCGTGAT	CAACCCTGGT
2101	CGCCAGGGCT	ACAGCCCCCT	GAGCTTCCAG	ATCCGCACCC	CCAACCCCGA	GGAGCCCGAC
2161	CGCTTGGGCC	GCATCGGCCA	GAGGGCGGCG	GAGCAGGACC	GCGACCCGAG	CATCCGCTGT
2221	GTCAGCGGCT	TCCTGGCCCT	GGCCTGGGAC	GACCTGCGCA	GCCTGTGCTC	GTTCAGCTAC
2281	CACCGCTGCG	CGCACTTCAT	CAGCATCGCC	GCCCGCACCG	TGGAGCTGCT	GGGCCACAGC
2341	AGCTTGAAGG	GCCTGCGCCT	GGGCTGGGAG	GGCCTGAAGT	ACCTGTGGAA	CCCTGTGCTG
2401	TACTTGGGGC	TGGAAGCTGAA	GACCAAGCGC	GTGAACCTGG	TGGACACCAT	CGCCATCGCC
2461	GTGGCCGGCT	GGACCGACCG	CGTGATCGAG	ATCGGCCAGC	GCATCTTCGC	CGCATCTCTG
2521	AACATCCCCC	GCCGCATCCG	CCAGGGCCTG	GAGCGCGGGC	TGCTGTAA	

**FIGURE 14**  
gp160mod.92UG001

1	ATGCGCGTGC	GCGAGATCGA	GCGCAACTAC	CTGTGCTGTG	GCGCGTGGGG	CATCATGCTG
61	CTGGGCGATGC	TGATGACCTA	CAGCGTGGCC	GAGAAGAAGT	GGGTGACCGT	GTACTACGGC
121	GTGCGCCGTGT	GGAAAGGAGC	CACCACCAAC	CTGTTCTGCG	CCAGCGACGC	CAAGAGCTAC
181	AAGACCCAGG	TGCACAACAT	CTGGGCGACC	CACGCTGTGG	TGCCACCAGA	CCCCAACCCC
241	CGCGAGATCG	AGCTGGAGAA	CGTGACCGAG	AACCTCAACA	TGTGGAAGAA	CAACATGGTG
301	GAGCAGATGC	ACGAGGACAT	CATCAGCCTG	TGGGACACGA	GCGTGAAGCC	CTGCGTGAAG
361	CTACCCCCCC	TGTGCGTGAC	CCTGAACCTG	ACCGACGCCC	GCCGCAACGA	GACCCGCGAC
421	AACATCACCG	GCATGGAGAA	CAACGACCCG	ATCGAGATGA	AGAAGCTGCAG	CTTCAACATC
481	ACCACCAAGC	TGATCGACAA	GAAGAAGCAG	GTGCAGCGCC	TGTTCTACCG	CCTGGACGTG
541	GTGCAGATCG	ACAACGACAC	CAGCAACAGC	AACCTACAGCA	ACTACCGCCT	GATCAACTGC
601	AACACCCAGC	CCATCACCCA	GGCCTGCCCC	AAGGTGACCT	TCGAGCCCAT	CCCCATCCAC
661	TACTGCGCCC	CGCGCGGCTT	CGCCATCCTG	AAGTGCCGCG	ACAAGAAGTT	CAACGGCACC
721	GGCCCCTGCA	AGAAGCTGAG	CACCGTGAG	TGCACCCACG	GCATCGCCCC	CGTGTGAGC
781	ACCACGCTGC	TGCTGAACGG	CAGCCTGGCC	GAGGAGGAGA	TCATCATCCG	CAGCGAGAAC
841	CTGACCAACA	ACGCCAAGAC	CCTGATCGTG	CAGCTGAAGC	AGAGCGTGGG	GATCAACTGC
901	ACCCGCCCCCT	ACTACAACCA	GATCCGCCAG	CGCACCAACA	TCGGCCAGGG	CCAGGCCCTG
961	TACACCAACC	CGGTGACCGG	CGACATCCCG	AAGGCCCTACT	GCAACATCAG	CAAGGCCCGG
1021	TGGAACAAGA	CCCTGCAGCA	GGTGGCCAAG	AAGCTGGGCG	ACCTGTTCAA	CCAGACCAAC
1081	ATCATCTTCA	AGCCAGCAG	CGCGCGGAC	CCCGAGATCA	CCACCCACAG	CTTCAACTGC
1141	GGCGGCGAGT	TCTTCTACTG	CAACACCAAG	AAGCTGTTC	ACAGCGCCTG	GAACGACAGC
1201	ACCTGGAACA	TCGGCAACAA	CAACACCGCG	AGCGACAACG	AGACCATCAT	CATCCCTCTG
1261	CGCATCAAGC	AGATCATCAA	CATGTGGCAG	GGCGTGGGCA	AGGCCATGTA	CGCCCCCCCC
1321	ATCGAGGGCT	GGATCAACTG	CGCCAGCAAC	ATCACCGGCC	TGCTCTGGT	GGCGGACGGC
1381	GGCGGCGCCA	ACGACAGCCA	GAACGAGACC	TTCGCCCCCC	AGGGCGGGCA	CATGGCGGAC
1441	AACATGGCGCA	CGGAGCTGTA	CAAGTACAAG	GTGGTGAAGA	TCGAGCCCTC	GGGCACTGCC
1501	CCACCAACAG	CCAAGCGCCG	CGTGGTGGAG	CGCGAGAAGC	GGCGCATCCG	CCTGGGCGCC
1561	ATGTTCTTGG	GCTTCTCTGG	CGCCGCGCGC	AGCACCATGG	CGCGCGCCAG	CCTGACCCCTG
1621	ACCGTGCAGG	CCCGCCAGCT	GCTGAGCGGC	ATCGTSCAGC	ACCAACAACA	CTTGCTGATG
1681	GCCATCGAGG	CCCAGCAGCA	CCTGCTGCAG	CTGACCGTGT	GGGGCATCAA	GCAGCTGCAG
1741	GCCCGCATCC	TGGCCGTGGA	GCGCTACCTG	CAGGACCCAGC	AGCTGCTGGG	CAGCTGGGGC
1801	TGCAGCGGCC	GCCACATCTG	CACCACCAAC	GTGCCCTGGA	ACAGCAGCTG	GAGCAACAAG
1861	AGCATCGACG	ACATCTGGAA	CAACATGACC	TGGATGGAGT	GGGAGAAGGA	GATCGACACG
1921	TACACCGGCG	TGATCTACCG	CCTGATCGAG	GAGAGCCAGA	CCAGCAGGA	GAGAAACGAG
1981	CAGGAGCTGC	TGCAGCTGGA	CAAGTGGGCG	AGCCTGTGGA	ACTGGTTTCA	CATCAACCAAG
2041	TGGCTGTGGT	ACATCAAGAT	CTTCATCATG	ATCTGTGGGG	GCCTGATCGC	CCTGGCGCATC
2101	GTGTTTACCG	TGCTGAGCCT	GGTGAACCGC	GTGCGCCAGG	GCTACAGCCC	CCTGAGCTTC
2161	CAGACCTGT	TCCCAGCCCC	CGCGGCCCCC	GACCGCCCCG	AGGAGATCGA	GGAGGGCGGC
2221	GCGGAGCAGG	GCCGCGGCGC	CAGCACCCCG	CTGGTGAACG	GCTTCAGCAC	CCTGATCTGG
2281	GAGACCTTGC	GCAACCTGTG	CTGTTTACGC	TACCACCGCC	TCGCGGACCT	GATCTCTGAT
2341	GCACCCGCGA	TCGTGGAGCT	GCTGGGCGCG	CGCGGCTGGG	AGGCCATCAA	GTAACCTGTG
2401	AACCTGTCTG	AGTACTGGAG	CAGGAGCTGC	AAGACCAGCG	CCATCAGCCT	GTTCAACGCC
2461	ACCGCGCTGG	CCGTGGCCGA	GCGCACCCAG	CGCGTGATCG	AGGTGGTGA	CGCGTCTTTC
2521	CGCGGCATTC	TGAACGTGCC	CACCCCGATC	CGCCAGGGCC	TGGAGCGCGC	CCTGCTGTAA

**FIGURE 15**

gp160mod.94UG114

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1 ATGCGCGTGC GCGAGACCAA GCGCAACTAC CAGCACCTGT GGAAGTGGGG CACCATTGCTG
61 CTGGGGCATGCG TGATGATCTG CAGCGTGACC GGCAAGAGCT GGGTGACCCT GTACTACGGG
121 GTGCCCGCTGT GGAAGGAGGC CACCACCACC CTGTTCTGCG CCAGCGACGC CAAGGCTTAC
181 AAGGCCGAGG CCCACAACAT CTGGGCGACC CACGCCGTGG TGCCACCAGA CCCCACCCCC
241 CAGGAGATCA AGCTGGAGAA CGTGACCGAG AACTTCAACA TGTGGAAGAA CAACATGGTG
301 GAGCAGATGC ACGAGGACAT CATCAGCTGT TGGGACCAGA GCCTGAAGCC CTGCGTGAAG
361 CTGACCCCCC TGTGCTGAC CCTGAATGCG ACCAATCTGG TGACCGACAC CACCACACAG
421 ACCGGCATGG CCAACTGCAG CTTCAACATC ACCACCAGAG TCCGGACAA GAAGAAGCAG
481 GTGCAGGCCC TGTTCACAA GCTGGACGTG GTGAAGATCA ACGACAACGA CAGCGACAAC
541 ACCAGCTACC GCCTGATCAA CTGCAACACC AGCGCCATCA CCCAGGCTGT CCCCAGATG
601 ACCTTCGAGC CCATCCCCAT CCATCTACTG GCCCGCGCCG GCTTCGCCAT CCTGAAGTGC
661 AACGAGAAGA AGTTCAACGG CACCGGCCCC TGCAAGAACG TGAGCACCGT GCAGTGCACC
721 CACGGCATCA AGCCCCGTGT GAGCACCCAG CTGCTGCTGA ACGGCAGCCT GGCCGAGGAG
781 GAGATCATCA TCCGCAGCGA GAACCTGACC AACACGCCA AGATCATCAT CGTGCACTG
841 AACGAGAGCG TGCCCATCAA CTGCACTCCG CCGTACAACA ACACCCGCCA GAGCACCCCG
901 ATCGGCCCCG GCCAGGCCCT GTTCACCACC AAGGTGATCG GCGACATCCG CCAGGCCAC
961 TGCAACATCA GCGGCGCCGG CTGGAACAG ACCCTGACAG AGGTGGCGGA GAAGCTGGGG
1021 AACCTGCTGA ACCAGACCAC CATCATCTTC AAGCCCAGCA CGGCGGCCGA CCCCAGATC
1081 ACCACCACA GCTTCAACGT CGGCGGCCAG TTCTTCTACT GCAACACCAC CGCCTGTTT
1141 AACAGCACCT GGAAGCGCAA CAACAGCGAG TGCGCGAGCG ACAACACCCC CGACGAGACC
1201 ATCACCTTGC AGTGCCGATC CAAGCAGATC ATCAACATGT GGCAGGAGGT GGGCAAGGCC
1261 ATGTACGGCC CCCCCATCGA GGGCTTCATC AACTGCAGCA GCAACATCAC CGCCTGCTG
1321 CTGACCCGCG ACGGCGGGCG CATCAACAGC AGCCAGAACG AGACCTTCCG CCCCGGCGG
1381 GGCACATGAG GCAACAACTG GCGCAGCGAG CTGTACAAGT ACAAGGTGGT GAAGCTGGAG
1441 CCCATCGGCC TGCCCCCCAC CGCCGCCAAG CGCCGCGTGG TGGAGCGCGA GAAGCGCGG
1501 ATCGGCCGTG GCGCCCTGTT CTTGGGCTTC CTGGGCAACG CGGCGACAC CATGGGCGCC
1561 GTGAGCTGTA CCGTGAACGT GCAGGCCCGC CAGGTGCTGA GCGGCACTGT GCAGCAGCAG
1621 AACAACTCTG TGCCCGCCAT CGAGGCCAG CAGCACTCTG TGCAGCTGAC CGTGTGGGG
1681 ATCAAGCAGC TGCAGGCCCG CATCTGGGCC GTGGAGAGCT ACCTGAAGGA CCAGCAGCTG
1741 CTGGGCTCTT GGGGCTCGAG CGGCAAGCAC ATCTGCACCA CCAACGTGCC CTGGAACAGC
1801 AGCTGGAGCA ACGCGAGCGT GGACGAGATC TGGAAACAAC TGACCTGGAT GGAATGGGAG
1861 GCGGAGATCG ACAACTACAC CGAGCTGGTG TACAGCTGCT TGGAGGTGAG CCAGATCCAG
1921 CAGGAGAAGA ACGAGCAGGA GCTGCTGAAG CTGGACACCT GGGCCAGCCT GTGGAACCTG
1981 TTCAGCATCA CCCAGTGCTT GTGGTACATC AAGATCTTCA TCATGATCGT GGGCGGCTCG
2041 ATCGGCCCTG GCATCTGTGT CGCCGTGCTG AGCGTGTGTA ACCGGTGTGC CCAGGGCTAC
2101 AGCCCCCTGA GCTTCCAGAC CCGTGTGCCG GCCCGCCGCG AGCCCGACCG CCCCAGGGG
2161 ATCTAGGAGG AGGGCGGCGA GCGCGACCGC GGCCGAGCA TCCGCTGTGT GAACGGCCTG
2221 AGCGCCCTGA TCTGGGACGA CCGCGCAAC CTGTGCTGTG TCAGCTACCA CGCCTGCGC
2281 GACCTGATCC TGATCGCGCG CGCATCTGTG GAGCTGTGCG GCGCGCGGG CTGGGAGGCC
2341 ATCAAGTACC TGTGGAACCT GCTGCAGTAC TGGATCTAGG AGCTGAAGAA CAGCGCGGTG
2401 AGCCTGTTCA ACACCATCGC CATCGCCGTG GCGGAGGGA CCGACCCGCG CATCGAGCTG
2461 GTGCGAGCGC CCGTGGCGCG CATCTGAAC ATCCCCGTG GCATCCGCA GGGCCTGGAG
2521 CGCGCCCTGC TGTA

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**FIGURE 16**

gp160mod.ELI

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1  ATGCGCGCCC GCGGCATCGA GCGCAACTGC CAGAACTGGT GGAAGTGGGG CATCATGCTG
61  CTGGGCGATCC TGATGACCTG CAGCGCGCGC GACAACCTGT GGGTGACCGT GTACTACGGC
121 GTGCGCCGTGT GGAAGGAGGC CACCACCACC CTGTTCTGCG CCAGCGACGC CAAGAGTACT
181 GAGACCGAGG CCCACACAT CTGGGCCACC CACGCTGTGG TGCCCAACCC CCCCAACCCC
241 CAGGAGATCG CCCTGGAGAA CGTGACCAGG AACTTCAACA TGTGGAAGAA CAACATGGTG
301 GACGACATGC ACGAGGACAT CATCAGCCTG TGGGACCAGA GCCTGAAGCC CTGGCTGAAG
361 CTGACCCCCC TGTGCTGAC CCTGAACCTG AGCGACGAGC TGCGCAACAA CGGCACCATG
421 GGCAACAACG TGACCACCGA GGAGAAGGGC ATGAAGAACT GCAGCTTCAA CGTGACCACC
481 GTGCTGAAGG ACAAGAAGCA GCAGGTGTAC GCCTGTCTCT ACCGCTTGA CATCTGSCCC
541 ATCGACAACG ACAGCAGCAC CAACAGCACC AACTACCGCC TGATCAACTG CAACACCAGC
601 GCCATCACCC AGGCTTGCCC CAAGGTGAGC TTCGAGCCCA TCCCCATCCA CTACTGCGCC
661 CCCGCCGGCT TCGCCATCCT GAAGTGCCGC GACAAGAAGT TCAACGGCAC CGGCCCTGTG
721 ACCAACGTGA GCACCGTGCA GTGCACCCAC GGCATCCGCC CCGTGGTGAG CACCCAGCTC
781 CTGCTGAACG GCAGCCTGGC CGAGGAGGAG GTGATCATCT GCAGCGAGAA CCTGACCAAC
841 AACGCCAAGA ACATCATCGC CCACCTGAAC GAGAGCGTGA AGATCACCTG CGCCCCGCCC
901 TACCAGAACA CCCGCCAGCG CACCCCATCT GGCCTGGGGC AGAGCCTGTA CACCACCCCG
961 AGCCCGAGCA TCATCGGCCA GGCCCACTGC AACATCAGCC GCGCCCAAGT GAGCAAGACC
1021 CTGCGCAGG TGGCCCGCAA GCTGGGCACC CTGCTGAACA AGACCATCAT CAAGTTCAGT
1081 CCCAGCAGCG GCGGCCAGCC CGAGATCACC ACCCACAGCT TCAACTGCGG CGGCGAGTTT
1141 TTCTACTGCA ACACCAGCGG CCTGTTCAAC AGCACCTGGA ACATCAGCGC CTGGAACAA
1201 ATCACCAGGA GCAACAACAG CACCAACACC AACATCACCC TGCAGTGCCG CATCAAGCAG
1261 ATCATCAAGA TGGTGGCCGG CCGCAAGGCC ATCTACGCCC CCCCCATCGA GCGCAACATC
1321 CTGTGACAGA GCAACATCAC CGGCTGTGCT CTGACCCGGG ACGGCGGCAT CAACAACAGC
1381 ACCAACGAGA CTTTCGCCCC CGGCGGGCGG GACATCGCGG ACAACTGGCG CAGCGAGCTG
1441 TACAAGTACA AGGTGGTGCA GATCGAGCCC CTGGGCGTGG CCCCCACCGC CGCAAGCGC
1501 CGCGTGGTGG AGCGGAGAA GCGCGCCATC GGCCTGGGGC CCATGTTCTT GGGCTTCTTG
1561 GGGCGCCGCC CGACGACCAT GGGCGCCCGC AGCGTGACCC TGACGCTGCA GGGCCGCCAG
1621 CTGATGAGCG GCATCTGCA GCAGCAGAAC AACCTGCTGC GGCCTATCGA GGCCACGACG
1681 CACCTGCTGC AGCTGACCGT GTGGGGCATC AAGCAGCTGC AGGCCCGCAT CCTGGCGGTG
1741 GCGCGCTACC TGAAGGACCA GCAGCTGCTG GGCATCTGGG GCTGCAAGCG CAAGCACATC
1801 TGCACCACCA ACGTGCCCTG GAACAGCAGC TGGAGCAACC GCAGCCTGAA CGAGATCTGG
1861 CAGAACATGA CCTGGATGGA GTGGGAGCGC GAGATCGACA ACTACACCGG CTTGATCTAG
1921 AGCCTGATCG AGGAGAGCCA GACCCAGCAG GAGAAGAAGC AGAAGGAGCT GTGGAGCTG
1981 GACAAGTGGG CCAGCCTGTG GAACTGGTTC AGCATCACCC AGTGGCTGTG GTACATCAAG
2041 ATCTTCATCA TGATCATCGG CGGCTGTATC GGCCTGCGCA TCGTGTTCGG CGTGTGAGC
2101 CTGGTGAACC CGTGTGCGCA GGGCTACAGC CCCCCTGAGCT TCCAGACCCCT GCTGCCCGCC
2161 CCCCGCGGCC CGACCCGCC CGAGGGCACC GAGGAGGAGG GCGCGGAGCG CGGCCGCGAC
2221 CGCAGCGTGC GCCTGCTGAA CGGCTTCAGC GCCTGATCT GGGACGACCT GCGCAGCCTG
2281 TGCTGTTCCT GCTACCACCG CCTGCGCGAC CTGATCTCTGA TCGCGGTGGC CATCTGGGAG
2341 CTGCTGGGCG CGCGCGGCTG GGACATCCTG AAGTACTGCT GGAACCTGCT GCAACTCTGG
2401 AGCCAGGAGC TGGCGCAACG CGCCAGCAGC CTGTTCCAGC CCATCGCCTC CGCGGTGGCC
2461 GAGGGCACCG ACCGCGTGAT CGAGATCATC CAGCGCGCCT GCGCGCCCGT GCTGAACATC
2521 CCCGCGCGCA TCGCCAGGGC CTTGGAGCGC AGCCTGCTGT AA

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**FIGURE 17**

gp160mod.93IN101

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1  ATGCGCGTGC GCGGCACCCT GCGCAACTAC CAGCAGTGGT GGATCTGGGG CGTGTGGGCC
61  TTCTGGATGC TGATGATCTG CAACGGCGGC GGCAACCTGT GGTGACCGT GTACTACGGC
121 GTGCCCGTGT GGAAGGAGGC CAAGACCACC CTGCTGTGCG CCAGCGACGC CAAGSCCTAC
181 GAGCGCGGAG TGACAACTGT GTGGGCCACC CACGCCTGCG TGCCCAACGA CCCCACCCCC
241 CAGGAGATCG TGCTGGGCAA CGTGACCGAG AACTTCAACA TGTGGAAGAA CGACATGGTG
301 GACCAGATGC ACGAGGACGT GATCAGCCTG TGGGACCAGA GCTTGAAGCC CTGCTGAAG
361 CTGACCCCCC TGTGCTGAC CCGGAGTGC CCAACCTGA GCCCAACCT GACGACCTAC
421 AACACCTACA ACGGCACGCT GGAGGAGATC AAGAACCTGA GCTTCAACGC CACCCCGGAG
481 GTGCCGCAAC GCAAGCAGCG CATGTACGCG CTGTTCTAGC GCCTGGACAT CGTGCCCCCTG
541 AACAAAGAAG ACAGCAGCGA GAACAGCAGC GAGTACCGCC TGATCAACTG CAACACCAGC
601 GCCATCACC AGGCCTGCCC CAAGGTGACC TTGACCCCA TCCCCATCCA CTACTGCGCC
661 CCCGCGGGCT ACGCCATCCT GAAGTGCAAC AACAGACCT TCAACGGCAC CGGCCCTTGC
721 AACCAAGTGA GCACCGTGCA GTGCACCCAC GGCATCAAGC CCGTGGTGAG CACCCAGCTG
781 CTGCTGAACG CGACGCTGGC CGAGGGCGAG ATCATCATCC GCAGCGAGAA CCTGACCAAC
841 AACGTGAAGA CCATCATCGT GCACCTGAAC CAGAGCGTGG AGATCGTGTG CACCCGCCCC
901 AACAAACAAC CCGCAAGAG CATCGCATC GCGCCCGGCC AGACCTTCTA CGCCACCGGC
961 GACATCATCG GCGACATCCG CCAGGCCCAT TGCAACATCA GCGCGACAA GTGGAACGAG
1021 ACCCTGCAGC CGTGGGCAA GAAGCTGGCC GAGCACTTCC ACAACAAGAC CATCAAGTTC
1081 GCCAGCAGCA GCGGCGGCGA CCTGGAGATC ACCACCACA GCTTCAACTG CCGCGGCGAG
1141 TTCTTTACT GCAACACCAG CGGCCTGTTT AACGGCACCT ACATGCCAC CTACATGCCC
1201 AACGGCACCG AGAGCAACAG CAACAGCACC ATCACCATCC CTGCGCGCAT CAAGCAGATC
1261 ATCAACATGT GGCAGGAGGT GGGCGCGGCC ATGTACGCC CCCCATCGC CGGCAACATC
1321 ACCTGCACCA GCAACATCAC CGGCCTGTGT CTGTTGACGC ACGCGCGCAT CAAGGAGAAC
1381 GACACCGAGA ACAAGACCGA GATCTTCCGC CCGGCGGCGC GCGCATGGC GCACAACCTGG
1441 CGCAGCAGCG TGTAACAAGTA CAAGGTGGTG GAGATCAAGC CCTGGGCGT GCGCCCCACC
1501 GCGGCCAAGC GCGCGTGGT GGAGCGCGAG AAGCGCGCGC TGGGCATCGG CGCCGTGTTT
1561 CTGGGCTTCC TGGGCGCGCG CGGCAGCACC ATGGGCGCGC CCAGCATCAC CCTGACCGCC
1621 CAGGCCCGCC AGCTGCTGAG CGGCATCGTG CAGCAGCAGA GCAACCTGTG CGGCCCATC
1681 GAGGCCAGC AGCACCTGCT GCAGCTGACC GTGTGGGGCA TCAAGAGCT CGAGACCCGC
1741 GTGCTGGCCA TCGAGCGCTA CCTGAAGGAC CAGCAGCTGC TGGGCTATCG GGGCTGCAGC
1801 GGCAAAGCTGA TCTGCAACAC CGCGTGGCCC TGGAACAGCA GCTGGAGCAA CAAGACCCAG
1861 AGCGAGATCT GGAACAACAT GACCTGGATG CAGTGGGAGC GCGAGGTGAG CAACTACACC
1921 AACATCATCT ACAGCCTGCT GGAGGAGAGC CAGAACCAGC AGGAGAAGAA CGAGAAGGAC
1981 CTGCTGGCCC TGGACAGCTG GAAGAACCTG TGGAGCTGGT TCGACATCAC CAACTGGCTT
2041 TGGTACATCA AGATCTTCAAT CATGATCGTG GCGGCGCTGA TCGGCCCTGC CATCATCTTC
2101 GCCGTGCTGA GCATCGTGAA CCGCTGGCGC CAGGGCTACA GCCCCCTGAG CTTCCAGACC
2161 CTGACCCCCA ACCCCGCGCG CCCCAGCCGC CTGGGCGCA TCGAGGAGGA GGGCGCGGAG
2221 CAGGACAAGG ACCGCAAGAT CGCCTGTGTT AACGGCTTCC TGGCCTTGGC CTGGGACGAG
2281 CTGCGCAACC TGTGCTGTTT CAGCTACCAC CGCCTGCGGC ACTTCACTAG CBTGGCGGCC
2341 CGCGTGGTGG AGCTGCTGGG CCGCAGCAGC TGGGAGGCCG TGAAGTACTC GGGCAGCCTC
2401 GTGCAGTACT GGGGCTTGGG GCTGAAGAAG AGCGCATCA GCTGTTCGA CAGCATTCGC
2461 ATCGTGGTGG CCGAGGGCAC CGACCGCATC ATCAGCTGGT TCGAGGGCTC CTGCGCGGCC
2521 ATCCGCAACA TCCCCACCG CATCGCCAG GCGTTCGAGG CCGCCCTGCA GTAA

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**FIGURE 18**

gpl60mod.cm235.V3con

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1  ATGGATGCAA  TGAAGAGAGG  GCTCTGCTGT  GTGCTGCTGC  TGTGTGGAGC  AGTCTTCGTT
61  TCGCCACGCG  CTAGCAACAA  CCTGTGGGTG  ACCGTGTACT  ACGGCGTGCC  CGTGTGGCGC
121  GACGCCGACA  CCACCTGTGT  CTGCGCCAGC  GACGCCAAGG  CCCACGAGAC  CGAGGTGCAC
181  AACGTGTGGG  CCACCCACGC  CTGCGTGCCC  ACCGACCCCA  ACCCCAGGAA  GATCCACCTG
241  GAGAACGTGA  CCGAGAACCT  CAACATGTGG  AAGAACAAAC  TGGTGGAGCA  GATGCAGGAG
301  GACGTGATCA  GCCTGTGGGA  CCAGAGCCTG  AAGCCCTGCG  TGAAGCTGAC  CCCCCTGTGC
361  GTGACCTCGA  ACTGCACCAA  CGCCAAGCTG  ACCAACGTGA  ACAACATCAC  CAGCGTGAGC
421  AACACCATCG  GCAACATCAC  CGACGAGGTG  CGCAACTGCA  GCTTCAACAT  GACCACCGAG
481  CTCGCCGACA  AGAAGCAGAA  GGTGCACGCC  CTGTTCTACA  AGCTGGACAT  CGTGCCCATC
541  GAGGACAACA  AGACCAAGCA  CGAGTACCGC  CTGATCAACT  GCAACACCAG  CGTGATCAAG
601  CAGGCCCTGCC  CCAAGATCAG  CTTCCAGCCC  ATCCCCATCC  ACTACTGCAC  CCCCOCGGCC
661  TACGCCATCC  TGAAGTGCAA  CGACAAGAAC  TTCAACGGCA  CGGCGCCCTG  CAAGAAGCTG
721  AGCAGCGTGC  AGTGCAACCA  CGGCATCAAG  CCGGTGGTGA  GCACCCAGCT  CGTCTGAAC
781  GGCAGCCTGG  CCGAGGAGGA  GATCATCATC  CGCAGCGAGA  ACCTGACCAA  CAACGCCAAG
841  ACCATCATCG  TGCACCTGAA  CAAGAGCGTG  GAGATCAACT  GCACCCGCCC  CAGCAACAAC
901  ACCCGCACCA  GCATCACCAT  CGGCCCCGGC  CAGGTGTCTT  ACCGCAACGG  CGACATCATC
961  GCGGACATCC  GCAAGGCCCT  CTGCGAGATC  AACGGCACCA  AGTGGAAACG  GGTGCTGACC
1021  CAGGTGACCG  AGAAGCTGAA  GGAGCACTTC  AACAACAAGA  CCATCATCTT  CCAGCCCCCC
1081  AGCGCGCGCG  ACCTGGAGAT  CACCATGCAC  CACTTCAACT  GCGCGGCGGA  GTTCTTCTAC
1141  TGCAACACCA  CCGGCTGTT  CAACAACACC  TGATCTGAGA  ACGGCACCAT  GGGCGGCTGC
1201  AACGGCACCA  TCATCCTGCC  CTGCAAGATC  AAGCAGATCA  TCAACATGTG  GCAGGGCGCC
1261  GGCAGGGCCA  TGTACGCCCC  CCCCATCAGC  GGCCGCAATC  ACTGCGTGAG  CAACATCACC
1321  GGCATCTCTG  TGACCCGCGA  CGGCGGCGCC  ATCAACAACA  CCAACGAGAC  CTTCTCGCCC
1381  GCGCGCGGCA  ACATCAAGGA  CAACTGGCGC  AGCGAGCTGT  ACAAGTACAA  GGTGTGTGAG
1441  ATCGAGCGCC  TGGGCATCGC  CCCCACCGCG  GCCAAGCGCC  GCGTGGTGGA  GCGCGAGAAG
1501  GCGCGCGTGG  GCATCGGCGC  CATGATCTTC  GGCTTCTCTG  GCGCGCGCGG  CAGCACCATG
1561  GCGCGCGCCA  GCATCACCTT  GACCGTGCAG  GCGCGCCAGC  TGCTGAGCGG  CATCTGTCAG
1621  CAGCAGAGCA  ACCTGCTGCG  CGCCATCGAG  GCCCAGCAGC  ACCTGCTGCA  GCTGACCCTG
1681  TGGGGCATCA  AGCAGCTGCA  GGCCCGCGTG  CTGGCGGTGG  AGCGTACCT  GAAGGACCTG
1741  AAGTTCTCTG  GCCTGTGGGG  CTGCAGCGGC  AAGATCATCT  GCACCAACCG  CGTGCCCTGG
1801  AACAGCACCT  GGAGCAACCG  CAGCTACGAG  GAGATCTGGA  ACAACATGAC  CTGGATCGAG
1861  TGGGAGCGCG  AGATCAGCAA  CTACACCAAC  CAGATCTACG  AGATCCTGAC  CGAGAGCCAG
1921  AACACGACAG  ACCGCAACGA  GAAGGACCTG  CTGGAGCTGG  ACAAGTGGGC  CAGCCTGTGG
1981  AACTGGTTCG  ACATCAACAA  GTGGCTGTGG  TACATCAAGA  TCTTCACTAT  GATCATCGGC
2041  GCGCTGATCG  GCCTGCGCAT  CATCTTCGCG  GTGCTGAGCA  TCGTGAACCG  CGTGGCGCAG
2101  GGTACAGCC  CCCTGAGCTT  CCAGACCCCC  TTCCACCACC  AGCGCAGAGC  CGACCGCAGC
2161  GAGCGCATCG  AGGAGGGCGG  CGCGCAGCAG  GGCCGCGACC  GCAGCGTGGC  CTTGGTGAGC
2221  GGTCTCTCTG  CCTTGGCCTG  GGAAGACCTG  CGCAGCTCTG  GCTGTTCACG  CTACCAACCG
2281  CTGCGCGACT  TCATCTGTAT  CGCCGCCCGC  ACCGTGAAGC  TGCTGGGCGC  CAGCAGCTTG
2341  AAGGGCGCTG  CGCGCGGCTG  GGAGGGCCTG  AAGTACCTGG  GCAACCTGCT  GCTGTATCTG
2401  GGGCAGGAGC  TGAAGATCAG  CGCCATCAGC  CTGCTGGAGC  CCACCGCCAT  CATCTGGGCG
2461  GGTGTGACCG  ACCGCGTGAT  CGAGGTGGCC  CAGGGCGCCT  GGCGCGCCAT  CCTGCACATC
2521  CCCCSCCGCA  TCCGCCAGGG  CTTGGAAGCG  ACCCTGCTGT  AA

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**FIGURE 19**  
 gp160partialmod.cm235.V3 con

1	ATGGATGCAA	TGAAGAGAGG	GCTCTGCTGT	GTGCTGCTGC	TGTGTGGAGC	AGTCTTCGTT
61	TCGCCACGG	CTAGCAACAA	CTTGTGGGTT	ACAGTTTATT	ATGGGGTTCC	TGTTGTGGAGA
121	GATGCGACATA	CCACCTTATT	TTGTGCATCA	GATGCCAAAG	CACATGAGAC	AGAAGTGCAC
181	AATGTCTGGG	CCACACATGC	CTGTGTACCC	ACAGACCCCA	ACCCACAAGA	AATFACACCTG
241	GAAATGTGAA	CAGAAAAATT	TAAACATGTGG	AAAAATAACA	TGGTAGAGCA	GATGTCAGSAG
301	GATGTAACTCA	GTTTATGGGA	TCAAAGTCTA	AAGCCATGTG	TAAAGTTAAC	TCCTCTCTGCG
361	GTTACTTTTAA	ATTGTACCAA	TGCTAAGTTG	ACCAATGTCA	ATAACATTAAC	CAGTGTCTCT
421	AAACACAAATAG	GAATATAAAC	AGATGAAGTA	AGAACTGTGT	CTTTTAATAT	GACCCACCAAT
481	CTAAGAGATA	AGAAAGCAGAA	GGTCCATGCA	CTTTTTTATA	AGCTTGATAT	AGTACCAATT
541	GAAGATAATA	AGACTAGTAG	TGAGTATAGG	TAAATAAATT	GTAATACTTC	AGTCATTAAG
601	CAGGCTTGTG	CAAAGATATC	CTTTGATCCA	ATTCTTATAC	ATTATTGTAC	TCCAGCTGCT
661	TATGCGATTT	TAAAGTGTA	TGATAAGAA	TTCAATGGGA	CAGGGCCATG	TAAAAATGCT
721	AGCTCAGTAC	AATGCACACA	TGGAATTAAG	CCAGTGGTAT	CAACTCAATT	GCTGTATAAT
781	GGCAGTCTAG	CAGAAGAAGA	GATAATAATC	AGATCTGAAA	ATCTCACAAA	CAATGCCAAA
841	ACCATAATAG	TGCACCTTAA	TAAATCTGTA	GAAATCAATT	GTACCAGACC	CTCCAACAAT
901	ACAAGAACAA	GTATAACTAT	AGGACCAGGA	CAAGTATTCT	ATAGAACAGG	AGACATAATA
961	GGAGATATAA	GAAGAACATA	TTGTGAGATT	AATGGAACAA	AATGGAATGA	AGTTTTTAACA
1021	CAGGTAACCT	AAAAATTTAA	AGAGCACTTT	AATAATAAGA	CAATAACTTT	TCAACCAACC
1081	TCAGGAGGAG	ATCTAGAAAT	TACAATGCAT	CATTTTAATT	GTAGAGGGGA	ATTTTTCTAT
1141	TGCAATACAA	CACGACTGTT	TAATAATACT	TGCATAGAAA	ATGGAAACAT	GGGGGGGTGT
1201	AATGGCACTA	TCATACTTCC	ATGCAAGATA	AAGCAATTA	TAAACATGTG	GCAGGGAGCA
1261	GGACAAGCAA	TGTATGCTCC	TCCCATCAGT	GGAAGAATTA	ATTGTGTATC	AAATATTACA
1321	GGAAATACTAT	TGACAAGAGA	TGGTGGTGCT	ATTAAATACAA	CTAATGAGAC	CTTCCGCCCC
1381	GGCGCGGGCA	ACATCAAGGA	CAACTGGCGC	AGCGAGCTGT	ACAAGTACAA	GGTGTGCAAG
1441	ATCGAGCCCG	TGGGCATCGC	CCCCACCCGC	GCCAGCGCCG	CGGTGGTGA	GCGCAGAA
1501	CGCGCCGTGG	GCATCGGCGC	CATGATCTTC	GGCTTCTTGG	CGCGCGCCGG	CAGCACCATG
1561	GGCGCCGCCA	GCATCACCTT	GACCGTGCAG	GCCCGCCAGC	TGCTGAGCGG	CATCGTGCAG
1621	CAGCAGAGCA	ACCTGTGCGC	CGCCATCGAG	GCCCGAGCAG	ACCTGCTGCA	GCTGACCGTG
1681	TGGGCGATCA	AGCAGCTGCA	GGCCCGCGTG	CTGGCGGTGG	AGCGCTACCT	GAAGGACCAG
1741	AAGTTCCTGG	GCCTGTGGGG	CTGCAGCGGG	AAGATCATCT	GCAACACCCG	CGTGCCCTGG
1801	AACAGCACTT	GGAGCAACCG	CAGCTACGAG	GAGATCTGGA	ACAACATGAC	CTGGATCTGAG
1861	TGGGAGCGCG	AGATCAGCAA	CTACACCAAC	CAGATCTACG	AGATCCTGAC	CGAGAGCCAG
1921	AACAGCAGG	ACCGCAACGA	GAAGGACCTG	CTGGAGCTGG	ACAGTGTGGC	CAGCCTGTGG
1981	AATCTGGTTCG	ACATCACCAA	GTGGCTGTGG	TACATCAAAA	TATTTTATAAT	GATAATAAGA
2041	GGTTTAATAG	GTTTAAGGAT	AATTTTGTCT	GTGCTTTCTA	TAGTGAATAG	AGTTAGGACG
2101	GATACCTCAC	CTTTGTCTTT	CCAGACCCCT	TTCCATCATC	AGAGGGAACC	CGACAGATCC
2161	GAAAGAATCG	AAGAAGGAGG	TGGCGAGCAA	GGCAGAGACA	GATCCGTGCG	ATTATTGAGC
2221	GGATTCTTFFAG	CTCTTTCGCTG	GGACGATCTA	CGGAGCCTGT	GCCTCTTCAG	CTACACCCGC
2281	TTGAGAGACT	TCATCTTGTAT	TGCAGCGAGG	ACTGTGAAAC	TTCTGGGAGC	CAGCAGTCTG
2341	AAGGGACTGA	GACGGGGGTG	GGAAGGTCTC	AAATATCTGG	GGAATCTTCT	GTTATATTGG
2401	GGTCAAGAAC	TAAAAATTAG	CGCTATTTCT	TTGCTTGATG	CTACAGCAAT	AATAGTAGCG
2461	GGGTGGACAG	ATAGGGTTAT	AGAAGTAGCA	CAAGGAGCTT	GGAGAGCCAT	TCTCCACATA
2521	CCTAGGAGAA	TCAGACAGGG	CTTAGAAAGG	ACCTTGCTAT	AA	



# Serum Binding Antibody Titers to HIV-1<sub>SF162</sub> Env Protein

Immunization	Replication-Competent Ad		Replication-Defective Ad	
	10 <sup>7</sup>	10 <sup>8</sup>	10 <sup>8</sup>	10 <sup>9</sup>
Post 1 <sup>st</sup> Ad	20 20 20	114.11 390.91 33.31	276.00 N/A	46.11 72.06 29.51
Post 2 <sup>nd</sup> Ad	2315.60 14380.44 372.87	4242.53 8251.33 2181.35	114 N/A	55.57 128.00 24.13
Post 1 <sup>st</sup> gp140	41175.45 42411.99 39974.95	43589.41 51950.41 36574.05	906 N/A	2675.15 9448.33 757.43
Post 2 <sup>nd</sup> gp140	19789.57 32906.06 11901.37	65799.55 68333.17 63359.86	14176 N/A	105578.03 208905.20 53357.79

# Proliferative responses following Ad-HIV<sub>env</sub> recombinant priming and HIV<sub>SF162</sub> oligomeric gp140ⓈV2 boosting

